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CONTROLLED CLINICAL TRIALS

CONSIDERATIONS ON THE NUMBERS  
OF PATIENTS REQUIRED

A STUDY BASED ON MAMMARY CARCINOMA

H. P. J. M. BEEREPOOT

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TER VERKRIJGING VAN DE GRAAD VAN  
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VOLGENS BESLUIT VAN DE SENAAT  
IN HET OPENBAAR TE VERDEDIGEN  
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door

HENRICUS PETRUS JOHANNES MARIA BEEREPoot  
GEBOREN TE AMSTERDAM



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*To Hanneke*



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*'For the great enemy of the truth is very often not the lie – deliberate, contrived and dishonest – but the myth – persistent, persuasive and unrealistic.*

*Too often we hold fast to the cliches of our forebears. We enjoy the comfort of opinion without the discomfort of thought.'*  
President John F. Kennedy at  
the Yale Commencement Ceremony  
in July, 1962.

## CHAPTER I. INTRODUCTION

### § 1. *Why controlled clinical trials on mammary carcinoma?*

A survey of the literature shows that in spite of a great number of pertinent publications, uncertainty remains concerning the treatment of choice for a given patient with a given mammary carcinoma. There are numerous reports on very careful studies, but after 50 years no fundamental improvement of results has in fact been achieved. It can therefore be deduced that the retrospective methods of investigation so far practised have been insufficient to demonstrate possible differences in results of various therapeutic regimens.

Moreover these retrospective methods are open to serious criticism because the comparability of the groups of patients treated with different therapies cannot be guaranteed.

There is obviously a need for investigations to evaluate various methods of treatment on the basis of statistically sound patterns.

In The Netherlands, it was the late Professor Moeys who, some 20 years ago, recognized the necessity of prospective studies on the treatment of mammary carcinoma, and instituted such a study.

Moeys' initiative became the basis of the present study. However, as will appear, the material of Moeys does not completely meet modern requirements for prospective studies on mammary carcinoma. In the international literature (cf. Ch. V), the first advocates were such investigators as Kaae (in 1951), Paterson (in 1955), and Nissen-Meyer (in 1957).

Armitage (1968) wrote on this subject: 'The main requirement of a method for comparing the therapeutic effects of different treatments is that there must be no systematic bias tending to favour one or other treatment. If treatments are to be compared on different groups of patients we must try, as far as possible, to ensure that these groups are similar in all relevant respects, except in the treatments they receive.'

For those familiar with statistical methods, it is a well established fact, that where statistical investigations are needed in evaluating the results of different treatments, reliable conclusions can be obtained only by means of carefully performed clinical trials.

*A controlled clinical trial here in brief to be called 'clinical trial' is an investigation in which two or more methods of treatment for a well-defined disease are compared on similarly composed groups of patients. The trial should aim at answering a definite question and meet the requirements for a sound statistical analysis.*

As clinical trials yield results which warrant reliable conclusions, they can be compared with other studies. Unlike other methods of investigation, these trials are designed on the lines of a laboratory experiment. Paterson (1962) wrote in this context: 'The term 'clinical trial', was advised by the Medical Research Council years ago to avoid the open use of the blunter term 'experiment'. This was wise; but let us not forget among ourselves that clinical trials are true experiments, calling for just as rigid a discipline as the laboratory experiment'.

To make a comparison possible between the results of different clinical trials, an exact description of the categories of patients and methods of treatment under investigation must be available. For further details on clinical trials cf. Ch. III. At present, a large number of investigations are being carried out in the field of mammary carcinoma, and these include a number of clinical trials. The World Health Organization (1968) has many studies on record.

In an article by Flamant (1969) 161 clinical trials on cancer are noted, of which 34 are on breast cancer.

A number of clinical trials have already been completed, some of these concerned essentially curative therapies (Kaae 1965, 1968; Paterson 1959a, 1959b, 1962; Nissen-Meyer 1965, 1968), while others concerned metastatic carcinoma (Atkins 1960).

## *§ 2. The aims of the present study*

It was our intention to collect a group of patients with mammary carcinoma who had been treated largely in accordance with a uniform plan and to evaluate retrospectively the various methods of treatment.

With the collaboration of many colleagues, we succeeded in collecting 1500 patients of this type. This group of 1500 patients could be divided into several homogeneous subgroups. Since all the patients in each subgroup had been treated according to one fixed criterium it seemed possible, initially, to find a few comparable groups of patients in this material, treated with different therapies. Moreover we expected a part of the material, sampled by Moeys, would meet the requirements of a clinical trial.

In processing our material we found that a considerable diversity of therapies had been used. Distinctions had to be made according to the usual surgical therapies such as simple mastectomy, simple mastectomy with axillary dissection, radical mastectomy and the techniques of irradiation. In a proportion of patients moreover, a radiological menopause was prophylactically provoked, or hormones or cytostatic drugs were prophylactically given. Both surgeons and radiologists had accepted their own fixed plan of therapy. Except in the series of Moeys, we do not know, however, which indications may have caused them to deviate from this plan. When evaluating treatments, only definite combinations of surgical, radiological and drug treatments can be

regarded as a unity, and a variety of combinations has to be considered. Exact differentiation of all variations of therapy in our material would cause such fragmentation of the material that a statistically sound investigation of the results of various therapies would be impracticable because of insufficient numbers of patients in each therapy-group.

In solving this problem it seemed rational to sample even more patients with mammary cancer treated according to particular schemes until a sufficient number in each subgroup was reached.

Many examples can be found in the literature which show the possibility of sampling large series of patients with mammary cancer (cf. table I-1 Berniczai, 1962).

TABLE I-1. *The number of patients included in retrospective studies on mammary cancer. A survey of the literature (from Berniczai M. 1962, table I).*

Author	Year	Number of patients	Author	Year	Number of patients
Berven	—	25 000	Baclesse	1935-46	77
Kaac, E	1908-45	189		1958	310
Haagensen	1915-19	128	Williams	—	100
(Presbyterian-Hospital)	1920-24	127	Watson	1932-43	645
	1925-29	160		1944-52	1055
	1930-34	225	Zaunbauer	1949-53	244
	1935-39	314	(Wiener I Chir Klinik)		
	1940-42	181	Urban	1959	76
Taylor	1894		Kohler	—	290
	until 1904	468	Kirsch	1958	347
	1911-14	103	Ryan	1935-41	1399
	1918-20	134		1942-48	2123
	1921-23	183	Gray and Anglen	1939-53	278
	1924-26	208	Sicard	—	192
	1927-29	220	Abramson, Clifton, Slagle	1931-39	98
	1930-32	231		1940-51	189
	1933-35	328	Koulumies	1936-47	544
	1936-40	600		—	360
Sedgwick and St. Ville	1941-50	292	Widow and Huber	1949-54	229
Shumkin	1918-44	899	Hickey, R C	1926-49	1661
Nohrman	1936-41	1042		—	913
Adair	1935-42	3836		—	444
Wanke	1908-52	1098		1956	431
Diethelm	1913-45	698		—	182
Berkson	1910-14	2363	Treves and Holleb	1939-49	149
(Mayo-Clinics)	1950-54	1416	(Memorial Cent for Cancer)	—	219
Lewison	1935-40	220	Antonellis (Myers Clin )	1959	121
(J Hopkins-Hospital)	1941-45	259	Miller and Fendergrass	1923-43	1029
Robbins	1940-43	1281	Ravnihar	1945-54	641
(Memorial Center Hospital)	1950-55	2168	Alrich, Liddle, Morton	1929-51	448
McWhirter	1941-47	1882	Gould, E A , and H H Kerr	1955	241
Harrington, W.	1910-44	8224	Arner	1955	1652
(Material of the Mayo-Clinics)			Hendrick, J W.	1933-51	562
Warren and Tompkins	—	769	Edward, M L.	1958	253



From our study, however, it appears that unselected sampling of patients will not help to solve existing problems of treatment (cf. Ch. II). Indeed, it seems impossible to come to reliable conclusions concerning different therapies, using our material, even if it was possible to extend it considerably.

Margaret Merrell (Bradford Hill, 1967) has wisely observed: 'large numbers in themselves are worse than useless if the groups are not comparable, since they encourage confidence in an erroneous opinion'.

Meanwhile, because of the diversity in therapeutic conceptions existing in The Netherlands, The Netherlands' Cancer Organization decided to found a committee to discuss the treatment of mammary carcinoma (van Slooten, Breur, Hampe, Nelemans, Rümke, Schwarz, Thomas, Zwaveling, Beerepoot, 1968). It is the aim of this committee to lay down guidelines concerning the treatment of mammary carcinoma and to study the possibilities of executing a clinical trial in The Netherlands.

Partly as a result of discussions in this committee the Author concluded that, when planning a clinical trial it is important first to have some idea of the number of patients required.

After having made a computation of the number of patients required for a definite clinical trial, we concluded that it is upon this number that the practicability of a clinical trial concerning mammary carcinoma largely depends.

Some people are still very vague about this problem.

Truelove (1964) states: 'In general it is worth working with fairly large numbers although there are special circumstances where the results should be obtained with the maximum economy'.

Bradford Hill (1967) wrote on this subject: 'The well-controlled and well-reported experiment does not, as is sometimes thought, demand vast numbers.

If the groups are strictly comparable, then often a total of 50 to 100 cases, and sometimes very much less, will provide sufficient evidence. The actual numbers must, of course, depend upon the problem at stake and upon the magnitude of difference between the treatment and control groups that is to be expected or is actually observed'.

In this study we want to get an impression of the real numbers of patients required on statistical grounds in executing a clinical trial and how these numbers can be influenced by variations of design of the trial (cf. Ch. III §3, §6). Apart from these statistical considerations it was our aim to underline any other difficulties, arising in the study of the treatment of mammary carcinoma.

As we already mentioned, it may be necessary not to study the different treatments on all patients with mammary carcinoma as a whole, but on homogeneous subgroups. These are drawn up according to such characteristics of the tumor or the host, as may influence the course of the disease. The number of these characteristics is so great, that it must be realized, that it is possible the disease will be influenced far more by them than by therapy. Even when the patients involved in a trial constitute a homogeneous subgroup of the mammary carcinoma patients, there is always some variety left.

It is therefore necessary to assign the different therapies by randomization. If randomization is performed over the total group of patients available, it is possible, that within several subgroups the numbers of patients with definite characteristics allocated to the therapies compared differ considerably. For this reason Armitage (1968) recommends using a separate randomization list for each subgroup. From these considerations our approach will become much clearer as we study the number of

patients required to get statistically reliable conclusions concerning each subgroup.

In considering the extent of our material, it became clear to us that although the data drawn from it were inadequate for a comparison of treatments yet it could be used in planning a clinical trial, particularly in estimating the number of patients required. The reliability of these estimates, of course, depends on the representativeness of this material for mammary carcinoma patients in general (cf. Ch. II §4). In this study a survey is given of the factors determining the number of patients required in executing a clinical trial to evaluate different treatments for mammary carcinoma (cf. Ch. III). In addition, a method is described of estimating the requisite number of patients. This method is applied to our collection of 1500 patients with mammary tumors to get an impression of the number of patients required to solve particular problems concerning the treatment of mammary carcinoma. For this purpose nearly all the data considered in our series have been recorded in frequency tables.

In an attempt to gain an impression of the influence of coincidences between several characteristics of the tumor or the host on our computation, contingency tables have been worked out for 15 frequently appearing characteristics (cf. Appendix Part I).

However, anybody who is interested in planning a controlled clinical trial on mammary carcinoma should use preferably the figures of his own series of patients, in applying our computation.

To illustrate our approach, a number of completed clinical trials concerning mammary carcinoma are analysed according to our criteria (cf. Ch. V). An evaluation is given of the possibility of solving particular problems with the help of the clinical trials concerned. Because in the clinical trials executed so far the only criteria used are those that can be expressed in absolute or relative figures, our examples are worked out on the basis of such criteria i.e. 5 years mortality, 5 years recurrence rate.

General aspects of the organization as well as the ethical problems of a clinical trial are not considered to be within the scope of this study. Considerations about the organization of clinical trials can be found in the booklet of Flamant (1970). Ethical guidelines are laid down in a Statement of the Medical Research Council (1962–63) and in the Declaration of Helsinki (1964).

We investigated the possibility of obtaining from our data an impression of the course of mammary carcinoma.

Although we realize the great importance of records on this subject in the evaluation of methods of treatment it is not considered to be within the scope of this study.



*'In the evaluation of a given procedure it becomes apparent only too often that, in recording some obvious and fundamental data, no provision has been made to establish the starting-point. Many statistics become non-comparable, and therefore often useless, because different criteria are applied'.*  
Schmidt (1967), in his inaugural address.

## CHAPTER II MATERIAL AND METHODS

### § 1. *Introduction*

The collaboration of many colleagues made it possible to pool documented material of about 1500 patients with malignant disease of the breast in The Netherlands. The material was obtained from centres in Tilburg (including Moeys' series), Arnhem and Leeuwarden (cf. table II-I). The patients received their first therapy during the period 1947–1966.

### § 2. *The trial of Moeys*

Moeys' trial was so arranged that therapy in his own clinic consisted exclusively of simple mastectomy with postoperative irradiation according to McWhirter's method, while the other clinic in Tilburg used only radical mastectomy with postoperative irradiation. In keeping with this design however, the possibility of preselection is left open, as general practitioners familiar with the organization of Moeys' trial may well have selected patients according to their opinion about the most suitable therapy.

For these reasons we abandoned our previous plan to draw conclusions from the results of Moeys' trial.

### § 3. *Survey of the entire material*

After Moeys' departure from Tilburg in 1958 the trial was not continued. The manner of registration was not changed, however.

To get a suitable group of patients, the whole series from Tilburg, and thereafter all the patients at the centres in Arnhem and Leeuwarden were included in our series. (Specifications cf. tables II-1 and II-2).

TABLE II-1. *The distribution of the patients over the three centres.*

CENTRE	Numbers of patients	%
Tilburg	643	45.5
Arnhem	314	22.2
Leeuwarden	460	32.3
Totals	1417	100.0

An additional 89 cases of malignant disease of the breast are registered but were not included in the material, while their inclusion would disturb the uniformity of the series (cf. table II-2).

TABLE II-2. *Survey of cases not included in the material (percentages on total number of entire material: 1506 cases).*

DIAGNOSIS	Numbers of patients	%
Breast carcinoma in males	7	0.5
Bilateral breast cancer*	36	2.3
Simultaneous breast carcinoma and other carcinoma (except skin carcinoma)	19	1.2
Distant metastases of carcinoma other than mammary, located in the breast	7	0.5
Sarcoma	20	1.3
Totals	89	5.8

\* Patients noted as having metastases in the contralateral breast are not included.

#### § 4. *Methods of recording and manipulating data*

To record the data of our series of patients we used the form 'Insertion (Mamma)', introduced by the Clinical Stage Grouping (1960-1964) of the Union Internationale contre le Cancer (cf. Appendix Part V). This form is based on the International TNM classification, in which the clinical stages of mammary carcinoma have been placed on record (cf. Ch. III §8).

Of the data on these patients we recorded as follows those characteristics that could have influenced the course of the disease:

1. The data of Moey's trial were first recorded partly on a form designed by Moey's and partly on clinical records. All these data have been taken over by the author on the 'Insertion (Mamma)' form.
2. The remaining data of Tilburg and the data of Arnhem and Leeuwarden were for the most part directly recorded on the aforementioned 'Insertion (Mamma)' form.

A number of data were recorded on clinical records and have been taken over by the author on the 'Insertion (Mamma)' form. In total, 119 data per patient were encoded and punched into 80 columns-punch cards, which were further processed with the computer IBM 360/50 of the University Computer Centre at Nijmegen. 4 punch cards were used per patient.

Using the Cross-tab 2 program the data were tabulated in frequency tables (table f1–f50) and two-way contingency tables (table f<sub>c</sub>1–f<sub>c</sub>99).

In these tables the frequencies are supplied in absolute figures of totals whole series and in absolute figures and percentages of row and of column totals of totals noticed data. Finally per datum percentages are supplied of the noticed data. (cf. explanation f<sub>c</sub> tables, Appendix Part I).

The data of our material considered usable for our purpose are arranged in such a way as to be suitable in determining the number of patients required for a clinical trial. Except for data indicating the 'curative operability' of the patient, data on the course of the disease after starting treatment are not included as they are not necessary for determining the required number of patients.

## § 5. *Uncertainties in the material*

In using our data for a determination of the requisite number of patients in a clinical trial, one must remember the uncertainties as to whether our material was representative of *mammary carcinoma in general*.

Some known uncertainties are described below:

- a. The material was obtained by pooling the groups of patients of three centres (Tilburg, Arnhem and Leeuwarden). The geographical situations of these centres do not ensure that all the patients with mammary carcinoma in the respective districts are in fact treated at the centres. Some patients may have received their treatments at University Clinics. This means no assurance can be given that the material gives an exact picture of the whole population of patients in the catchment areas of the centres concerned. As a result, a selection-factor of unknown magnitude has been introduced.
- b. Scores of physicians recorded data on patients, including evaluation of the tumor stage. As these records were not verified by a coordinating committee, 'observer variation' must be assumed to have had a powerful influence.
- c. A proportion of data was first recorded on a form designed by Moeys or on the clinical record-cards (cf. Ch. II §4). These data have been taken over on the 'Insertion (Mamma)' form by the author and thus misinterpretations of the original records might be introduced.
- d. Our data were taken from the clinical records of surgeons and radiologists. It is possible that a patient could have been seen only at the out-patient clinic, in which case she would of course be absent from our material.
- e. We obtained our series by pooling the material of several centres in order to obtain larger numbers of patients. An unknown number of uncertainties regarding the composition of each of these series had to be accepted.
- f. Although the recording of data always gave an impression of accuracy, a considerable number of data were found to be lacking. It is understandable that this introduces a source of uncertainty of incalculable magnitude. Thus, for a proportion of the absent data one might assume that the symptom under consideration was absent.

It is for instance understandable that a clinician examining a tumor classified as stage I, does not record the fact that the supraclavicular glands are not affected. In that case, this symptom would be recorded as 'unknown' in this study. On the other hand, in examining a tumor classified as stage IV, it may well be that slight adhesions to the skin or the substratum are not recorded. One may tend to remove the cases with 'unknown' data from the material in order to enhance the reliability of the study. We decided not to do so, as this possibly implies also the introduction of a selection factor, the magnitude of which cannot be estimated. For example: if all cases in which affection of the supraclavicular glands was not recorded were removed from the material, this would involve far more stage I cases than stage III cases. Consequently, a bias would be introduced in the frequency distribution of the stages.

For this reason we preferred to state the number of 'unknowns'\* with each datum, calculating the relative frequencies only for the 'knowns'. Proceeding in this way the investigator hopes that the relative frequencies in the group of unknowns will equal that in the group of knowns.

Nevertheless, a number of about 150 patients has not been included in our 1500 patients because the available data were too incomplete on essential points to be processed. The fact that no complete record was made of a patient may mean that this was not considered important for that particular case because of an expectedly benign lesion or by reason of the extent of the disease or bad general condition. New forms of selection may thus have been introduced.

\* noted as 'other' in the tables of the appendix.

### CHAPTER III. ESTIMATING THE NUMBERS OF PATIENTS REQUIRED FOR A CLINICAL TRIAL

#### § 1. *Introduction*

A controlled clinical trial must fulfil a number of conditions to allow of objective and reliable comparison. Every clinical trial needs careful preparation, of which only a general description can be given within the scope of this paper. As a rule, the basic question will be an incompletely specified medical problem, e.g. whether ovariectomy is useful in the treatment of mammary carcinoma patients who have not yet or who have only recently entered the menopause.

The problem will first have to be described more accurately. The criterion 'not yet or only recently entered menopause' must be defined. One must further define the way in which the ovariectomy is to be performed and the additional methods of treatment to be administered. Once the problem has been defined accurately sufficiently from the medical point of view, a more detailed statistical specification will generally be necessary as well. For instance, in regard to ovariectomy the physician will require a decision valid for menstruating women suffering from a mammary carcinoma in a particular stage of development. The statistician can approach this problem only if the population of women among whom the investigation is to be carried out is determined more precisely from the geographical and chronological points of view, e.g.: patients with mammary carcinoma living in The Netherlands on January 1st, 1970, who fulfil the conditions stated.

The population about whom a decision is required may consist of far more patients than are necessary for an adequate trial. In that case the trial will have to be carried out with a representative sample from the patient population. This sample must be selected in such a way as to have a composition similar to the population in certain general characteristics, but otherwise to be at random. If the sample is composed in this manner, the conclusions drawn from the clinical trial will in principle be valid for the population as a whole.

However, in respect of mammary carcinoma the number of patients available at a given time will generally be insufficient for the organization of a trial. If so, all suitable patients applying for treatment successively during a given period will have to be included in the trial. The possibility must then be borne in mind that the patients



available for a trial constitute a select group in regard to the reaction to one or more of the methods of treatment to be compared. Once it has been decided which patients will be included in the clinical trial and what methods of treatment will be compared, the methods of treatment must be so assigned to the patients that no single therapy is systematically 'favored' or 'neglected'. This means that we must prevent the group of patients to be subjected to a particular method of treatment differing systematically from other patients in the trial in regard to factors which may affect the success of treatment. This can be achieved by random assignment of methods of treatment; in principle, by drawing lots.

The method of drawing lots depends on still other factors, e.g. whether all patients are available at the same time or will become available successively. For further details cf. Appendix Part VI.

In order for the results of the various methods of treatment to be compared, criteria permitting useful comparison of the results must be defined before the experiment starts. In principle, these criteria consist of data concerning the groups of patients, for instance: mean survival time, or mean percentage of patients in whom a certain phenomenon (death, recurrence) occurs within a certain period. More than one criterion may be involved in the discussion. Since the results, though not differing in one aspect, may nevertheless differ in another, a more differentiated conclusion concerning the methods of treatment compared can be achieved in this way.

## § 2. *Statistical tests*

When the groups of patients used to compare the methods of treatment are selected at random from the available patient population, these groups may show 'coincidental' differences of factors which may influence the treatment. A decision that the methods of treatment (in regard to a certain criterion) are not equivalent may then be made on the basis of differences in results actually due to differences occurring 'coincidentally' during the drawing of lots, e.g. differences in the general conditions of the groups of patients treated. In Statistics, a false conclusion of this nature is called an *error of the first order*.

By subjecting the finding to an adequate statistical test, dependent on the criterion of comparison applied, and by basing the conclusions on the result of this test, the probability of an error of the first order being made can be kept within previously defined limits, the *level of significance*. This is always possible, irrespective of the number of patients available.

A statistical test in regard to a particular criterion can, in principle, only lead to one of two conclusions: either

- I. The methods of treatment compared are not equivalent. In this case, a difference has been demonstrated between the methods. The probability that this conclusion is false is less than the level of significance selected.
- II. No difference can be demonstrated between the methods of treatment.

Conclusion I. is not synonymous with the conclusion that the methods of treatment are equivalent. It is possible for the effect of a small difference between the therapeutic

methods to be masked by the effect of a 'coincidental' difference in compositions between the two groups of patients.

Every test with a defined level of significance has its *power*. This power is the probability of an existing difference actually being detected. In this connection we call a difference *detected* if the null hypothesis that no difference exists after testing is rejected. The power depends on:

- a. the test applied,
- b. the level of significance (the higher the level of significance, the greater the power),
- c. the number of patients in the trial and their distribution between the various methods of treatment, and
- d. the degree of actual difference in effect between the methods of treatment compared.

The difference in effect under d. concerns the difference in parameter applied as a criterion (e.g. relative frequency of survival, or mean survival time) in the entire patient population considered. When the experiment is being planned, this difference is always unknown. The power must be interpreted as a function of this unknown difference. Knowledge of the power of the test to be applied is essential for the design of a clinical trial and in particular for estimating the number of patients required.

This knowledge can be applied in various ways:

- a. We can determine the degree of difference in effect which we want to demonstrate by the experiment to a reasonable certainty, e.g. a probability of 95%. Once the test and the level of significance to be applied are defined, this results in a minimum number of patients required per method of treatment. This minimum is henceforth called the statistically required number of patients. It depends on the test used, the level of significance, the difference in effect to be detected, and the desired probability that this difference will be detected.
- b. If method a. requires prohibitively large numbers, we may also base our trial on the number of patients becoming available within a reasonable period of time. The power of the test then indicates the degree of minimal difference in effect one is able to demonstrate with a probability of e.g. 95%. When in this connection we speak of detecting a particular difference in effect, this only means that we have demonstrated that the difference in the population is not  $= 0$ . It does not mean, therefore, that the difference is of a particular degree.

In the following paragraphs we shall discuss three statistical tests applicable for the analysis of clinical trials. We shall limit ourselves to descriptions of the principles of the tests and to tables of the numbers of patients required. For further details concerning the tests and the theoretical backgrounds to the tables, cf. Appendix Part VI.

Apart from the number of patients required the expected duration is also significant in planning for a trial. A particular test may be efficient in regard to the number of patients required but may take much longer to reach a decision than some other test requiring more patients. A discussion of the duration of trials can also be found in Part VI of the Appendix.

A certain population of patients suffers from a particular disease. For this disease two methods of treatment, A and B, may be considered. The result of the treatment is evaluated on the basis of a criterion such as death, recurrence or the development of metastases within a certain period after commencement of treatment. For the sake of clarity we shall for the moment assume that the criteria are, that the treatment has been 'successful' if the patient survives and 'unsuccessful' if he dies within 5 years after commencement of treatment. Let us call  $P_A\%$  and  $P_B\%$  the unknown proportions of patients in the population considered in whom method A and method B are successful.

We regard the methods of treatment as equivalent if  $P_A = P_B$  and unequivalent if  $P_A \neq P_B$ . To check this we take two random samples from the population, both consisting of the same number  $S$ . The patients in one sample are treated by method A and those in the other sample by method B. If  $n_A$  patients in the first sample and  $n_B$  patients in the second sample show a certain effect within the period defined, the hypothesis  $P_A = P_B$  will be rejected if  $\frac{n_A}{S} - \frac{n_B}{S}$  is 'sufficiently large'. This 'suffi-

ciently large' depends on the desired level of significance  $\alpha$ . For further particulars, and a detailed example, cf. Appendix Part VI. If we also demand that the hypothesis  $P_A = P_B$  should be rejected with reasonable certainty, for instance at least  $(100 - \beta\%)$ , if  $P_A$  and  $P_B$  have different, known values, this leads to a certain minimal value of  $S$ . This value  $S$  is listed in table S1, for  $\alpha = 5\%$  and  $\beta = 5\%$ , for the values of  $P_A$  and  $P_B$  which are multiples of  $10\%$ . In order to give an impression of the value of  $S$  if a power of  $90\%$  is required, table S2 was calculated for  $\alpha = 5\%$  and  $\beta = 10\%$ .

In the appendix we have also calculated the factor for a number of values of  $\alpha$  and  $\beta$  by which the necessary number of observations from table S1 must be multiplied if a power other than  $95\%$  and/or different level of significance is desired. For  $\alpha = \beta = 5\%$ , we find that the  $S$  necessary with 5 year survival probabilities of  $40\%$  and  $50\%$  for methods A and B amounts to  $642$ . If  $\beta = 10\%$  were acceptable, this number would become  $0.809 \times 642 = 519$  (cf. table S2) and if only a  $\beta = 1\%$  were accepted,  $S$  would become  $1.849 \times 642 = 1,187$  (cf. Appendix Part VI).

To limit the number of tables in our further discussion, we apply a level of significance  $\alpha = 5\%$  and a power of  $(100 - \beta) = 95\%$ , the values usually accepted in statistical testing. Obviously the possible applications of these tables are limited to clinical trials in which the criterion of comparison is the proportion of patients who present a certain reaction within a certain period. Even within this design, the table does not supply complete information: it is only valid for experiments in which but two methods of treatment are compared. The eventuality of comparison of more than two methods of treatment, where the procedure is much more complicated, is not expounded in this study.

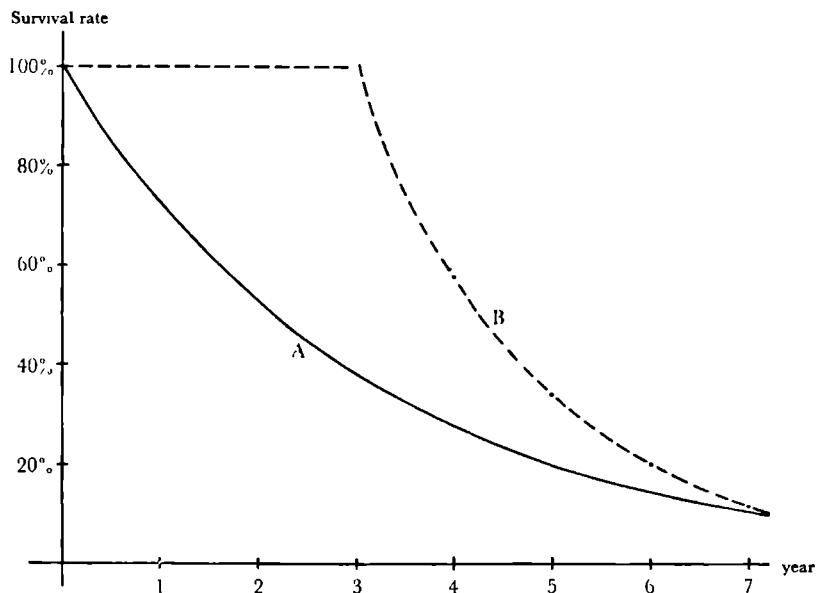
Another limitation of the table is that it is assumed that the two samples to be compared are of the same size. An experiment with equal numbers of patients in both treatment groups is admittedly more efficient than an experiment with unequal numbers but in practice it is possible that one of the two therapeutical methods can only be applied to a limited number (less than  $50\%$ ) of the patients available, for instance due to lack of technical facilities. Strictly speaking, the table only applies

where the groups to be compared may be regarded as random samples from a population. The parameters  $P_A$  and  $P_B$  are defined in relation to this population. For instance, when all the patients available in a given area are included in the experiment, the group will have to be regarded as a sample of an imaginary population or it will have to be assumed that in every case there is the same probability  $P_A$  ( $P_B$ ) that the patient will present the reaction within the period defined under treatment by method A (B).

At the beginning of this section we mentioned a criterion for evaluation of the results of therapy. This criterion must be defined primarily on medical grounds. If from the medical point of view several criteria are equally useful for deciding whether there is any difference between two methods of treatment, we may consider a choice on the basis of the numbers of patients required. If 5 year survival is selected as the criterion, the probabilities that patients survive for 5 years after treatment by methods A and B might be, say, 40% and 50% respectively. The number of patients required to detect this difference is 642 per treatment group. If the probability of local recurrence within 5 years is selected as the criterion, these probabilities may be 10% and 20% respectively and the number of patients then necessary to detect this difference amounts to 323 per treatment group.

Greater selectivity resulting in formation of more homogeneous patient groups may also lead to a reduction in the number of patients required (as explained at the end of Part VI of the Appendix) while the chances that the trial will supply answers to specific questions are even better with greater selectivity (cf. Ch. III § 6). In choosing the criterion we must further consider the fact that effectively different methods of treatment may differ not only in the chances of 5 year survival achieved, but also in the entire survival curve. Suppose the curve after treatment A is exponential (cf. Appendix Part VI), while after treatment B it remains practically horizontal for 3 years because metastasization is delayed by hormonal treatment.

FIGURE III-1. *The consequence of a difference in survival curve between treatment A and B.*



The difference in 3 year survival rates will then be considerable. If we further suppose that the sensitivity of the tumor to hormone treatment rapidly decreases after 3 years, the survival curve for treatment B would become exponential after this period and the two curves would rapidly approach each other. Had the 7 year survival rate been selected as criterion, it is probable that no distinct difference would have been detected, despite the fact that method B has marked advantages (cf. Figure III-1).

In planning a trial, a choice must ultimately be made. When the investigation involves an entirely new method of treatment, with no clear expectations concerning chances of survival, we may at the most consider a pilot trial with a small number of patients, e.g. only sufficient to allow detection of a difference of 30% in the chance of survival.

However, when the effect of an entirely new therapy is to be studied, a pilot trial of limited extent may be very useful because it obviates the danger of any important advantage of the new method escaping notice. If the new therapy proves greatly inferior, the number of patients treated by it is only small. If we can assess the difference in chance of survival which is maximally possible, if necessary after a pilot trial, we must determine the number of patients required by means of table S1. If this number is found to be too large, it would hardly be advisable to organize a trial. If the number is feasible, we are confronted with a dilemma. The larger the number of patients included in the therapeutic experiment, the smaller the difference in chance of survival detectable by the investigation and the clearer the conclusion that can be drawn.

If the number of observations is sufficient to detect a difference of e.g. 10% in survival chance, we may, if the trial does not yield a significant result, conclude that the difference in survival chance is 10% at the most. This maximum possible difference may be so small that no further study can be considered. On the other hand, a new trial may be started with a sufficient number of patients to detect the difference. This possibility makes it advisable when planning a trial to pay special attention to its practical feasibility. If a trial is on too large a scale, coordination between the participating centers may become a problem, it may be difficult to follow all patients, to establish cause of death in sufficient detail, etc. On the other hand trials with large numbers of patients do have the advantage of keeping us better informed concerning the effect of treatment on the course of the disease and giving us an impression of the complications likely to occur (Witts, 1964).

One must also remember that a trial not offering a 95% probability of detection of a particular difference in the 5 year survival rate may nevertheless have a fairly good power in regard to this difference (cf. Appendix Part VI table A1).

The examples worked out in this thesis are based on the assumption that differences in probability of survival of 10% or multiples thereof had to be detected. For this purpose, table S1 has generally been used (cf. Ch. IV).

For  $P_A$  and  $P_B$  we chose values approximating to the relative survival rates found in our material or in the reports of other investigators.

Table S1 is based on the  $\chi^2$  test for a  $2 \times 2$  table.

In § 4 and § 5 we described two other test methods (for detailed descriptions cf. Appendix Part VI). With comparable alternatives these methods may require fewer patients than the  $\chi^2$  test. They may also lead to a conclusion in a shorter time.

Nevertheless in trials concerning mammary carcinoma, the  $\chi^2$  test was applied

as a general rule, its principal advantage being that it is less complicated, especially on the following points:

1. The criterion is simple: all that needs recording concerning the patients involved being whether they have died within a certain period and whether the mammary carcinoma may be regarded as the direct or indirect cause of death. The administrative work is therefore relatively light.

2. The number of patients required depends exclusively on the chances of surviving the period defined. No further assumptions concerning the distribution of the survival times need be made.

3. The duration of the trial can be estimated beforehand and with reasonable accuracy. It is the length of time required to collect the required number of patients (which can be estimated if the annual intake is known) plus the length of time until the result of the treatment is evaluated.

In contrast to the sequential methods, valid evaluation of the results is only possible once the entire trial has been completed. This constitutes an advantage in as much as it keeps the administration of the trial simple. It has the disadvantage that a statistically justified conclusion is difficult to draw if an interim comparison of survival rates shows that the results differ greatly. The sequential methods lead automatically to a rapid decision in such situations.

With the  $\chi^2$  test one is only justified in discontinuing the trial when the number of deaths under one treatment (A) is already so much larger than that under the other method (B) that even when the results still to come would be as favourable as possible for A, the conclusion would still have to be that B was significantly better than A. Naturally, such a situation will only occur rarely. It is only possible once the results are known for the vast majority of patients included in the trial.

TABLE S1. *The requisite number of patients for each of the two therapies according to the  $\chi^2$  test for a  $2 \times 2$  table (two-sided test; level of significance:  $\alpha = 5\%$ ; minimum power:  $100 - \beta = 95\%$ )\**

Probability of success after B (in %)	Probability of success after A (in %)										
	0	10	20	30	40	50	60	70	80	90	100
0		63	31	20	14	11					
10	63		323	98	50	31	21	15	11		
20	31	323		483	133	63	37	24	16	11	
30	20	98	483		589	154	70	39	24	15	
40	14	50	133	589		642	161	70	37	21	
50	11	31	63	154	642		642	154	63	31	11
60		21	37	70	161	642		589	133	40	14
70		15	24	39	70	154	589		483	98	20
80		11	16	24	37	63	133	483		323	31
90			11	15	21	31	50	98	323		63
100						11	14	20	31	63	

\* Based on an article by Paulson (1947), but adjusted to 2-sided testing (cf. Appendix Part VI).

TABLE S2. *The requisite number of patients for each of the two therapies according to the  $\chi^2$  test for a  $2 \times 2$  table (two-sided test; level of significance:  $\alpha = 5\%$ ; minimum power:  $100 - \beta = 90\%$ ). Figures obtained by multiplying the numbers of table S1 by 0.809 (cf. Appendix Part VI, pag. 184).*

Probability of success after B (in %)	Probability of success after A (in %)										
	0	10	20	30	40	50	60	70	80	90	100
0		51	25	16	11	9					
10	51		261	79	40	25	17	12	9		
20	25	261		391	108	51	30	19	13	9	
30	16	79	391		477	125	57	32	19	12	
40	11	40	108	477		519	130	57	30	17	
50	9	25	51	125	519		519	125	51	25	9
60		17	30	57	130	519		477	108	40	11
70		12	19	32	57	125	477		391	79	16
80		9	13	19	30	51	108	391		261	25
90			9	12	17	25	40	79	261		51
100						9	11	16	25	51	

#### § 4. Wilcoxon's test for two samples

Wilcoxon's test is a statistical method which uses survival time since commencement of treatment as the criterion of the results. It leads to the conclusion that therapy A is better than therapy B when the patients treated by method A survive for significantly longer after commencement of treatment than those treated by method B. A high intake of patients per unit of time is favorable because it reduces the starting-up period of the trial to a minimum.

For calculating the numbers of patients required for the Wilcoxon test, we must not only specify the difference in result we want to demonstrate, as in the  $\chi^2$  test, but we must also specify the survival curve after the two methods of treatment. For a more detailed discussion of Wilcoxon's test the reader is referred to Part VI of the Appendix.

#### § 5. Sequential Analysis

A different possibility is represented by the sequential methods analysis. For one of the sequential techniques considered, patients are divided into pairs. This may be done by constantly forming pairs of two successively admitted patients. It is, however, preferable if possible to pair every patient with another patient who resembles her in certain characteristics important to the result of treatment. This may mean that a pair of patients can only be completed after some time.

Therapy A is then assigned to one member of each pair and therapy B to the other, always at random; lots must be drawn as soon as the first patient of the pair starts treatment (cf. Appendix Part VI).

## § 6. *The constitution of homogeneous groups*

All diseases, in our case mammary carcinoma, have their natural course. There are many possible variations in biological characteristics such as rate of growth, hormone-dependence, sex chromatin and the manner and rate of metastatic growth. The influence which these characteristics exert on the course of the disease is insufficiently known, if at all. Instituting a therapy means that a characteristic is added to the natural course, with the intention of influencing it favorably. The influence of this added characteristic on the course can be evaluated only in its relation to as many as possible of the natural characteristics considered capable of influencing the course. If the individual characteristics of the patients are not taken into account, the same therapy can be expected to yield considerably different results.

If a trial is limited exclusively to patients possessing those characteristics that will be influenced precisely by the methods of treatment to be studied, a maximal effect may be expected from this trial. Accordingly, strict criteria will have to be defined to be fulfilled by the patient and the tumor before the patient can be included in the trial; the criteria must be adequate for the investigation to be carried out.

These criteria must be defined in such a way that no doubt is possible concerning their interpretation. Thus homogeneous groups of patients are formed which allow of specific analysis. Only then will it be possible accurately to determine in what way and to what degree the therapy has affected the course of the disease. It will be obvious, for instance, that when a local treatment with a curative intention is to be evaluated, no patients will be included in the trial whose pathological process has already spread beyond the area to be treated. This reasoning does not imply that a trial with a heterogeneous patient material is impossible.

If we were to include all available patients into a trial intended to evaluate the effect of a certain therapy on the local process, the treatment would have little or no influence on the evolution of the disease in a considerable number because the pathological process would already have spread beyond the area to be treated. This renders analysis of the trial very difficult and it is even doubtful whether valid conclusions could be reached at all. Owing to the inclusion of a group of irrelevant patients, a possible favorable effect of a therapy might well not manifest itself clearly. As appears from the design of numerous current trials investigators are thoroughly aware of the favorable effect of maximum homogeneity of the patient groups where the investigation in question is concerned (Flamant, 1969).

In this context Bradford Hill (1960) wrote: 'the basic principles are randomization, replication and unbiased observation. In a simple experiment in the laboratory the scientific worker wishes to see what happens to A if he manipulates B. He tries to keep constant all other factors that may upset or influence the relationship. Similarly in the controlled trial we endeavour to keep constant the characteristics of our patients that may influence the comparisons of those on treatment A and those on treatment B. Some of those characteristics we can keep constant by stratification – by keeping equal in the two groups such obvious features as age and sex. Other characteristics we cannot deliberately equalize, and our aim is to achieve it by the random allocation which, in the long run, offers no favour to one or other group'.



As argued above, it is important for homogeneous groups to be formed from the patient material. These groups are selected on the basis of factors able to influence the result of a treatment, e.g. clinical stage, patient's age, localization of tumor, etc.

The possibility may then be taken into account that two methods of treatment are equivalent for one group but not for another. The number of patients available per group must be as large as possible in order that the statistical test applied may have sufficient power. Let us suppose that in view of the desired power per method of treatment, S patients are required per group when two therapies (t) are compared and both are applied to the same number of patients, *the number of patients actually included in the trial* e.g. the statistically required minimum number

$$X_{\text{clinical trial}} (X_{\text{ct}}) = t \times S = 2S.$$

Suppose the group concerned consists of F of the total number of patients who suffer from the disease.

*The total number of patients with mammary carcinoma that must be available if the trial is to be possible*  $X_{\text{material}} (X_{\text{m}})$  must now fulfil

$$\frac{F}{100} \times X_{\text{m}} \geq t \times S$$

or

$$X_{\text{m}} \geq \frac{100}{F} \times t \times S$$

The least number of patients  $X_{\text{m}}$  required in order for a justified conclusion still to be possible in the subgroup is then

$$(1) \quad X_{\text{m}} = \frac{100}{F} \times t \times S$$

in which F is the percentage corresponding to the subgroup to be examined and t the number of therapies compared.

In order to facilitate the calculation of  $X_{\text{m}}$  we have introduced the multiplication-factor  $\frac{100}{\%} = \frac{100}{F}$ .

*If the subgroup concerned makes F% of the material available,  $\frac{100}{F}$  indicates the factor by which the number of patients required on statistical grounds (S) must be multiplied if all patients included in the trial are to meet the criteria.*

Conversely we can deduce from equation (1) the relative size  $F_{\text{min.}}$  of the smallest subgroup within which the methods of treatment can still be compared if the total number n of patients available is known. This number must be substituted for  $X_{\text{m}}$  in (1) and F solved:

$$(2) \quad F_{\text{min.}} = \frac{100 \times t \times S}{n}$$

Accordingly when planning a clinical trial we must know the number of patients who are or will become available, but we must also know how these patients are divided between the relevant subgroups. In practice it is often impossible to obtain exact data

on these points. Every reasonably reliable, if general, information on this point is of importance, however. At first we only need to know the order of magnitude of the number of patients required to decide whether a particular clinical trial will be feasible or not with the available patient material. If in the course of the experiment it appears that the required number of patients is not reached in certain subgroups, we can always decide to continue the experiment longer or refrain from drawing conclusions where these subgroups are concerned. We must also consider the calculations carried out in this thesis. On the basis of the available material of mammary carcinoma in The Netherlands we have attempted to deduce the percentages of subgroups of interest for comparing therapies. We are aware that these patients do not constitute a sample from a well-defined patient material obtained according to recognized techniques of random selection. Nevertheless, we consider the sample sufficiently representative of the population of Dutch women suffering from mammary carcinoma to substitute the percentages calculated from this group into one of our equations (1) and (2) so as to obtain an impression of the size of an adequate clinical trial concerning mammary carcinoma.

Possibly superfluously, we would point out in this connection that this does not run counter to our decision not to use the data for a retrospective comparison. Even though it is a reasonable assumption that the clinics involved will not have applied any radical selection in accepting patients with mammary carcinoma for treatment (or at any rate none other than is usually applied in this respect), this does not guarantee that no selection was applied in selection of treatment. And such a guarantee would be necessary for a retrospective comparison of therapies.

Still, our calculations are only orientative in character. Even if the patient material had been a random sample from the population of mammary carcinoma patients in The Netherlands during the period of the trial, we still have to take into account the normal random sample variation in the percentages calculated as well as the fact that the composition of the population may change with the passage of time. In regard to the random sample variation, suppose a particular percentage is estimated as  $F\%$  and the total material contains  $n$  patients. The relative error in the percentage found and accordingly in the minimum number of patients required according to equation (1), will, with a margin of error of 5 to 10% ( $F$  not too near 0 or 100%) not exceed

$$2 \sqrt{\frac{100 - F}{nF}}$$

From this we can conclude that if for  $n = 1,417$  patients for the subgroup, we estimate a percentage of 10 on the basis of the random sample variation, we may expect a relative error exceeding 16% in the calculated number of patients required for a clinical trial. This relative error is listed for different values of  $F$  and  $n = 1,417$  in table S3.

This table shows that the relative errors which may occur do not necessarily constitute an objection since it is only our intention to make a general estimate of the number of patients required. It should, however, be remembered that other (systematic) errors may also play a part in the estimation of percentages of patients in the various subgroups, since our material is not necessarily representative of all characteristics.

TABLE S3. *Table of two times the relative standard error e in the required number of patients as a function of the selection percentage F. The sampling error will usually not exceed e.*

F (%)	e (%)
5	23.2
10	15.9
15	12.6
20	10.6
25	9.2
30	8.1
35	7.2
40	6.5
45	5.9
50	5.3
55	4.8
60	4.3
65	3.9
70	3.5
75	3.1
80	2.7
85	2.2
90	1.8
95	1.3

The factors which may affect the treatment of mammary carcinoma are e.g. special characteristics of the tumor or of the patient, such as the extension of the pathological process expressed as the stage according to one of the international standard classifications, the site of the tumor, the age of the patient and her curative operability. Two of these characteristics, the stage classification (§ 8) and the curative operability (§ 7) are discussed later in greater detail.

In order to determine their influence on the number of patients required for a clinical trial for this purpose, the characteristics determining the natural course of the disease must first be listed. It will thus be found that in an average series of patients some characteristics are very frequent while others occur more sporadically. Particularly when we combine sporadic characteristics, this combination will occur only rarely.

In order to show how to calculate the percentage of a subgroup selected on the basis of various criteria, we give a concrete example. Suppose these criteria imply that the patients concerned have a stage I tumor at an age under 70 years, with a tumor with lateral localization which in retrospect might be regarded as suitable for curative surgery. In that case the percentage F of the patients in this subgroup may be calculated by means of the following equation:

$$(3) \quad \frac{F_n}{100} = \frac{f_1}{100} \times \frac{f_2}{100} \times \frac{f_3}{100} \times \frac{f_0^*}{100}$$

In this equation:

$f_1$  = the percentage of patients available with a stage I tumor

$f_2$  = the percentage of patients available with a tumor in stage I and aged under 70 years

\* The symbol O is used here to indicate the curative operability.

$f_3$  = the percentage of patients available under 70 with stage I tumors with a lateral localization, and

$f_0$  = the percentage of patients available under 70 with laterally localized tumors in stage I, who in retrospect were found to have been suitable for curative operation.

In this formulation, the possibility is left open that the various factors by which the patients are subdivided depend on each other. The percentages  $f_2$ ,  $f_3$  and  $f_0$  do not stand in relation to the entire group of available patients who have the properties mentioned in the categories above. If instead we substitute percentages of the entire group of patients into equation (3), it must be assumed that the factors in the various categories are independent. If independence is assumed, use can be made of the data for the calculation of  $F$  from the single tables ( $f_1$  —  $f_{50}$ , cf. Appendix Part I).

However, it is possible that two characteristics to be considered in the study are dependent (e.g. age and menopause). In that case  $F$  can be computed only if the combined frequency ( $f_c$ ) of two characteristics is known. Should this dependence be neglected, then the number of patients will be over- or underestimated. When two characteristics are dependent, use must be made of the contingency tables and the combined frequency  $f_c$  must replace the product of the individual frequencies.

The contingency tables have been worked out for 15 characteristics in an attempt to gain an impression of the influence of eventual dependencies on our computation. Elaboration of the combined frequencies between other, less frequent characteristics seemed less meaningful, because our calculation only aims at a global estimate.

No combinations of more than two characteristics have been worked out in the  $f_c$  tables. On page 55, however, we present an example in which  $F$  is calculated from our  $f_c$  tables. In addition we show the result of the same calculation carried out by computer, in which all possible dependencies have been taken into account. In this example the ultimate outcomes are highly similar.

If a parallel examination of different subgroups is desired, we must calculate the number  $X_m$  on the basis of the frequency  $F$  of the smallest subgroup. However, this has the consequence that more patients possessing the less rare characteristics will be available than are strictly necessary for the trial.

It will be clear from the above that the more we select in the two therapy groups, the greater the total number of patients required ( $X_m$ ) will become, because this number depends on the percentage of incidence of the select group in the patient material. As table S1 shows, the number of patients  $S$  required depends not only on differences in the 'success' percentages in the population but also on their average values. If this lies close to nil or 100%, the required number  $S$  grows smaller for the same difference. In this connection we may attempt to apply such a selection that a large difference may appear in 'success percentages', which means we want a subgroup of patients in which it may be expected that one of the two methods of treatment compared will be specifically more suitable than the other, while at the same time in the selection of the success criteria we strive for a high, or conversely, a low, mean percentage of success in the two groups (for a detailed calculation cf. Ch. V §2 p. 65).

However, the problem here lies in the fact that as soon as there are grounds for expecting a considerable difference in success percentages, the ethical permissibility of the trial becomes doubtful. Presumably the best we may expect from a selection in subgroups is that somewhere a large, unexpected difference in success percentages will occur, with relatively high or relatively low percentages of success.

This chapter deals with the attempt to get an idea of the numbers of patients suitable for inclusion in the material to be studied after completion of a clinical trial. One of the factors that may influence this number is the curative operability. We regard as curatively operable those patients who in principle might be cured completely by means of a particular therapy. Patients in whom the pathological process has already spread outside the region to be treated are regarded as curatively inoperable. When we intend to study in principle curative local treatments, we only can use real stage I or stage II patients. When afterwards it appears that a number of these patients must be classified as stage IV, this group of patients must be excluded from the trial. In the headings of the tables concerning curative operability (cf. Appendix Part II), we shall use the letter O.

Patients dying of causes other than tumor must also be excluded from the trial. Even if we wish to exclude all patients with a double carcinoma or with carcinoma other than a mammary carcinoma, the precise number of these patients can only be determined after the end of the trial, unless it is decided to exclude only those patients that fulfil this condition at the beginning of the trial. One of the data that can only be known after the trial is the number of patients who for some reason cannot be followed up until the end of the trial.

If it is found in retrospect that a number of patients have been classified incorrectly, and consequently have been included incorrectly in the trial, it is permissible to exclude these patients from the trial if the phenomenon can be expected to an equal extent in the two groups to be compared.

In calculating the number of patients necessary, it is desirable to take this factor into account if the number of patients concerned are considerable. Owing to coincidence, the distribution over the patient groups may show great differences. However, the groups remain comparable in spite of this difference, because they were composed by lots, and statistical analysis remains possible although the optimal fifty-fifty division is now lost.

The success of a clinical trial may depend on the inclusion or exclusion of the curatively inoperable patients. The presence of a large number of patients whose prognosis cannot be expected to be influenced by the treatment may render the ultimate differences in the results so small that the superiority of any form of treatment can no longer be determined.

This problem will be particularly in evidence with local therapies, aimed at the curative treatment of the local process, and less clearly with general therapies that may influence the time of appearance of distant metastases (e.g. ovariectomy cf. clinical trial Paterson Ch. V § 3, or treatment with cytostatic agents).

If only the effects of general therapies on the frequency of local and regional recurrence are to be studied, irrespective of the development of distant metastases, it is better not to select cases on the basis of curative operability.

The consequences of the 'curative operability' for a clinical trial are as follows: Given a population of patients in whom the effect of treatment A is to be compared with that of treatment B, it is to be expected that for a part of this population, to be estab-

lished beforehand or in retrospect, the effect of both methods of treatment will be the same (mostly nil). These patients we shall call the irrelevant cases (irrelevant as regards the methods of treatment to be compared) or 'curatively inoperable' patients.

The presence of irrelevant patients in a clinical trial may exert an unfavorable influence on the discriminative qualities of the tests to be applied, so that the number of patients necessary may be relatively larger than when the irrelevant patients are left out of the trial. Both the effect on the difference in result and the effect on the number of patients necessary will be demonstrated by the following example.

If we assume that two therapies A and B are compared in a clinical trial with patients in stage I, II and III, then the patients who die within 3 years after the beginning of the therapy are regarded as irrelevant. On the basis of the data listed in table O8, we expect that this will be the case in 40% of the patients. Therefore, if we commence the test by applying therapies A and B in equal groups of patients, both of which have been composed at random, the chance that a person will prove to be irrelevant is 40% in both groups. Now let us assume that the irrelevant patients are not included. This means that our therapy groups actually consist of patients who are still alive at least 3 years after the beginning of the therapy and that we evaluate the result of the trial on the basis of the numbers of these patients who are dead 7 years later. Let us further assume that the 10 year mortality chances are 60% and 70%, and the 3 year mortality chance in both groups, 40%. The chance that a patient will survive for longer than 3 years but for shorter than 10 years then amounts in group A to  $60 - 40 = 20\%$  and in group B to  $70 - 40 = 30\%$ . In relation to the group of the relevant patients ( $100 - 40 = 60\%$  of all patients), therefore, there is a 10 year mortality chance of  $20/60 = 33.3\%$  in group A, and of  $30/60 = 50\%$  in group B; this difference in mortality chance of 16.7% in the relevant patients is equivalent to the difference between 60 and 70% in all patients. Now let us assume that the irrelevant patients are counted in. In both therapy groups we include 589 patients. According to table S1, there will then be a 95% chance that a significant difference between the therapies will be found, when the 10 year mortality chances  $P_A$  and  $P_B$  are 60% and 70%, respectively.

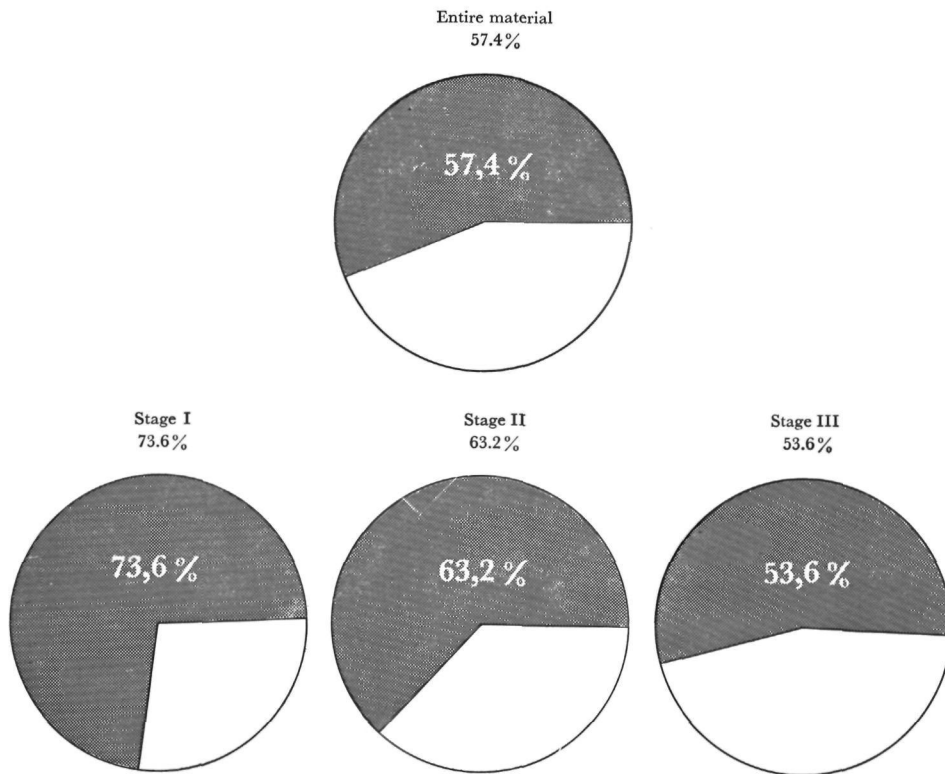
Now if the irrelevant patients are left out and  $P_A = 33.3\%$  and  $P_B = 50\%$ , we find that per therapy 154\* patients are necessary for these differences to be demonstrable; predictably, therefore, per therapy group  $\frac{100}{60} \cdot 154 = 256$  patients in all. This is only 43.5% of the 589 patients who are thought to be necessary when the irrelevant patients are not concerned beforehand. Accordingly, exclusion of the irrelevant patients in this case means a considerable decrease in the number of patients necessary for a particular difference in the 10 year mortality rate to be demonstrable.

### *Why the curative operability is considered as important*

A study of the figures in our material concerning the course of the disease raised the question of the validity of an evaluation of the results of therapies intended as curative

\* In view of the construction of table S1, a value of 30% has been chosen for  $P_A$  and value of 50% for  $P_B$ .

FIGURE III-1. *The 'curative operability' on the basis of 'death from tumor within 3 years after the beginning of the treatment' (10 years follow up, cf. Appendix table O4); each clinical stage considered as 100%.*



in a group of this kind. A considerable number of patients with stage I, II or III tumors showed obvious distant metastases shortly after institution of treatment (cf. tables O1–O2), and many patients died shortly after (cf. tables O5–O6, figures III-1 and III-4). It seems highly likely that the distant metastases were already present in these patients when treatment was started. It is unlikely that in these cases the course can have been influenced by a therapy meant only to cure the local and regional disease process.

Moeys (1953) wrote in this context: 'When a cancerous growth has been removed in toto and no metastases have been found during the operation, the conclusion that the patient has been cured is unfortunately unjustified. Cancer is not a local abnormality but a systemic disease. To my mind, a mammary carcinoma has already produced disseminations outside the field of operation of even the most radical surgeon'.

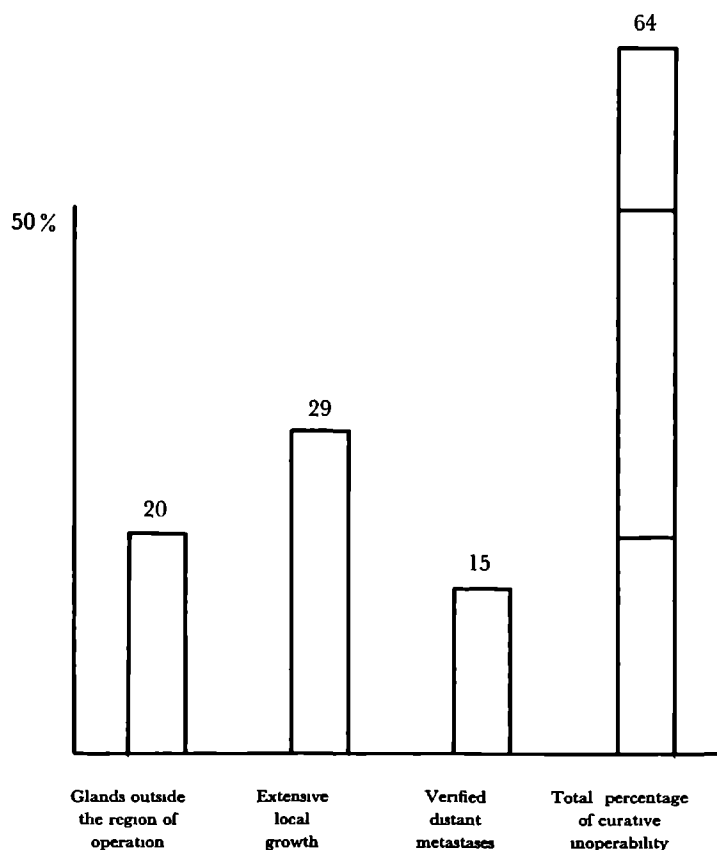
McDonald (1958) and McWhirter (1955) have also presented arguments in favor of a limited curative operability. On the basis of data from the literature, McDonald (1958) presented a study of mammary carcinoma according to their biological character. He studied groups of untreated and treated patients and concluded that therapy

cannot influence an unfavourable course in 40% of patients with mammary carcinoma; in another 40% the course is favourable and likewise uninfluenced by therapy. In only 20% of patients can early effective therapy be expected to influence the disease process.

If our multiplication factor (cf. Ch. III §6) regarding the operability was computed on the basis of the number of patients suitable for an attempt to establish the value of therapy in mammary carcinoma, then according to McDonald, the multiplication factor would amount to  $\frac{100}{\%} = \frac{100}{20} = 5$ .

McWhirter (1955) likewise made an estimate of the percentage of inoperable patients on the basis of data from the literature. He did so in view of the rate of occurrence of pathological glands in the various glandular regions, the local dissemination and distant metastases. Pathological axillary glands were found in 34% of cases; of this group, 60% showed supraclavicular or parasternal metastases. These patients can be considered as curatively inoperable.

FIGURE III-2. *Specification of the inoperable patients according to McWhirter*





This gives us (cf. Figure III-2):

Glands outside the region of operation	20%
Extensive local growth	29%
Verified distant metastases	15%
Total percentage of curative inoperability	64%

The remaining 'curative operability' is therefore 36%. Using these data, our multiplication factor would amount to  $\frac{100}{\%} = \frac{100}{36} = 2.8$  according to McWhirter.

In a recent article by Bruce et al. (1970) we have found additional arguments in favor of our views on curative operability. On the basis of the patterns of recurrent disease in 876 patients, 65% of which had been treated according to the method of McWhirter (follow up 10 years or more), the authors conclude 'Our experience has made it abundantly clear that distant recurrence is the essential feature of therapeutic failure, and, when there is both local and distant recrudescence, the local and the remote lesions are virtually synchronous'. Stages I and II (Manchester classification) were regarded as 'potentially curable'. Local recurrence is encountered in 31% of this group of patients and distant metastases in 49% (cf. table III-1 and table III-2).

TABLE III-1. *Local recurrence in 'potentially curable' patients\**

Clinical stage	No. of patients	Site of local recurrence			No. of patients with local recurrence	% incidence of local recurrence
		Axilla	Chest wall	Supraclavicular		
I	238	33 (14%)	35 (15%)	26 (11%)	58	24
II	185	54 (29%)	48 (26%)	33 (18%)	73	40
'Potentially curable'	423	87 (21%)	83 (20%)	59 (14%)	131	31

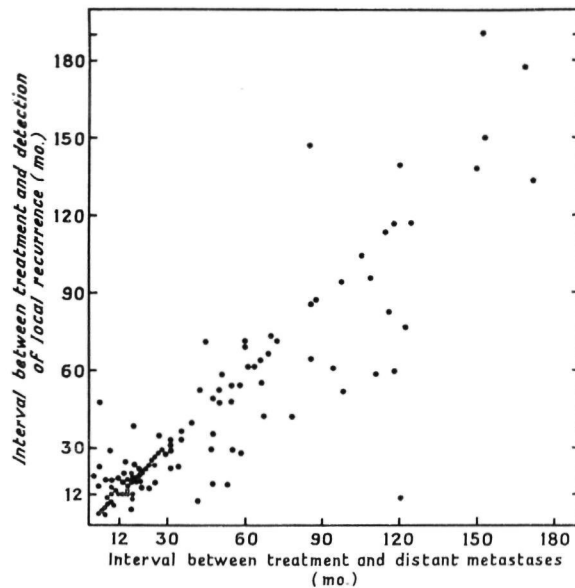
TABLE III-2. *Distant metastases in 'potentially curable' patients\**

Clinical stage	No. of patients	No. with distant metastases	No. with skeletal metastases	% incidence of distant metastases
I	238	104	60	44
II	185	104	55	56
Potentially curable'	423	208	115	49

It further appears from this study that the largest number of recurrences are seen during the first 3 years after the beginning of the treatment (cf. Figure III-3 from Bruce).

\* Table from Bruce (1970).

FIGURE III-3. *Time-intervals between primary treatment and the detection of recurrent disease in 112 potentially curable patients showing evidence of local and distant recurrence (from Bruce, 1970).*



The degree of 'curative operability' of mammary carcinoma was also estimated on the basis of data from our own material. A patient was regarded as 'curatively inoperable' by the author when occult distant metastases were present at onset of treatment. Two criteria were applied in this estimate, viz:

1. Manifestation of distant metastases within 3 years of starting treatment.
2. Death as a result of tumor growth within 3 years of starting treatment.

According to this assessment the remaining part of the series was regarded as 'curatively operable'. For computation we must define a period within which metastases must be demonstrable to warrant a conclusion of curative inoperability. However, since the rate of metastatic growth is uncertain, such a definition is impossible. Nevertheless, in order to gain an impression of the rate of metastatic growth and related 'curative operability', we computed for both criteria the figures over a 3 year period from starting treatment. The 3 year period was chosen because our material indicates that some 40% of distant metastases become manifest within 3 years and some 40% of the patients of our series died of tumor within 3 years.

An additional argument in favor of a term of 3 years as a criterium of the curative operability is the length of time that elapses between the first occurrence of distant metastases and the time of death of the patients in our series. The duration of this period gives an impression of the growth rate of metastases. In order to demonstrate this, an analysis was made of data concerning the 357 patients who were followed up for 10 years; no stage-classification was made. The metastases were classified according

All diseases, in our case mammary carcinoma, have their natural course. There are many possible variations in biological characteristics such as rate of growth, hormone-dependence, sex chromatin and the manner and rate of metastatic growth. The influence which these characteristics exert on the course of the disease is insufficiently known, if at all. Instituting a therapy means that a characteristic is added to the natural course, with the intention of influencing it favorably. The influence of this added characteristic on the course can be evaluated only in its relation to as many as possible of the natural characteristics considered capable of influencing the course. If the individual characteristics of the patients are not taken into account, the same therapy can be expected to yield considerably different results.

If a trial is limited exclusively to patients possessing those characteristics that will be influenced precisely by the methods of treatment to be studied, a maximal effect may be expected from this trial. Accordingly, strict criteria will have to be defined to be fulfilled by the patient and the tumor before the patient can be included in the trial; the criteria must be adequate for the investigation to be carried out.

These criteria must be defined in such a way that no doubt is possible concerning their interpretation. Thus homogeneous groups of patients are formed which allow of specific analysis. Only then will it be possible accurately to determine in what way and to what degree the therapy has affected the course of the disease. It will be obvious, for instance, that when a local treatment with a curative intention is to be evaluated, no patients will be included in the trial whose pathological process has already spread beyond the area to be treated. This reasoning does not imply that a trial with a heterogeneous patient material is impossible.

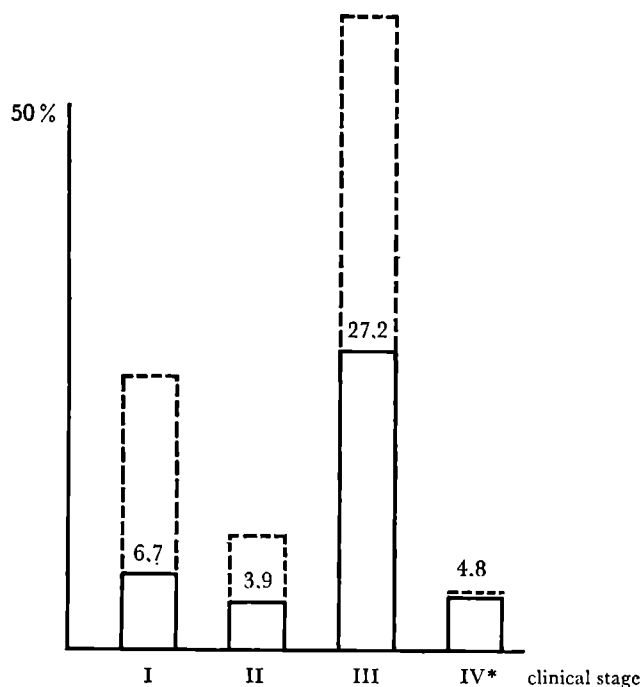
If we were to include all available patients into a trial intended to evaluate the effect of a certain therapy on the local process, the treatment would have little or no influence on the evolution of the disease in a considerable number because the pathological process would already have spread beyond the area to be treated. This renders analysis of the trial very difficult and it is even doubtful whether valid conclusions could be reached at all. Owing to the inclusion of a group of irrelevant patients, a possible favorable effect of a therapy might well not manifest itself clearly. As appears from the design of numerous current trials investigators are thoroughly aware of the favorable effect of maximum homogeneity of the patient groups where the investigation in question is concerned (Flamant, 1969).

In this context Bradford Hill (1960) wrote: 'the basic principles are randomization, replication and unbiased observation. In a simple experiment in the laboratory the scientific worker wishes to see what happens to A if he manipulates B. He tries to keep constant all other factors that may upset or influence the relationship. Similarly in the controlled trial we endeavour to keep constant the characteristics of our patients that may influence the comparisons of those on treatment A and those on treatment B. Some of those characteristics we can keep constant by stratification – by keeping equal in the two groups such obvious features as age and sex. Other characteristics we cannot deliberately equalize, and our aim is to achieve it by the random allocation which, in the long run, offers no favour to one or other group'.

FIGURE III-4. The number of patients (in percentages) 'died of tumor within 3 years after starting treatment' by stages (International TNM classification).

The whole series 10 years follow up is 100 percent.

Broken lines: number of patients (in percentages) of whole series 10 years follow up, by stage.



\* New manifestation of distant metastases within 3 years.

to localization, viz. local and/or regional recurrence and metastases in lungs, bones, liver, skin, brain or contralateral breast. The registration was based on one particular localization, with determination of the duration of survival after this metastasis had manifested itself, alone or in combination with metastases in some other localization. In every group of metastases, the total number of patients who exhibited metastases in that particular localization was regarded as 100%. In case of combination of two or more localizations, the course was registered for both cases. Patients with metastases in more than one localization were counted in anew for every localization.

As can be seen in table III-3 and table III-4, it is only patients with local and/or regional recurrences and patients with bone metastases who survive for longer than 3 years. This is the case only if there is no combination with other localizations.

The evolution is then as follows (cf. table III-3):

**TABLE III-3.** *The period that elapses between the first occurrence of local and/or regional recurrence and bone metastases respectively and the moment of death. The percentages indicate what portion of the total number of patients in each groups has died.*

Localization without combination with other metastases	total number of patients (= 100%)	% of deaths per year*					
		0	1	2	3	4 - 5	> 5
Local and/or regional	37	18.9	29.7	8.1	8.1	24.3	10.8
Bone	31	22.6	25.8	19.4	9.7	19.4	3.2

If combinations with other localizations are counted in, the course is as follows:

**TABLE III-4.** *The period that elapses between the first occurrence of metastases and the moment of death. In every metastatic group, all the metastases that occurred in combination with this localization were always included. The percentages indicate what portion of the total number of patients in every group of metastases has died.*

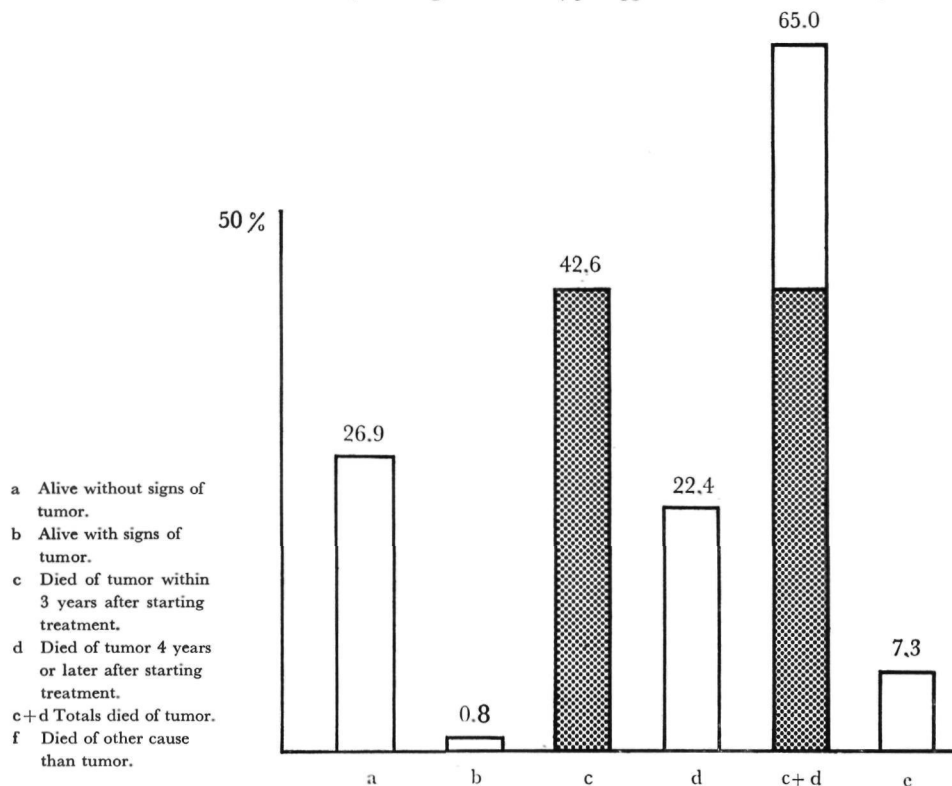
Localization	total number of patients (= 100%)	% of deaths per year*					
		0	1	2	3	4 - 5	> 5
local and/or regional	75	41.3	32.0	8.0	6.6	5.3	6.6
lungs	58	62.1	34.5	3.4	—	—	—
bone	63	48.0	32.0	12.7	4.8	1.6	1.6
liver	24	83.3	16.7	—	—	—	—
skin	8	75.0	12.5	—	12.5	—	—
brain	16	81.3	12.5	6.3	—	—	—
contralateral	7	42.9	28.6	14.3	14.3	—	—

We have arbitrarily selected a duration of 3 years as the criterium of curative operability. We are fully aware that after 3 years a manifestation of tumor growth outside the area treated may equally well indicate the presence of these metastases at the time of the treatment. Nevertheless we regard this period of 3 years as important because a development of metastases after its end rather tends to indicate a lack of radicality of the local or regional treatment, resulting in a new spread of tumor cells. However, the above considerations tend to show that the application of clinical classifications can never have more than very limited value in the definition of the prognosis. Accurate determination of the stage of clinical spread will only become possible with an improvement in the early diagnosis of distant metastasis.

The estimation of the curative operability was made from our material, which had been followed up for 5 years or more and 10 years or more. We also made a division according to clinical stages (International TNM classification): stage I through stage IV. The data concerning the curative operability are recorded in absolute figures, in percentages per stage and in percentages per combination of stages (cf. tables O 1, 2,

\* The figure 0 indicates 0 - 1 year after the beginning of the treatment.  
The figure 1, 1 - 2 years etc.

FIGURE III-5. *End results of treatment of mammary carcinoma. 10 years follow up. Different treatment modalities not considered, all stages included (cf. Appendix Part II table O6).*



5, 6, Appendix Part II). From these figures the multiplicationfactor was computed using table  $\frac{100}{\%}$  (cf. Appendix Part IV), and recorded in table O3, 4, 7, 8 (cf. Appendix Part II).

We must point out that the different methods of treatment in our series, are not considered in the assumption that they are not essential in this respect. The number of patients required for a definite clinical trial must be multiplied by the computed multiplicationfactor (from O tables) if the percentage 'curative operability' is taken into account.

Another characteristic influencing the number of patients suitable for follow up is mortality from disease other than that under investigation. In our material the mortality from other causes was 9.0% (whole series 5 year follow up) and 7.3% (whole series 10 year follow up). This means that respectively  $100 - 9.0 = 91\%$  and  $100 - 7.3 = 92.7\%$  of the whole series have not died from other causes than tumor. The multiplicationfactors are  $\frac{100}{91} = 1.10$  and  $\frac{100}{92.7} = 1.08$  respectively. In our computation regarding some imaginary trials (cf. Ch. IV) this factor is accounted for on the basis of the number of patients who died from other causes within 3 years ('high risk patients' 3.5%).

## § 8. Clinical classifications

In the attempt to obtain some impression of the prognosis on the basis of the clinical symptoms, a number of clinical classifications have been introduced. In these classifications the severity of the disease is expressed by 4 stages. Stage I indicates a limited spread of the disease, and the higher stages (II, III and/or IV) a greater spread. Such classifications should make it possible, during examination and definition of the indications of treatment, to define accurately what categories of patients are considered.

In clinical trials, it is important to form groups of patients with identical characteristics, because in this way the efficacy of the various methods of treatment can be better defined (cf. Ch. III § 6).

In regard to carcinoma of the breast, numerous classifications are in use and not always with identical criteria. With the aid of such data a scheme of treatment may be devised and the value of the therapeutic schemes later analysed. The question of whether it is indeed possible and useful to draw up a classification as to prognosis on the basis of the clinical symptoms will not be considered in this chapter (for curative operability cf. Ch. III § 7).

The discussions in this chapter are helpful in demonstrating the necessity of the use of comparable groups of patients in investigations concerning carcinoma of the breast. As can be seen in table III-5, where a number of series of patients are compared that have been subdivided according to different classifications, the staging differs so much that it is unlikely that these differences are to be attributed to population differences exclusively.

TABLE III-5. *A survey of the absolute and relative numbers of patients per stage, according to several clinical classifications for mammary carcinoma (a report of the literature).*

Clinical classification	Stage I	Stage II	Stage III	Stage IV	Totals
International TNM	—	—	—	—	—
Lucassen (1964)	23.4	21.5	41.5	13.6	100.0
International TNM	41.4	18.3	7.43	6.9	140.9
Present series	29.4	13.1	52.7	4.8	100.0
American TNM	5.49	1.56	3.75	1.39	12.19
MacKay (1966)	45.0	12.8	30.8	11.4	100.0
Steinthal	1.47	5.91	1.83	—	9.21
Richards (1948)	16.0	64.0	20.0	—	100.0
Columbia*	2.16	1.35	.48	.26	4.25
Butcher (1961)	50.8	31.8	11.3	6.1	100.0
Manchester	5.82	4.81	2.50	5.69	18.82
McWhirter (1955)	31.0	26.0	13.0	30.0	100.0

\* Columbia classification stage A, B, C and D.

Not all investigators consider the differences between the classifications as important. Handley (1967) for instance regards as equivalent stage III of the International TNM Classification, stage III of the modification of the TNM system proposed by the American Joint Committee on Cancer Staging and End Results reporting of the American College of Surgeons, and stage C of the Columbia Clinical System. Zippin (1966), on the other hand, has pointed out the differences between the American TNM Classification and the International TNM Classification. His findings are listed in table III-6.

Numbers  
% Horizontal  
% Vertical

TABLE III-6. *International TNM classification versus American TNM classification (from Zippin 1966), modification to our tables.*

American TNM classification	International TNM classification				Totals
	Stage IV	Stage III	Stage II	Stage I	
Stage IV	195 86.7 100.0	30 13.3 5.0	—	—	225 100.0 12.7
Stage III		381 100.0 63.6	—	—	381 100.0 21.6
Stage II	—	73 23.6 12.2	236 76.4 100.0	—	309 100.0 17.6
Stage I	—	115 13.5 19.2	—	734 86.5 100.0	849 100.0 48.1
Totals	195 11.0 100.0	599 34.0 100.0	236 13.4 100.0	734 41.6 100.0	1764 100.0 100.0

In order to gain an impression of the degree of comparability of various classifications we compared on our own material several commonly-used classifications with the International TNM classification we used (UICC 1968). This comparison is highly artificial and gives only a general impression.\* The chief problem in this respect was that the International TNM classification entirely ignores certain symptoms considered essential in another classification, e.g. 'the size of axillary glands' or 'the occurrence of carcinomatous mastitis' in the Columbia classification. Efforts were made to establish which of the TNM categories determine the stage of tumor growth in the International TNM classification. This is elucidated in a diagram. As regards other classifications, the TNM categories essential to each stage were likewise charted, always on the basis of the highest stage (legend cf. p. 36). With every diagram, the conditions of the classification in question are stated.

\* For this comparison, the 8 patients whose classification was unknown were included in stage III.



The following clinical classifications are considered:

- a. The Classification of the Joint Committee on Cancer Staging and End Results Reporting of the American College of Surgeons (American TNM) (Spratt 1967).
- b. Steintal Classification (Steintal 1905).
- c. Columbia Classification (Spratt 1967).
- d. Manchester Classification (Windeyer 1949).

On the basis of the foregoing data we computed the stage distribution in our material in the terms of the other classifications. For this purpose a computer program was designed to determine in each classification the TNM categories corresponding with the highest stage, to count the number of patients meeting these criteria. This number was subtracted from the material. The lower stages were successively counted and subtracted from the remaining material. The figures thus obtained were used in compiling the contingency tables of the TNM classification versus each of the other classifications.

The relative frequency distribution of the various stages is shown in the following tables III-7—III-10 and Figures III-6—III-9, which reveal considerable differences. The degree of consistency of the various stages between the International TNM system and the other classifications was computed from the number of patients who came under the same stage heading in the various classifications (cf. table III-11). The diagrams clearly reveal the difference in structure of the stages, on comparison of the different classifications. Comparison of the figures from our material also reveals considerable differences. In our opinion therefore, a comparison of different methods of treatment in groups of patients who have been classified according to different systems is not very useful, and will give rise to numerous misunderstandings, to the detriment of the patients.

### *The International TNM classification*

#### **T — Primary tumour**

- T0 No evidence of primary tumour.
- T1 Tumour 2 cm or less in greatest dimension;  
Skin not involved, except in the case of Paget's disease confined to nipple;  
No retraction of nipple;  
No pectoral muscle fixation;  
No chest wall fixation.
- T2 Tumour more than 2 cm but not more than 5 cm in greatest dimension, *or*  
incomplete skin fixation (tethered or dimpled), *or*  
nipple retraction (in subareolar tumours), *or*  
Paget's disease extending beyond the nipple;  
No pectoral muscle fixation;  
No chest wall fixation.
- T3 Tumour more than 5 cm but not more than 10 cm in greatest dimension, *or*  
skin fixation complete (infiltrated or ulcerated), *or*  
peau d'orange in tumour area, *or*  
pectoral muscle fixation<sup>1</sup> (incomplete or complete). No chest wall fixation.

<sup>1</sup> Incomplete pectoral muscle fixation indicates that contraction of the muscle limits tumour mobility.

Complete pectoral muscle fixation indicates that contraction of the muscle abolishes tumour mobility.

- T4 Tumour more than 10 cm in greatest dimension, *or*  
skin involvement *or* peau d'orange wide of tumour but not beyond breast area, *or*  
chest wall fixation.<sup>2</sup>

<sup>2</sup> The chest wall includes the ribs, intercostal muscles and serratus anterior muscle but not the pectoral muscle.

## N — Regional lymph nodes

The clinician may record whether palpable nodes are considered to contain growth or not

N0 No palpable homolateral axillary nodes.

N1 Movable homolateral axillary nodes

N1a Nodes not considered to contain growth.

N1b Nodes considered to contain growth

N2 Homolateral axillary nodes fixed to one another or to other structures.

N3 Homolateral supra- or infra-clavicular nodes movable or fixed or oedema of the arm <sup>3</sup>

<sup>3</sup> Oedema of the arm may be caused by lymphatic obstruction, lymph nodes may not then be palpable.

## M — Distant metastases

M0 No evidence of distant metastases.

M1 Distant metastases present

M1a Skin involvement wide of breast

M1b Involvement of contralateral nodes or contralateral breast

M1c Clinical or radiographic evidence of metastases to lungs, pleural cavity, skeleton, liver, etc.

## Stage-grouping

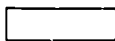
With M0, classification by T and N gives stages as follows

Stage I	T1N0	T2N0
Stage II	T1N1	T2N1
Stage III	T1N2	T2N2
	T1N3	T2N3
	T3N0	T4N0
	T3N1	T4N1
	T3N2	T4N2
	T3N3	T4N3

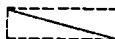
With M1 stage must be IV.

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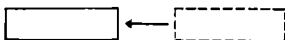
## EXPLANATION OF THE DIAGRAMS



The categories which determine the same stage in both systems were boxed in with a continuous line



Categories included in the International TNM but not in the classification considered were boxed in with an interrupted line and cancelled.



The categories classified in a different stage in the classification considered were boxed in with a continuous line, boxes with an interrupted line were used to indicate where these categories were placed in the TNM system. The arrow indicates the direction of the category-displacement.

	Stage I	Stage II	Stage III	Stage IV
Size	<div>T<sub>1</sub></div> <div>T<sub>2</sub></div> <div>T<sub>3</sub></div> <div>T<sub>4</sub></div>	<div>T<sub>1</sub></div> <div>T<sub>2</sub></div> <div>T<sub>3</sub></div> <div>T<sub>4</sub></div>	<div>T<sub>1</sub></div> <div>T<sub>2</sub></div> <div><div>T<sub>3</sub></div><div>T<sub>4</sub></div></div>	<div>T<sub>1</sub></div> <div>T<sub>2</sub></div> <div>T<sub>3</sub></div> <div>T<sub>4</sub></div>
Skin	<div>T<sub>1</sub></div> <div>T<sub>2</sub></div> <div>T<sub>3</sub></div> <div>T<sub>4</sub></div>	<div>T<sub>1</sub></div> <div>T<sub>2</sub></div> <div>T<sub>3</sub></div> <div>T<sub>4</sub></div>	<div>T<sub>1</sub></div> <div>T<sub>2</sub></div> <div><div>T<sub>3</sub></div><div>T<sub>4</sub></div></div>	<div>T<sub>1</sub></div> <div>T<sub>2</sub></div> <div>T<sub>3</sub></div> <div>T<sub>4</sub></div>
Paget's disease	<div>T<sub>1</sub></div> <div>T<sub>2</sub></div>	<div>T<sub>1</sub></div> <div>T<sub>2</sub></div>	<div>T<sub>1</sub></div> <div>T<sub>2</sub></div>	<div>T<sub>1</sub></div> <div>T<sub>2</sub></div>
Nipple retraction	<div>T<sub>2</sub></div>	<div>T<sub>2</sub></div>	<div>T<sub>2</sub></div>	<div>T<sub>2</sub></div>
Pectoral muscle attachment	<div>T<sub>1</sub></div> <div>T<sub>3a</sub></div> <div>T<sub>3b</sub></div>	<div>T<sub>1</sub></div> <div>T<sub>3a</sub></div> <div>T<sub>3b</sub></div>	<div>T<sub>1</sub></div> <div><div>T<sub>3a</sub></div><div>T<sub>3b</sub></div></div>	<div>T<sub>1</sub></div> <div>T<sub>3a</sub></div> <div>T<sub>3b</sub></div>
Chest wall attachment	<div>T<sub>1</sub></div> <div>T<sub>4</sub></div>	<div>T<sub>1</sub></div> <div>T<sub>4</sub></div>	<div>T<sub>1</sub></div> <div><div>T<sub>4</sub></div></div>	<div>T<sub>1</sub></div> <div>T<sub>4</sub></div>
Homolateral axillary lymph nodes	<div>No</div> <div>N<sub>1a</sub></div> <div>N<sub>1b</sub></div> <div>N<sub>1c</sub></div> <div>N<sub>1y</sub></div> <div>N<sub>2a</sub></div> <div>N<sub>2b</sub></div>	<div>No</div> <div><div>N<sub>1a</sub></div><div>N<sub>1b</sub></div><div>N<sub>1c</sub></div><div>N<sub>1y</sub></div></div> <div>N<sub>2a</sub></div> <div>N<sub>2b</sub></div>	<div>No</div> <div>N<sub>1a</sub></div> <div>N<sub>1b</sub></div> <div>N<sub>1c</sub></div> <div>N<sub>1y</sub></div> <div><div>N<sub>2a</sub></div><div>N<sub>2b</sub></div></div>	<div>No</div> <div>N<sub>1a</sub></div> <div>N<sub>1b</sub></div> <div>N<sub>1c</sub></div> <div>N<sub>1y</sub></div> <div>N<sub>2a</sub></div> <div>N<sub>2b</sub></div>
Homolateral supraclavicular or infraclavicular lymph nodes	<div>N<sub>3</sub></div>	<div>N<sub>3</sub></div>	<div><div>N<sub>3</sub></div></div>	<div>N<sub>3</sub></div>
Arm edema	<div>N<sub>3</sub></div>	<div>N<sub>3</sub></div>	<div><div>N<sub>3</sub></div></div>	<div>N<sub>3</sub></div>
Distant metastases	<div>M<sub>0</sub></div> <div>M</div>	<div>M<sub>0</sub></div> <div>M</div>	<div>M<sub>0</sub></div> <div>M</div>	<div><div>M<sub>0</sub></div><div>M</div></div>

\* The TNM categories which determine the Stages are boxed in.

*The American TNM classification, proposed by the American Joint Committee on Cancer Staging and End Results reporting of the American College of Surgeons*

*T: Primary Tumor*

- T1 Tumor of 2 cm. or less in its greatest dimension; skin not involved, or involved locally with Paget's disease
- T2 Tumor over 2 cm. in size or with skin attachment (dimpling of skin) or nipple retraction (in subareolar tumors); no pectoral muscle or chest wall attachment
- T3 Tumor of any size with any of the following: skin infiltration, ulceration, peau d'orange, skin edema, pectoral muscle or chest wall attachment

*N: Regional Lymph Nodes*

- N0 No clinically palpable axillary lymph nodes (metastasis not suspected)
- N1 Clinically palpable axillary lymph nodes that are not fixed (metastasis suspected)
- N2 Clinically palpable homolateral axillary or infraclavicular lymph nodes that are fixed to one another or to other structures (metastasis suspected)

*M: Distant Metastasis*

- M0 No distant metastasis
- M1 Clinical and radiographic evidence of metastasis except those to homolateral axillary or infraclavicular lymph nodes  
These descriptions are then combined to define four stages.  
Stage I: T1/N0/M0; T2/N0/M0  
Stage II: T1/N1/M0; T2/N1/M0  
Stage III: T3/N0/M0; T3/N1/M0; T3/N2/M0; T1/N2/M0; T2/N2/M0; and includes any combination of T1, T2 or T3 with N2 and M0  
Stage IV: Any clinical stage of disease with distant metastasis (M1).

Numbers  
% Horizontal  
% Vertical

TABLE III-7. *American TNM classification versus International TNM classification.*

American TNM classification	International TNM classification				
	Stage IV	Stage III	Stage II	Stage I	Totals
Stage IV	69 52.7 100.0	62 47.3 8.2			131 100.0 9.3
Stage III		468 97.3 62.3	13 2.7 6.9		481 100.0 33.9
Stage II		84 63.7 11.1	133 58.1 70.8	12 5.2 2.9	229 100.0 16.1
Stage I		138 24.0 18.4	42 7.3 22.3	396 68.7 97.1	576 100.0 40.7
Totals	69 4.8 100.0	752 53.1 100.0	188 13.2 100.0	408 28.9 100.0	1417 100.0 100.0

	Stage I	Stage II	Stage III	Stage IV
Size	<div>T<sub>1</sub> I<sub>2</sub> T<sub>3</sub> I<sub>4</sub></div>	<div>T<sub>1</sub> T<sub>2</sub> T<sub>3</sub> T<sub>4</sub></div>	<div>T<sub>1</sub> I<sub>2</sub> <div>T<sub>3</sub> I<sub>4</sub></div></div>	<div>T<sub>1</sub> T<sub>2</sub> T<sub>3</sub> T<sub>4</sub></div>
Skin	<div>I<sub>1</sub> I<sub>2</sub> I<sub>3</sub> T<sub>4</sub></div>	<div>T<sub>1</sub> T<sub>2</sub> T<sub>3</sub> T<sub>4</sub></div>	<div>T<sub>1</sub> I<sub>2</sub> <div>I<sub>3</sub> I<sub>4</sub></div></div>	<div>T<sub>1</sub> T<sub>2</sub> T<sub>3</sub> T<sub>4</sub></div>
Paget's disease	<div>T<sub>1</sub> I<sub>2</sub></div>	<div>T<sub>1</sub> T<sub>2</sub></div>	<div>T<sub>1</sub> I<sub>2</sub></div>	<div>T<sub>1</sub> T<sub>2</sub></div>
Nipple retraction	<div>T<sub>2</sub></div>	<div>T<sub>2</sub></div>	<div>T<sub>2</sub></div>	<div>T<sub>2</sub></div>
Pectoral muscle attachment	<div>T<sub>1</sub> I<sub>3a</sub> I<sub>3b</sub></div>	<div>I<sub>1</sub> I<sub>3a</sub> I<sub>3b</sub></div>	<div>T<sub>1</sub> I<sub>3a</sub> I<sub>3b</sub></div>	<div>T<sub>1</sub> I<sub>3a</sub> I<sub>3b</sub></div>
Chest wall attachment	<div>T<sub>1</sub> I<sub>4</sub></div>	<div>T<sub>1</sub> T<sub>4</sub></div>	<div>I<sub>1</sub> I<sub>4</sub></div>	<div>T<sub>1</sub> T<sub>4</sub></div>
Homolateral axillary lymph nodes	<div>No N<sub>1a</sub> N<sub>1b</sub> N<sub>1x</sub> N<sub>1y</sub> N<sub>2a</sub> N<sub>2b</sub></div>	<div>No <div>N<sub>1a</sub> N<sub>1b</sub> N<sub>1x</sub> N<sub>1y</sub></div> N<sub>2a</sub> N<sub>2b</sub></div>	<div>No N<sub>1a</sub> N<sub>1b</sub> N<sub>1x</sub> N<sub>1y</sub> <div>N<sub>2a</sub> N<sub>2b</sub></div></div>	<div>No N<sub>1a</sub> N<sub>1b</sub> N<sub>1x</sub> N<sub>1y</sub> N<sub>2a</sub> N<sub>2b</sub></div>
Homolateral supraclavicular or infraclavicular lymph nodes	<div>N<sub>3</sub></div>	<div>N<sub>3</sub></div>	<div>N<sub>3</sub></div>	<div>N<sub>3</sub></div>
Arm edema	<div>N<sub>3</sub></div>	<div>N<sub>3</sub></div>	<div>N<sub>3</sub></div>	<div>N<sub>3</sub></div>
Distant metastases	<div>M<sub>0</sub> M</div>	<div>M<sub>0</sub> M</div>	<div>M<sub>0</sub> M</div>	<div>M<sub>0</sub> M</div>

\*) Skin edema not considered.

\*\*) Infraclavicular lymph nodes headed under N2.

## The Steintal classification

- STAGE I Cancers limited to the breast so far as can be determined by palpation and clinical study
- STAGE II Cancers in which the axillary lymph nodes are palpable, and clinically suspected of harbouring neoplastic deposits  
In this stage there is no evidence of implication of any other neighbouring organ or tissue
- STAGE III Cancers in which adjacent organs or tissues are involved by the neoplasm e.g. the pectoral muscles, the skin when ulcerated, the opposite breast, cervical lymph nodes, the skeletal tissues, etc

Numbers

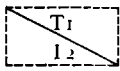
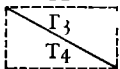
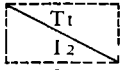
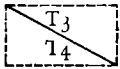
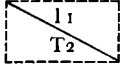
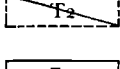
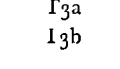
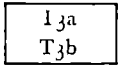
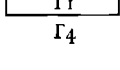
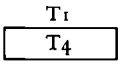
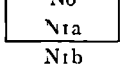
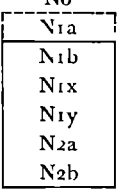
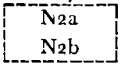
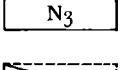
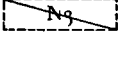
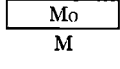
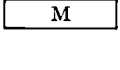
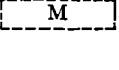
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TABLE III-8. *Steintal classification versus International TNM classification.*

Steintal classification	International TNM classification				
	Stage IV	Stage III	Stage II	Stage I	Totals
Stage III	69 35.0 100.0	129 56.0 17.1			198 100.0 14.0
Stage II		270 63.7 36.0	145 34.2 77.1	9 2.1 2.2	424 100.0 29.8
Stage I		353 44.3 46.9	43 5.4 22.9	399 50.3 97.8	795 100.0 56.2
Totals	69 4.8 100.0	752 53.1 100.0	188 13.2 100.0	408 28.9 100.0	1417 100.0 100.0

*The Steinthal classification projected on to the International TNM classification*

	Stage I	Stage II	Stage III	
Size		I <sub>1</sub> I <sub>2</sub> T <sub>3</sub> T <sub>4</sub>		T <sub>1</sub> T <sub>2</sub> T <sub>3</sub> T <sub>4</sub>
Skin		T <sub>1</sub> T <sub>2</sub> T <sub>3</sub> T <sub>4</sub>		T <sub>1</sub> T <sub>2</sub> T <sub>3</sub> T <sub>4</sub>
Paget's disease		T <sub>1</sub> T <sub>2</sub>	T <sub>1</sub> T <sub>2</sub>	T <sub>1</sub> T <sub>2</sub>
Nipple retraction		T <sub>2</sub>	T <sub>2</sub>	T <sub>2</sub>
Pectoral muscle attachment		T <sub>1</sub> T <sub>3a</sub> T <sub>3b</sub>		T <sub>1</sub> T <sub>3a</sub> T <sub>3b</sub>
Chest wall attachment		T <sub>1</sub> T <sub>4</sub>		T <sub>1</sub> T <sub>4</sub>
Homolateral axillary lymph nodes				No N <sub>1a</sub> N <sub>1b</sub> N <sub>1x</sub> N <sub>1y</sub> N <sub>2a</sub> N <sub>2b</sub>
Homolateral supraclavicular or infraclavicular lymph nodes	N <sub>3</sub>	N <sub>3</sub>		N <sub>3</sub>
Arm edema	N <sub>3</sub>	N <sub>3</sub>		N <sub>3</sub>
Distant metastases		Mo M		

## The Columbia classification

**STAGE A:** No skin edema, ulceration or solid fixation of tumor to chest wall; axillary nodes not clinically involved.

**STAGE B:** No skin edema, ulceration or solid fixation of tumor to chest wall, clinically involved axillary nodes, but less than 2.5 cm. in transverse diameter and not fixed to overlying skin or deeper structure of axilla.

**STAGE C:** Any one of five grave signs of comparatively advanced carcinoma:

1. Edema of skin of limited extent (less than one third of the skin over the breast).
2. Skin ulceration
3. Solid fixation of tumor to chest wall.
4. Massive involvement of axillary lymph nodes (2.5 cm. or more in transverse diameter)
5. Fixation of the axillary nodes to overlying skin or deeper structures of the axilla.

**STAGE D:** All other patients with more advanced breast carcinoma including:

1. A combination of any two or more of the five grave signs listed in Stage C.
2. Extensive edema of skin (involving more than one third of the skin over the breast).
3. Satellite skin nodules.
4. The inflammatory type of carcinoma
5. Supraclavicular metastases, clinically
6. Parasternal metastases, clinically.
7. Edema of the ipsilateral arm.
8. Distant metastases.

Numbers

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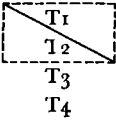
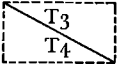
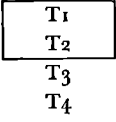
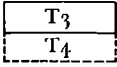
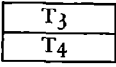
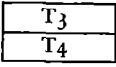
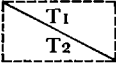
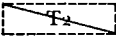
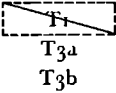
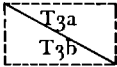
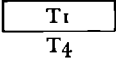
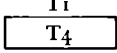
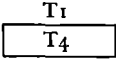
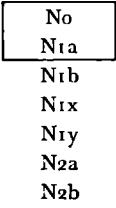
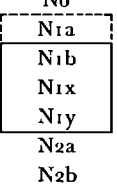
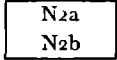
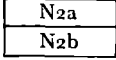
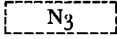
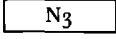
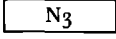
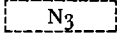
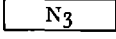
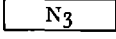
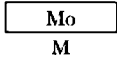
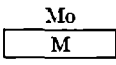
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TABLE III-9. *Columbia classification versus International TNM classification.*

Columbia classification	International TNM classification				Totals
	Stage IV	Stage III	Stage II	Stage I	
Stage D	69 34.0 100.0	134 66.0 17.8			203 100.0 14.3
Stage C		295 100.0 39.1			295 100.0 20.8
Stage B		130 45.9 17.3	145 51.2 77.1	8 2.9 2.0	283 100.0 20.1
Stage A		193 30.4 25.8	43 6.7 22.9	400 62.9 98.0	636 100.0 44.8
Totals	69 4.8 100.0	752 53.1 100.0	188 13.2 100.0	408 28.9 100.0	1417 100.0 100.0



*The Columbia classification projected on to the International TNM classification \*) \*\*)*

	Stage A	Stage B	Stage C	Stage D
Size		T <sub>1</sub> T <sub>2</sub> T <sub>3</sub> T <sub>4</sub>	T <sub>1</sub> T <sub>2</sub> 	T <sub>1</sub> T <sub>2</sub> T <sub>3</sub> T <sub>4</sub>
Skin		T <sub>1</sub> T <sub>2</sub> T <sub>3</sub> T <sub>4</sub>	T <sub>1</sub> T <sub>2</sub>  → 	T <sub>1</sub> T <sub>2</sub> 
Paget's disease		T <sub>1</sub> T <sub>2</sub>	T <sub>1</sub> T <sub>2</sub>	T <sub>1</sub> T <sub>2</sub>
Nipple retraction		T <sub>2</sub>	T <sub>2</sub>	T <sub>2</sub>
Pectoral muscle attachment		T <sub>1</sub> T <sub>3a</sub> T <sub>3b</sub>	T <sub>1</sub> 	T <sub>1</sub> T <sub>3a</sub> T <sub>3b</sub>
Chest wall attachment		T <sub>1</sub> T <sub>4</sub>		
Homolateral axillary lymph nodes		← 	No N <sub>1a</sub> N <sub>1b</sub> N <sub>1x</sub> N <sub>1y</sub> 	No N <sub>1a</sub> N <sub>1b</sub> N <sub>1x</sub> N <sub>1y</sub> 
Homolateral supraclavicular or infraclavicular lymph nodes	N <sub>3</sub>	N <sub>3</sub>	 → 	
Arm edema	N <sub>3</sub>	N <sub>3</sub>	 → 	
Distant metastases		Mo M	Mo M	

• Where two boxed-in categories are connected with one another by a line, these categories count only where they are present in combination.

•• Size of the axillary lymph nodes, skin edema, satellite skin nodules, the inflammatory type of carcinoma, parasternal metastases (clinically), not considered.

## *The Manchester (Windeyer) Classification*

**STAGE I** : The growth is confined to the breast. Involvement of the skin directly over and in continuity with the tumor does not affect staging provided that the area involved is small in relation to the size of the breast.

**STAGE II** As Stage I but there are palpable mobile lymph nodes in the axilla.

**STAGE III** The growth is extending beyond the corpus mammae as shown by:

- a. The skin is invaded or fixed over an area large in relation to the size of the breast
- b. The tumor is fixed to underlying muscle. Axillary glands may or may not be palpable but if glands are present they must be mobile

**STAGE IV** The growth has spread beyond the breast area as shown by

- a. Fixation of axillary nodes indicating extension outside the capsule
- b. The tumor is completely fixed to the chest wall
- c. Secondary lymph nodes in supraclavicular region.
- d. Secondary deposits in skin- wide of tumor
- e. Secondary deposits in the opposite breast
- f. Distant metastases, e.g. bone, liver, lung, etc

*Numbers*

% Horizontal

% Vertical

**TABLE III-10.** *Manchester classification versus International TNM classification*

Manchester classification	International TNM classification				
	Stage IV	Stage III	Stage II	Stage I	Totals
Stage IV	69 29.2 100.0	167 70.8 22.2			236 100.0 16.7
Stage III		363 96.5 48.2	13 3.5 6.9		376 100.0 26.6
Stage II		108 36.5 14.4	163 55.1 86.7	25 8.4 6.1	296 100.0 20.9
Stage I		114 22.4 15.2	12 2.4 6.4	383 75.2 93.9	509 100.0 35.8
Totals	69 4.8 100.0	752 53.1 100.0	188 13.2 100.0	408 28.9 100.0	1417 100.0 100.0

*The Manchester classification projected on to the International TNM classification*

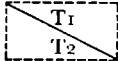
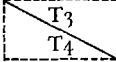
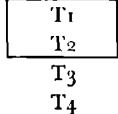
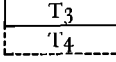
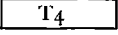
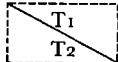
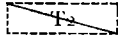
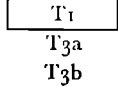
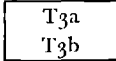
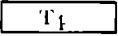
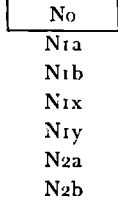
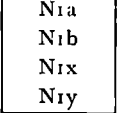
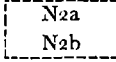
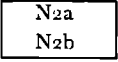
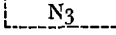
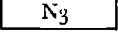
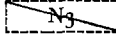
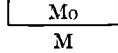
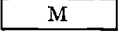
	Stage I	Stage II	Stage III	Stage IV
Size	 T <sub>3</sub> T <sub>4</sub>	T <sub>1</sub> T <sub>2</sub> T <sub>3</sub> T <sub>4</sub>	T <sub>1</sub> T <sub>2</sub>  T <sub>4</sub>	T <sub>1</sub> T <sub>2</sub> T <sub>3</sub> T <sub>4</sub>
Skin	 T <sub>4</sub>	T <sub>1</sub> T <sub>2</sub> T <sub>3</sub> T <sub>4</sub>	T <sub>1</sub> T <sub>2</sub>  T <sub>4</sub>	T <sub>1</sub> T <sub>2</sub> T <sub>3</sub>  T <sub>4</sub>
Paget's disease	 T <sub>2</sub>	T <sub>1</sub> T <sub>2</sub>	T <sub>1</sub> T <sub>2</sub>	T <sub>1</sub> T <sub>2</sub>
Nipple retraction	 T <sub>2</sub>	T <sub>2</sub>	T <sub>2</sub>	T <sub>2</sub>
Pectoral muscle attachment	 T <sub>3b</sub>	T <sub>1</sub> T <sub>3a</sub> T <sub>3b</sub>	T <sub>1</sub>  T <sub>3b</sub>	T <sub>1</sub> T <sub>3a</sub> T <sub>3b</sub>
Chest wall attachment	T <sub>1</sub> T <sub>4</sub>	T <sub>1</sub> T <sub>4</sub>	T <sub>1</sub> T <sub>4</sub>	T <sub>1</sub>  T <sub>4</sub>
Homolateral axillary lymph nodes	 N <sub>2b</sub>	No  N <sub>2a</sub> N <sub>2b</sub>	No N <sub>1a</sub> N <sub>1b</sub> N <sub>1x</sub> N <sub>1y</sub>  N <sub>2b</sub>	No N <sub>1a</sub> N <sub>1b</sub> N <sub>1x</sub> N <sub>1y</sub>  N <sub>2b</sub>
Homolateral supraclavicular or infraclavicular lymph nodes	N <sub>3</sub>	N <sub>3</sub>	 N <sub>3</sub>	 N <sub>3</sub>
Arm edema	N <sub>3</sub>	N <sub>3</sub>	 N <sub>3</sub>	N <sub>3</sub>
Distant metastases	 M	Mo M	Mo M	Mo  M

TABLE III-11. *The number of patients in the various classifications (in absolute and relative frequencies) under the same stage-heading as the International TNM Classification.*  
*(The series of 1417 patients is 100%)*

Clinical Classification	Numbers	Percentage
American TNM	1066	75.3
Steinthal	673	47.5
Columbia	909	64.1
Manchester	978	69.0

*A survey of the relative numbers of patients per stage, according to several clinical classifications for mammary carcinoma (cf. tables III-7—III-10).*

% Stage I (Fig. III-6) + % Stage II (Fig. III-7) + % Stage III (Fig. III-8) + % Stage IV (Fig. III-9) = 100%.

FIGURE III-6 STAGE I (Columbia classification stage A)

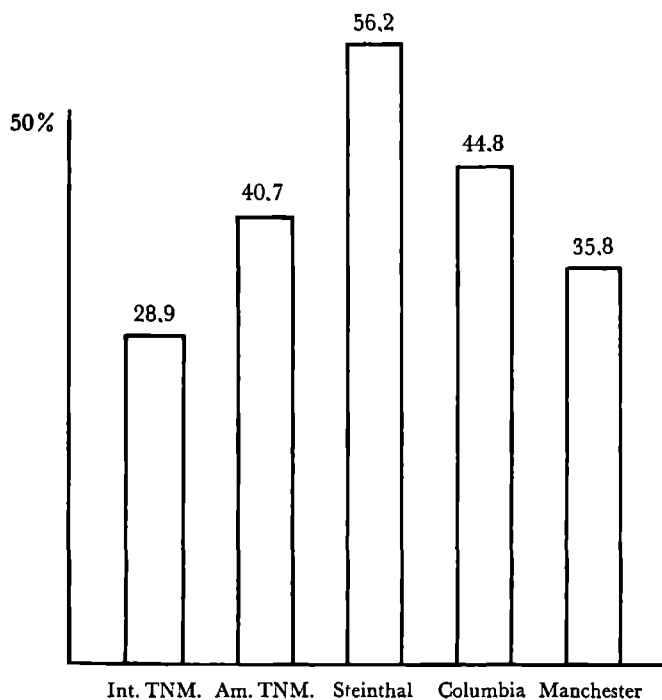


FIGURE III-7 STAGE II (*Columbia classification stage B*)

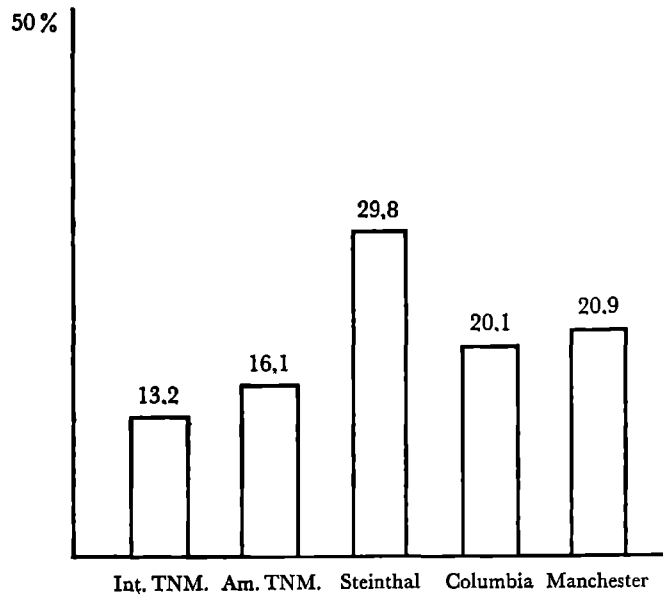


FIGURE III-8 STAGE III (*Columbia classification stage C*)

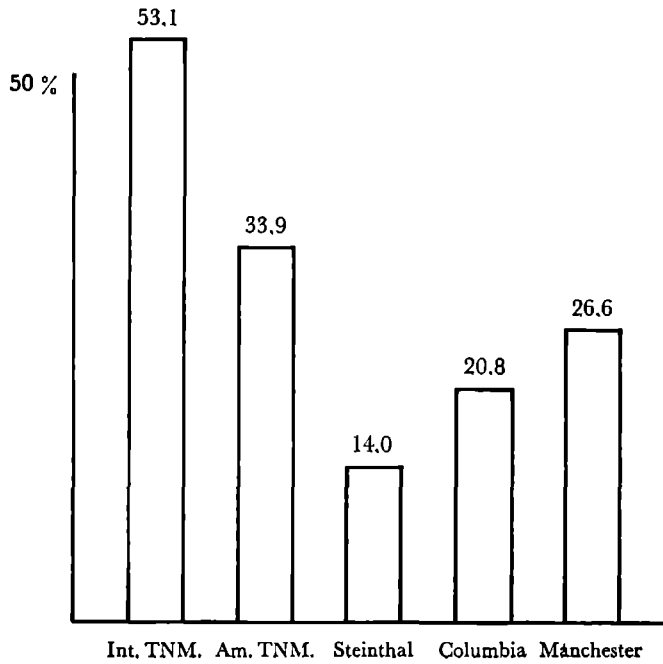
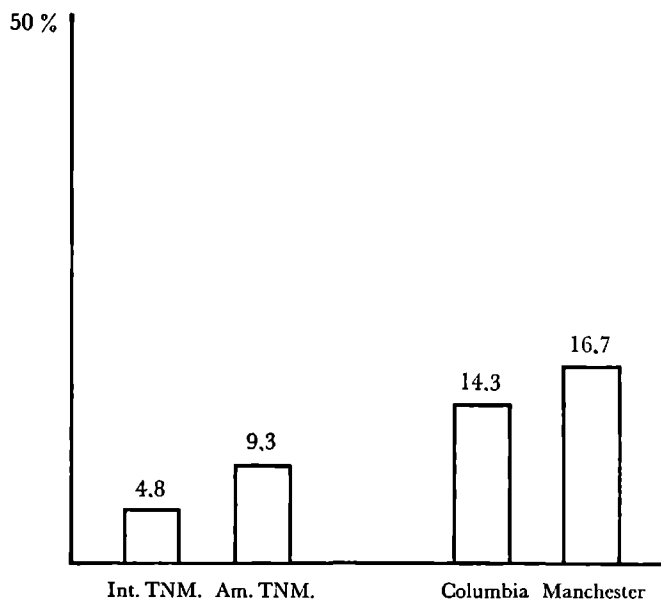


FIGURE III-9 STAGE IV (*Columbia classification stage D*)



#### § 9. *The requisite number of members of the general female population*

Our computation may enable the investigator to estimate the number of patients required for a clinical trial in accordance with individual criteria. The only remaining question concerns the number of patients with mammary carcinoma available in a general population. In order to be able to calculate the size of the female population ( $X_{\text{population}}$ ) necessary for a particular clinical trial, the multiplication factor  $\frac{100}{P}$  has been introduced. Factor  $\frac{100}{P}$  is based on the annual number of female deaths from malignant conditions of the mammary gland in the general population as recorded in tables concerning the Cancer Mortality in 24 countries (cf. table P, Appendix Part III). The assumption in using this figure is that all patients dying of mammary carcinoma are recorded, the annual mortality is not variable and the annual morbidity corresponds to the annual mortality.

The introduction of factor  $q$ , which indicates the number of years during which the patients will be included in the trial, gives an impression of the number of members of the female population who must be available per year. Our computation of  $X_p$  gives an impression of the geographical extent of the organization that is required if a clinical trial is to be carried out.

$$X_p = X_m \times \frac{\left(\frac{100}{P}\right)}{q}$$

An investigator is not likely to wish to collect his entire material within one year. For the estimate, therefore, factor  $\frac{100}{P}$  should be divided by the number of years (q) during which the patients are included in the trial. If the collecting period of the trial is 10 years (q = 10) then the required size of the female population is to be estimated

with the aid of factor  $\frac{\left(\frac{100}{P}\right)}{10}$

For example, if factor P is found to equal  $3.3 \times 10^3$  (cf. table P, Appendix Part III) then mammary carcinoma occurs annually in 1 out of 3300 women. Computed for the 10 year period this makes  $\frac{3300}{10}$ —i.e. 1 patient out of 330 women. It must be remembered that it will be impossible to include all cases with mammary carcinoma in the trial. The figures supplied by the Central Cancer Registration Office in The Netherlands give an indication of the number of patients potentially available (cf. table III-12).

TABLE III-12. *The number of patients recorded by the Netherlands Central Cancer Registration (from Meinsma 1963 and 1965)*

Period	Total number of patients	Number of patients per annum
1953-1955	2181	717
1956-1958	3689	1229

An inquiry made in The Netherlands by Zwaveling (1966) has shown that far larger figures can be obtained with the collaboration of many surgeons. Zwaveling received notification of some 1494 patients per annum, i.e. the majority of some 2000 annual patients in The Netherlands.





## CHAPTER IV. ESTIMATING THE NUMBER OF PATIENTS REQUIRED FOR SOME IMAGINARY CLINICAL TRIALS TO COMPARE THE RESULTS OF SEVERAL THERAPIES FOR MAMMARY CARCINOMA

In this chapter the numbers of patients required are calculated for some imaginary trials, with reference to examples. Our main intention is to give an impression with the aid of data from our patient material of the influence of progressively increased selectivity on the total number of patients required ( $X_m$ ). For the number required on statistical grounds, we used table S1 of the  $\chi^2$  test for a  $2 \times 2$  table. If  $F_n$  is the percentage of the entire population of patients with mammary carcinoma fulfilling  $n$  selection criteria, and  $f_1, f_2, f_3$ , etc. the percentages of incidence of every single selection criterion,  $F_n$  is calculated as follows:

$$\frac{F_n}{100} = \frac{f_1}{100} \times \frac{f_2}{100} \times \frac{f_3}{100} \text{ etc.}$$

Since the dependence, if any, is not known for all the selection factors, they are assumed to be independent in these cases. In order to gain an impression of the magnitude of error resulting from this assumption we have established with the aid of a computer how many patients in our material fulfilled all the requirements of the first trial (cf. note 6, p. 56). In order to indicate the influence of each selection factor, a new selection factor was added each time in the calculation and  $X_m$  was calculated successively. Consequently the numbers listed for a given selection factor are valid when the factors in question and/or listed previously are accepted as conditions for admission of patients to the trial. The necessary data were borrowed from the  $f$  tables (cf. Appendix)\*. The required number  $S$  was always based on the condition that a difference between a probability of success  $P_A = 60\%$  with treatment A and  $P_B = 70\%$  with treatment B should be detectable with a probability of 95%; and for the case when  $P_A = 60\%$  but  $P_B = 80\%$ . This condition has been selected because these figures correspond to the percentages of 5 year results in large series in the literature concerning the early stages of mammary carcinoma (cf. table IV-1). Naturally, on the basis of table S1 the calculation can also be made for other values of  $P_A$  and  $P_B$ .

\* For further details concerning the calculation of  $X_m$ , cf. Ch. III § 6.

TABLE IV-1. *Results of Treatment of mammary carcinoma. Five year survival in stage A<sup>1</sup> patients. (Columbia Clinical Classification).*

Author	Therapy	Number of patients	% survival
Kennedy and Miller <sup>2</sup>	Simple Mastectomy	115	62
Handley <sup>3</sup>	Modified Radical Mastectomy	117	76
Butcher <sup>2</sup>	Radical Mastectomy	216	76
Haagensen and Cooley <sup>2</sup>	Radical Mastectomy	344	84
Dahl-Iversen and Tobiasen <sup>2</sup>	Super-Radical	277	77
Williams and Curwen <sup>2</sup>	Total Mastectomy + axillary dissection + irradiation	68	72
Kaac and Johansen <sup>2</sup>	McWhirter's method	159	70
Baclesse <sup>4</sup>	Prolonged Roentgen Therapy	50	54

<sup>1</sup> For comparability of stage A Columbia Clinical Classification and Stage I International TNM Classification of Ch III §8

<sup>2</sup> Haagensen et al. 1963.

<sup>3</sup> Handley 1965.

<sup>4</sup> Baclesse 1965.

As mentioned previously, S may vary widely if other criteria for success of the treatment are set. In all cases, two methods of treatment were compared ( $t = 2$ ).

The calculation then goes as follows:

$$X_m = 2S \times \frac{100}{F_n}$$

The multiplication factor  $\frac{100}{F_n}$  (cf. table  $\frac{100}{\%}$ , Appendix Part IV), which indicates the factor by which the number of patients required on statistical grounds must be multiplied if all patients included in the trial are to meet the criteria set, is listed for each selection factor.

Finally we determined the minimum number of women  $X_p$  which a population must contain in order for a period of  $q$  years to yield a sufficient number of mammary carcinoma patients to permit a trial with  $X_m$  patients. Suppose  $P$  is the incidence of mammary carcinoma among the population, i.e. the number of women who develop mammary carcinoma per 100 women per year, then:

$$X_m = \frac{P}{100} \times X_p \times q \quad \text{therefore: } X_p = X_m \times \frac{100}{P \cdot q}$$

$P$  is unknown, but we do know the number of women per 100 women per year who die from mammary carcinoma. If we assume that in nearly all women who develop this disease, the diagnosis will ultimately also be registered as the cause of death, we may

substitute for P the annual percentage mortality from mammary carcinoma in The Netherlands (cf. table P, Appendix Part III).

$$\text{When } q = 5: X_p = X_m \cdot \frac{(3.3 \times 10^3)}{5}$$

$$X_p = X_m \cdot (0.66 \times 10^3)$$

$$X_p = 660 X_m.$$

Therefore if the 5 year survival rate is selected as the criterion, the trial will last 10 years.

For the 4 examples worked out, we have presupposed a selection based on the following criteria:

1. The patients must be females.
2. Mammary carcinoma to be verified histologically.
3. The mammary carcinoma not to be bilateral.
4. The patient not to suffer from carcinomata other than the mammary one, with the exception of cutaneous carcinoma.
5. The excision biopsy for mammary carcinoma to be performed no earlier than two weeks prior to admission to the trial.
6. The patient not to have died within 3 years of admission to the trial from any other disease than mammary carcinoma (only to be established during the trial).
7. The patient to be less than 70 years old.

Our calculation will show (cf. table IV-2) that according to our estimate only 69.5% of the patient material fulfils these conditions. One should note that the number of high-risk patients (point 6 above) cannot be known at the beginning of the trial, so that these patients have to be preliminarily included into the trial. We have not taken into account the loss of patients due to lack of follow up. In our series, this was of the order of 1%. For registration we must therefore reckon on a larger number of patients than the statistically required minimum 2S ( $X_{et}$ , cf. p. 20).

TABLE IV-2. *Relative frequency in our material of criteria for inclusion of patients in the imaginary clinical trials considered in tables IV-3 and IV-4.*

Criteria	$f_1$ 1)	$F_1$ 2)	$\frac{100}{F_1}$ 3)
1. Female patients only	100%	100%	1.00
2. Tumor verified histologically	100%	100%	1.00
3. Only unilateral mammary carcinoma	97.7%	97.7%	1.02
4. No other carcinoma (except skin carcinoma) present	98.8%	96.5%	1.04
5. Excision biopsy for verification no longer than 2 weeks ago	94.4%	91.1%	1.10
6. No high risk patients 4)	96.5%	87.9%	1.14
7. Age up to 70 (cf. table I 16)	79.0%	69.5%	1.44
All criteria for inclusion	-	69.5%	1.44

1)  $f_1$  = relative frequency in material of criterion considered

2)  $F_1 = (f_1 \cdot F_{1-1})/100$  = remaining part (percentage) of material meeting criteria considered and foregoing criteria.

3) Factor for computation of  $X_m$  (cf. Appendix Part IV)

4) The value of  $f_1$  stated here is the relative frequency of patients in our material who died from other diseases within 3 years of commencement of therapy.

### FIRST DESIGN (cf. table IV-3):

Since the effect to be investigated is what may be expected from therapies aimed at the local process with its regional spread, the patient material must fulfil the following conditions.

1. The tumor must be stage I on the International TNM Classification. With the aid of our data, the size of the group of patients fulfilling these conditions can be approximated as follows. Table f<sub>c</sub> 24 shows that 337 patients in stage I were younger than 70. The total number of patients aged up to 70 years is 1110. Of these 1110 patients, 337 (or 30.4 %) were in stage I.
  2. The tumor to be localized in the lateral half of the breast (46.1 % cf. table f<sub>c</sub> 64).
  3. The patient may be regarded as suitable for curative surgery (78.8% cf. table O7).
- This means that the patient must not die from tumor growth within 3 years of beginning the treatment.

Since we cannot know at the beginning of the trial which patients will die from tumor growth within 3 years, observation of the last condition means that a number of extra patients will have to be treated, in order to leave a sufficient number at the end of the trial. This first design is based on comparison of the results of two surgical methods of treatment A and B. The design may also be used for comparing non-surgical methods of treatment. We have, however, made this selection since the conditions for this design also apply to the designs mentioned below, where the histology of the axillary glands is of importance.

### SECOND DESIGN (cf. table IV-3):

In patients who fulfil the conditions mentioned above and who have been submitted to some form of surgical treatment, we now make a distinction between histological positivity and negativity of the axillary glands (37.0 % cf. table f<sub>c</sub> 98). The group of patients with histologically positive axillary glands is divided at random into two equal groups: one group treated by X-ray and a control group. Since randomization is applied to the surgical therapy as well, any possible systematic effect of the nature of the surgical treatment is excluded. If a group of patients were available for this trial who had been treated by a uniform surgical method, more homogeneous groups might be formed which would be very favorable for demonstrating a possible systematic effect of the radiological treatment.

### THIRD DESIGN (cf. table IV-3):

With a clinical trial according to this design we can study the value of prophylactic ovariectomy in non-menopausal women. For this purpose, subdivision according to menopause is made in a group of patients who fulfil the conditions of the first design. Since ovariectomy may be expected to have some influence on the development of distant metastases, the condition of 'curative operability' (78.8% of Stage I patients cf. table O7) was not applied to this design, so that the ultimate numbers of the first design must be multiplied by  $\frac{78.8}{100}$ . The group of non-menopausal women was divided at random into equal ovariectomy and control groups. In order to exclude any systematic effect of previous surgery and/or radiotherapy, randomization was

applied to the previous treatment as well. Of this design, as of the second design, it would be more favorable if patients were available for this trial who had previously been subjected to uniform surgical and radiological therapy.

With the aid of our data, the size of the group of patients fulfilling the conditions for non-menopausal women under 70 in stage I can be approximated as follows.

The total number of patients in stage I is 413 (cf. table  $f_c$  24). According to table  $f_c$  56, 27.6% of all patients in stage I are not menopausal; 27.6% of the total of 413 patients is 114. Table  $f_c$  24 shows that 337 patients in stage I were younger than 70. Of these 337 patients, 114 (or 33.8%) were non-menopausal.

TABLE IV-3. Estimation of number of patients required for an imaginary clinical trial in order to compare two therapies A and B.

It is assumed that a difference of  $x$ -year population survival rates  $P_A$  and  $P_B$  has to be detected with a probability of 95% by the  $\chi^2$  test for a  $2 \times 2$  table (at the 5% level of significance) applied on observed  $x$ -year survival rates. The value of  $x$  can be chosen arbitrarily (greater than 3 for all trials where the curative operability is taken into account). It is assumed that all patients have to meet of criteria 1-7 of table IV-2; for each trial some additional conditions (connected to the types of therapies considered) are imposed subsequently. Mutual dependencies between these conditions are not taken into account, except for those mentioned in the tables. The values of  $P_A$  and  $P_B$  may depend on these conditions, but the computation is always carried out for the same two cases  $P_A = 60\%$ ,  $P_B = 80\%$  and  $P_A = 60\%$ ,  $P_B = 70\%$ .

Conditions	Case $P_A = 60\%$ $P_B = 80\%$ 2S = 266				Case $P_A = 60\%$ $P_B = 70\%$ 2S = 1178		
	$f_1$ 1)	$F_1$ 2)	$\frac{100}{F_1}$ 3)	$X_m$ 4)	$X_p$ 5) (millions)	$X_m$ 4)	$X_p$ 5) (millions)

Trial I for comparing two surgical therapies A and B

1. All criteria of table IV-2	—	69.5 %	1.44	383	0.25	1,700	1.1
2. Tumor of stage I (International TNM classification) in patients aged up to 70 years	30.4 %	21.1 %	4.74	1,260	0.83	5,580	3.7
3. Curative operable patients, in patients with tumor of stage I (5 years less follow up)	78.8 %	16.6 %	6.02	1,600	1.1	7,090	4.7
4. Tumor localized laterally, in patients with tumor of stage I	46.1 %	7.65 % 6)	13.1	3,480	2.3	15,400	10.

Trial II for comparing patients treated by radiotherapy (A) to a control group (B)

1. All conditions of trial I	—	7.65 %	13.1	3,480	2.3	15,400	10.
2. Patients with positive axillary glands in patients with tumor of stage I	37.0 %	2.83 %	35.3	9,390	6.2	41,600	27.

Trial III for comparing patients subjected to ovariectomy (A) to a control group (B)

1. All conditions of trial I except for curative operability (condition 3)	—	9.73 %	10.3	2,730	1.8	12,100	8.
2. Non-menopausal patients in patients of stage I	33.8 %	3.29 %	30.4	8,100	5.3	35,800	24.

1)  $f_1$  = relative frequency in our material of patients with the condition considered (percentage).

2)  $F_1 = (f_1 \cdot F_{1-1})/100$  = remaining part of material after imposing condition considered.

3)  $100/F_1$  = factor with which the number 2S has to be multiplied in order to obtain  $X_m$ .

4)  $X_m$  = estimated number of patients required for a trial if they have to meet the condition considered and the preceding ones (to 3 significant figures).

5)  $X_p$  = estimated size of female population in which  $X_m$  patients are expected per year;  $X_p = 660 X_m$  is stated in millions (to 2 significant figures).

6) This percentage calculated directly from the number of patients fulfilling all conditions 1 through 4 in our data and the total number of patients involved (not assuming independence of conditions cf p. 51) was 7.40.

#### FOURTH DESIGN (cf. table IV-4):

The purpose of this trial design was to study in one series of patients the value of two methods of surgical treatment A and B combined with radiological treatment and ovariectomy. The aim was not solely to determine the effect of surgical therapy A or B and of postoperative irradiation or ovariectomy by themselves, but to gain an impression of the effect of these methods of treatment when applied to the same patient. In this respect, this design differs from earlier ones which were aimed at evaluation of one therapy only and the nature of previous therapies was not taken into account. In order to exclude a systematic effect of previous therapies, the randomization of the previous designs was applied to these therapies as well.

For this fourth design (parts II and III) use was made of more homogeneous groups of patients, since the effect of previous treatments is part of the investigation. An objection to this design is, however, that for part II (radiological treatment) and part III (ovariectomy), larger numbers of patients are required than for analogous trials as described under the second and third designs.

The fourth design consists of three parts (cf. Figure IV-1).

**PART I:** The patient material fulfilling the conditions of the first design is divided at random into two equal groups. One group is treated by surgical therapy A, the other by therapy B. Accordingly, *part I of the fourth design is identical to the first design* (cf. p. 54).

**PART II:** Surgical therapy groups A and B are both subdivided into groups with histologically positive axillary glands (P) and groups with histologically negative axillary glands (N). We thus have groups AP, AN, BP and BN. Groups AP and BP are once more divided at random into equal groups, of which only one group is irradiated (irradiation, APR and BPR; irradiation controls APC and BPC). Patients with histologically negative axillary glands (AN and BN) are not submitted to additional treatment.

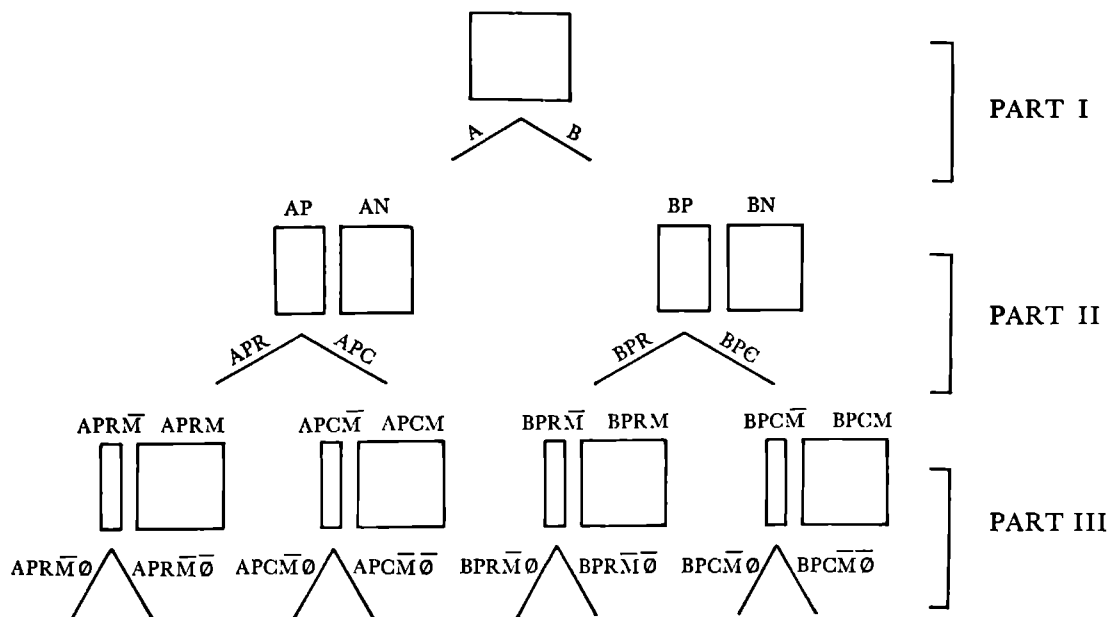
**PART III:** The irradiation and irradiation control groups are divided into menopausal patients (M) and non-menopausal patients ( $\bar{M}$ ). Thus the following groups of patients are formed: APRM, APR $\bar{M}$ , BPRM, BPR $\bar{M}$ , APCM, APC $\bar{M}$ , BPCM and BPC $\bar{M}$ . The groups of non-menopausal patients in whom the presence of positive axillary glands was established during the examination for part II are now divided at random, dependent on the menopausal condition, into two equal groups of which one is subjected to ovariectomy ( $\emptyset$ ) while the other serves as control ( $\bar{\emptyset}$ )\*.

Thus, the following therapy groups are formed:

APR $\bar{M}\emptyset$ , APR $\bar{M}\bar{\emptyset}$ , APC $\bar{M}\emptyset$ , APC $\bar{M}\bar{\emptyset}$   
BPR $\bar{M}\emptyset$ , BPR $\bar{M}\bar{\emptyset}$ , BPC $\bar{M}\emptyset$ , BPC $\bar{M}\bar{\emptyset}$ .

\* If in the comparison of ovariectomy groups the curative operability is not to be taken into account, the numbers found must be divided by  $\frac{100}{78.8}$ , or multiplied by  $\frac{78.8}{100}$ , as in the third design (cf. page 54).

FIGURE IV-1. Schematic presentation of the fourth design (cf. p. 57).



The groups of menopausal patients *APRM*, *BPRM*, *APCM* and *BPCM* are given no further treatment. If desired, part III might be extended to study the effect of irradiation in patients with negative axillary glands, groups *AN* and *BN*. In this case, therapy groups *ANR*, *ANC*, *BNR* and *BNC* would be formed. If desired, the non-menopausal patients in these groups might be subjected to a study of the effect of ovariectomy, in accordance with part III. However, the inclusion of patients with histologically negative axillary glands in the trial is of little importance for the calculation of the required number of patients  $X_m$ , since  $X_m$  is computed on the basis of the least frequent characteristic, i.e. histologically positive axillary glands. If  $X_m$  includes a sufficiently large number of patients with histologically positive axillary glands, there will undoubtedly be enough patients with histologically negative axillary glands.



TABLE IV-4. Estimation of number of patients required for an imaginary clinical trial for comparing results of different types of therapies simultaneously.

PART I: Both groups of patients for a trial to compare two surgical therapies (cf table IV-3, trial I) are divided into a Radiation group and a Radiation Control group

PART II: The total number of patients meeting the additional conditions of trial II (cf table IV-3) required for testing the effect of radiotherapy within each of the surgery groups is estimated.

PART III: Each of the four groups of Part II are divided into an Ovariectomy group and an Ovariectomy Control group. The total number of patients meeting the additional conditions of trial III (cf table IV-3) required for testing the effect of ovariectomy within each of the four groups is estimated. For assumptions and key, cf table IV-3.

Conditions	Case $P_A = 60\%$ $P_B = 80\%$ $2S = 266$					Case $P_A = 60\%$ $P_B = 70\%$ $2S = 1178$	
	$f_1$	$F_1$	$100/F_1$	$X_m$	$X_p$ (millions)	$X_m$	$X_p$ (millions)
Part I Comparing two surgical therapies A and B							
All conditions of trial I, table IV-3	—	7.65 %	13.1	3,480	2.3	15,400	10
Part II Testing effect of radiotherapy within each surgery group							
Number of patients required for part I multiplied by 2 <sup>1)</sup>	—	3.57 %	26.2	6,970	4.6	30,900	20
Patients with positive axillary glands in patients with tumor of stage I	37.0 % <sup>3)</sup>	1.42 %	70.4	18,700	12	82,900	55
Part III Testing effect of ovariectomy within each of the four groups of part II							
Number of patients required for part II multiplied by 2 <sup>2)</sup>	—	0.71 %	141	37,500	25	166,000	110
Non menopausal patients in patients of stage I	33.8 % <sup>3)</sup>	0.24 %	417	111,000	73	490,000	320

1) For division into Radiation and Radiation Control groups

2) For division into Ovariectomy and Ovariectomy Control groups

The numbers  $100/F_1$ ,  $X_m$  and  $X_p$  may differ slightly from twice the foregoing values since all results are rounded off after computation

3) Cf First and second designs respectively



## CHAPTER V. OUR APPROACH APPLIED TO A NUMBER OF CLINICAL TRIALS FROM THE LITERATURE

### § 1. *Introduction*

In this chapter, our approach is applied to the data of some previously completed clinical trials. For this purpose we selected the studies of Kaae (1965, 1968), Paterson (1959a, 1959b, 1962) and Nissen-Meyer (1965, 1968). Clinical evaluation of the results of these trials lies outside the scope of this study.

We estimated the number of patients required for trials, assuming that the estimated population result rates were approximately the same as those actually found experimentally. This was done for differences between the population result rates from 10% up to the minimum difference detectable with a probability of 95% with the same number of patients as that considered in the trial. We based our estimates on the actual selection percentages found by the investigators and not on those in our material. These percentages were calculated from the number of patients possessing some (combined) characteristics and the total number of patients available, so no assumptions of independence of characteristics had to be made. None of the investigators mentioned took the factor 'curative operability' (cf. Ch. III §7) into account, so the required numbers of patients estimated in accordance with our approach are not corrected for curative operability. We will thus demonstrate with a few examples the extent to which tabulation of the curative operability influences the numbers of patients required. In our tables on these investigators, we first give a survey of their results. In this respect the following data are tabulated:

- I Total number of patients included in trial.
- II Absolute numbers and percentages of patients meeting requirements laid down by investigator.
- III An indication of the therapies compared and the absolute number of patients to whom these therapies were allocated.
- IV The result rates found in each of the treatment groups 5, 7 or 10 years after treatment.
- V The result of the statistical test for the difference of these result rates.  
If not recorded by the author, the p-value of the  $\chi^2$  test for a  $2 \times 2$  table, with Yates correction, is given.

We then give our approach to the minimal detectable difference in population survival rates, obtainable from the number of patients in the trial under consideration.

- VI From the percentage  $F$  of the entire series meeting the requirements (cf. II) the multiplication factor  $2 \times \frac{100}{F}$  is computed (cf. IX).
- VII Different values of the population survival rates  $P_A$  and  $P_B$  are chosen, approximating to the (sample) result rates actually found. The values  $P_A$  and  $P_B$  are always multiples of 10%, so that table S1 can be used.
- VIII From table S1 we find the number  $S$  (the number of patients required in each therapy group to detect the difference between  $P_A$  and  $P_B$  with a probability of 95%).
- IX Applying the multiplication factor to  $S$ , the total number of patients  $X_m = S \times 2 \times \frac{100}{F}$  required according to our criteria is found (cf. Ch. III §6).

Operations VI–IX are carried out for differences 10%, 20%, etc. between  $P_A$  and  $P_B$  until the number  $X_m$  exceeds the number of patients actually included in the trial. In this way, the order of magnitude of the minimal detectable difference is obtained.

## § 2. *Kaae's Clinical Trial*

The clinical trial carried out by Kaae and Johansen (1965, 1968) compared two random series:

- a. simple mastectomy with postoperative irradiation (McWhirter group), and
- b. extended radical mastectomy by the Dahl-Iversen method. This operation is a classical radical mastectomy, extended by the inclusion of dissection of the supra-clavicular and internal mammary lymph nodes. The internal mammary chain is removed from the first to fourth intercostal spaces. No supplementary irradiation was administered.

The study covered the period 1951–1957, comprising 331 cases (operable and inoperable) in the McWhirter group and 335 in the extended radical mastectomy group. Staging was partly done in accordance with the International Clinical Classification (Copeland 1959–'60) and partly according to the criteria of Haagensen (1943). The 5 year results were known for all cases, and the 10 year results were known for 234 and 250 cases respectively. A subdivision is made into stage I cases and operable minus stage I cases.

The age distribution was approximately the same in both groups, so no correction was applied in analysis. The results were analysed as crude survival rates, crude recurrence-free survival rates without correction for death, without signs of recurrence and manifestation of distant metastases. In our considerations, the figures for crude survival rates are used. In table Kaae IV the influence of the use of different criteria on the number of patients required is evaluated. Not all the patients with operable cancer admitted into the trial received the treatment scheduled for their group.

The McWhirter technique was carried out on only 76%. No operation was performed in 14% of cases on account of biological inoperability (advanced age, concomitant disease) or refusal of treatment. The remaining 10% of patients wished to be treated at other hospitals. Similarly, in the extended radical mastectomy group, all operable cases should have had extended radical mastectomy. 76% were admitted to this operation, which was carried out in all but 25 cases or 12% of the 206 patients. Fifteen proved technically inoperable at operation, and 8 were in too poor a condition for extended radical mastectomy and 2 refused to have extended radical mastectomy. They were given simple mastectomy, in some cases with partial excision of the lymph nodes and postoperative X-ray irradiation. 13% were not operated on because of biological inoperability and 1% refused. Again, 10% of the patients desired treatment at other hospitals.

**TABLE KAAE I. McWhirter's method and Extended radical mastectomy compared in operable patients, 5 and 10 years crude survival rates considered. No division into subgroups.**

		5 years follow up		10 years follow up	
I	Numbers of patients included in KAAE'S clinical trial	666 100%		666 100%	
II	Numbers of patients meeting requirements Only "operable" patients considered. Exceptions: Biologically inoperable Refused operation Other exceptions	Operable 425 63.8%		Operable 302 45.3%	
	Clinical classification	Stage not considered		Stage not considered	
	Therapies considered	McWhirter's method	Extended radical mastectomy	McWhirter's method	Extended radical mastectomy
III	Numbers of patients per therapy group	219	206	149	153
IV	Results of percentages (crude survival rate)	66%	67%	46%	49%
V	Statistical significance (not recorded by KAAE) X <sup>2</sup> test: p value	p = 0.94		p = 0.72	
Numbers of patients required according to our criteria					
VI	Percentage of entire series meeting the requirements	63.8%		45.3%	
	Multiplication factor $2 \times \frac{100}{F}$	$2 \times \frac{100}{63.8} = 3.1$		$2 \times \frac{100}{45.3} = 4.4$	
If a 10% difference in population survival rate has to be detected					
VII	Values of P <sub>A</sub> and P <sub>B</sub> assumed (round result percentages) for entering table S <sub>1</sub>	P <sub>A</sub> = 60% P <sub>B</sub> = 70%		P <sub>A</sub> = 40% P <sub>B</sub> = 50%	
VIII	Number of S (cf table S <sub>1</sub> )	589		642	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	3.1 × 589 = 1,800 ±		4.4 × 642 = 2,800 ±	
If a 20% difference in population survival rate has to be detected					
VII	Values of P <sub>A</sub> and P <sub>B</sub> assumed (round result percentages) for entering table S <sub>1</sub>	P <sub>A</sub> = 60% P <sub>B</sub> = 80%		P <sub>A</sub> = 40% P <sub>B</sub> = 60%	
VIII	Number of S (cf table S <sub>1</sub> )	133		161	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	3.1 × 133 = 400 ±		4.4 × 161 = 700 ±	

\*P<sub>A</sub> and P<sub>B</sub> indicate the assumed population survival rates from McWhirter's method and Extended radical mastectomy respectively.

**TABLE KAAE II. McWhirter's method and Extended radical mastectomy compared (5 years crude survival rate). Operable patients divided into Clinical Stage I and Operable minus Stage I cases.**

5 years follow up

I	Numbers of patients included in KAAE'S clinical trial	666 100%			
II	Numbers of patients meeting requirements Only "operable" patients considered. Exceptions: Biologically inoperable Refused operation Other exceptions	Operable 425 63.8%			
	Clinical classification	Clinical stage I 290 43.5%		Operable minus stage I 135 20.3%	
	Therapies considered	McWhirter's method	Extended radical mastectomy	McWhirter's method	Extended radical mastectomy
III	Numbers of patients per therapy group	149	141	70	65
IV	Results in percentages (crude survival rate)	75%	77%	46%	48%
V	Statistical significance (not recorded by KAAE) X <sup>2</sup> test : p value	p = 0.78		p = 0.96	
Numbers of patients required according to our criteria					
VI	Percentage of entire series meeting the requirements	43.5%		20.3%	
	Multiplication factor $2 \times \frac{100}{F}$	$2 \times \frac{100}{43.5} = 4.6$		$2 \times \frac{100}{20.3} = 9.9$	
If a 10% difference in population survival rate has to be detected					
VII	Values of P <sub>A</sub> and P <sub>B</sub> assumed (round result percentages) for entering table S <sub>I</sub>	P <sub>A</sub> = 70% P <sub>B</sub> = 80%		P <sub>A</sub> = 40% P <sub>B</sub> = 50%	
VIII	Number of S (cf. table S <sub>I</sub> )	483		642	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$4.6 \times 483 = 2,200 \pm$		$9.9 \times 642 = 6,400 \pm$	
If a 20% difference in population survival rate has to be detected					
VII	Values of P <sub>A</sub> and P <sub>B</sub> assumed (round result percentages) for entering table S <sub>I</sub>	P <sub>A</sub> = 60% P <sub>B</sub> = 80%		P <sub>A</sub> = 40% P <sub>B</sub> = 60%	
VIII	Number of S (cf. table S <sub>I</sub> )	133		161	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$4.6 \times 133 = 600 \pm$		$9.9 \times 161 = 1,600 \pm$	
If a 30% difference in population survival rate has to be detected					
VII	Values of P <sub>A</sub> and P <sub>B</sub> assumed (round result percentages) for entering table S <sub>I</sub>	— —		P <sub>A</sub> = 30% P <sub>B</sub> = 60%	
VIII	Number of S (cf. table S <sub>I</sub> )	—		70	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	—		$9.9 \times 70 = 700 \pm$	

P<sub>A</sub> and P<sub>B</sub> indicate the assumed population survival rates from McWhirter's method and Extended radical mastectomy respectively.

**TABLE KAAE III. McWhirter's method and Extended radical mastectomy compared (10 years crude survival rate). Operable patients divided into Clinical Stage I and Operable minus Stage I cases.**

10 years follow up

I	Numbers of patients included in KAAE'S clinical trial		666 100%	
II	Numbers of patients meeting requirements	Only "operable" patients considered Exceptions: Biologically inoperable Refused operation Other exceptions	Operable 302 45.3%	
	Clinical classification		Clinical stage I 202 30.3%	Operable minus stage I 100 15.0%
	Therapies considered		McWhirter's method	Extended radical mastectomy
III	Numbers of patients per therapy group		97	105
IV	Results in percentages (crude survival rate)		54%	58%
V	Statistical significance (not recorded by KAAE) X <sup>2</sup> test · p value		p = 0.62	
			p = 1	
Numbers of patients required according to our criteria				
VI	Percentage of entire series meeting the requirements		30.3%	
	Multiplication factor $2 \times \frac{100}{F}$		$2 \times \frac{100}{30.3} = 6.6$	
			$2 \times \frac{100}{15.0} = 13.3$	
If a 10% difference in population survival rate has to be detected				
VII	Values of P <sub>A</sub> and P <sub>B</sub> assumed (round result percentages) for entering table S <sub>1</sub>		P <sub>A</sub> = 50% P <sub>B</sub> = 60%	
VIII	Number of S (cf table S <sub>1</sub> )		642	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$		$6.6 \times 642 = 4,200 \pm$	
			$13.3 \times 483 = 6,400 \pm$	
If a 20% difference in population survival rate has to be detected				
VII	Values of P <sub>A</sub> and P <sub>B</sub> assumed (round result percentages) for entering table S <sub>1</sub>		P <sub>A</sub> = 50% P <sub>B</sub> = 70%	
VIII	Number of S (cf table S <sub>1</sub> )		154	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$		$6.6 \times 154 = 1,000 \pm$	
			$13.3 \times 133 = 1,800 \pm$	
If a 30% difference in population survival rate has to be detected				
VII	Values of P <sub>A</sub> and P <sub>B</sub> assumed (round result percentages) for entering table S <sub>1</sub>		P <sub>A</sub> = 40% P <sub>B</sub> = 70%	
VIII	Number of S (cf table S <sub>1</sub> )		70	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$		$6.6 \times 70 = 450 \pm$	
			$13.3 \times 50 = 650 \pm$	

P<sub>A</sub> and P<sub>B</sub> indicate the assumed population survival rates from McWhirter's method and Extended radical mastectomy respectively.



In Kaae's trial, no difference in probability of survival could be demonstrated between McWhirter's method and extended radical mastectomy. This does not justify the conclusion that the two surgical methods are equivalent. The number of patients who could be followed up for 5 years was large enough according to our calculations to demonstrate a 20% difference in probability of survival with 95% probability. From this it may be concluded that if there is a difference between the chances of survival between the two methods, it is probably less than 20%. The possibility remains, therefore, that there is a difference of less than 20% between the chances of survival, but Kaae does not demonstrate this.

Similar considerations apply to the group of patients followed up for 10 years. The number of these patients, on the other hand, was only large enough to demonstrate a difference of 30% in the survival chances with 95% probability. It is therefore possible that there is a difference of less than 30% between the two chances of survival. Consequently, if it is believed possible that the chances of survival are not the same but differ by less than 20% and 30% respectively, Kaae's trial should be repeated with more patients in order that these differences may be demonstrated with reasonable certainty.

It should be noted that a repetition of Kaae's trial would give rise to problems in collecting an identical group of patients, since the composition of Kaae's material was not entirely clear because he applied two different clinical classifications to his group (cf. p. 62). From tables Kaae I, II and III we can deduce how many patients would be required to demonstrate maximum differences of 10% in survival chances. Although Kaae's publications contain no data useful in the calculation of our factor of 'curative operability', we consider it interesting to give an impression of the influence this factor may exert on the number of patients required for the design of a trial as organized by Kaae. Let us therefore suppose that the group of patients examined by Kaae and the patients classified by us at stage I + II have similar characteristics. The 'curative operability' would then amount to 70.5% (cf. table O8) and the multiplicationfactor would increase by  $\frac{100}{70.5} = 1.42$ .

It is to be expected, however, that the percentages of results will be closer to 0% or 100% than the percentages of results found by Kaae and that for this reason the number S will grow smaller, so that fewer, rather than more patients will be required (cf. Ch. III § 7, p. 25).

From our study it is clear that the requisite number of patients depends amongst other things on the criteria chosen in marking the results (cf. Ch. III § 3 p. 14). As an example, an attempt is made to demonstrate this on the basis of Kaae's trial. From Kaae's results we calculated the numbers of patients required according to various criteria. Kaae's table IV shows the consequences of applying different criteria of success most clearly in the group of 'operable cases' in which for the demonstration of a difference in results of 10% with a probability of 95%, for the criteria 'crude survival rate' and 'distant metastases', a total of 1,800 patients was found, whereas for the criterion 'local/regional recurrence' only 1,000 patients are sufficient.

TABLE KAAE IV. *Requisite number of patients according to different criteria of succes (5 years follow up). Operable cases, Clinical Stage I and Operable minus Stage I patients considered.*

Criteria (5 years follow-up)	Operable cases, cf Kaae I			Clinical stage I, cf Kaae II			Operable minus stage I, cf Kaae II		
	Crude survival rate cf. table Kaae I	Local/ regional recurrence	Distant metastases	Crude survival rate cf table Kaae II	Local/ regional recurrence	Distant metastases	Crude survival rate cf table Kaae II	Local/ regional recurrence	Distant metastases
McWhirter's method <sup>1)</sup>	66 %	18 %	38 %	75 %	15 %	28 %	46 %	26 %	59 %
Extended radical mastectomy <sup>1)</sup>	67 %	22 %	37 %	77 %	16 %	27 %	48 %	37 %	58 %
Results of treatment P <sub>A</sub> and P <sub>B</sub> assumed <sup>2)</sup>	P <sub>A</sub> = 60 % P <sub>B</sub> = 70 %	P <sub>A</sub> = 10 % P <sub>B</sub> = 20 %	P <sub>A</sub> = 40 % P <sub>B</sub> = 30 %	P <sub>A</sub> = 70 % P <sub>B</sub> = 80 %	P <sub>A</sub> = 10 % P <sub>B</sub> = 20 %	P <sub>A</sub> = 30 % P <sub>B</sub> = 20 %	P <sub>A</sub> = 40 % P <sub>B</sub> = 50 %	P <sub>A</sub> = 30 % P <sub>B</sub> = 40 %	P <sub>A</sub> = 60 % P <sub>B</sub> = 50 %
Number of S assumed (cf. table S <sub>1</sub> )	589	323	589	483	323	483	642	589	642
Multiplication- factor of Kaae I, II and III		3 1			4 6			9 9	
Numbers of patients required	1,800 ±	1,000 ±	1,800 ±	2,200 ±	1,500 ±	2,200 ±	6,400 ±	5,800 ±	6,400 ±

1) Results in percentages actually found

2) P<sub>A</sub> and P<sub>B</sub> indicate assumed population survival rates from McWhirter's method and Extended radical mastectomy respectively.

### § 3. Paterson's Ovarian Radiation Trial

Paterson's first trial (1959a, 1962), was designed to evaluate the contribution made by castration in a menopausal\* group of women with cancer of the breast. The problem he set himself was to establish whether X-ray sterilization of the ovaries at the earliest possible moment in menopausal women could materially affect the course of the disease.

All breast cancer cases presenting at the Christie Hospital, Manchester, menopausal, and under 55 years of age were considered admissible to the trial, provided there were neither contra-indications to ovarian castration nor any special reason why it should be advised as a treatment of choice. The experimental sample for analysis consists of a varied selection of appropriate patients referred to the hospital for consideration for radiotherapy and allocated on a purely random basis either to have immediate ovarian irradiation (radiated group), or not to be so irradiated (control group). Either of these policies was quite independent of whatever other procedures were called

\* For the purposes of Paterson's study menopausal women were defined as those before or at the menopause, or within 2 years thereafter.

for. A substantial proportion of the cases presenting postoperatively had, prior to operation presumptive stage I or stage II cancers of the breast (Manchester staging). These, as will be seen later, form the most important group in the study. The remainder included new but inoperable cases (stages III and IV, Manchester staging) and those recurrent either in the breast, axilla, or supraclavicular region, following an operation some time previously. The sample is not therefore a representative cross-section of all stages of breast cancer and in particular it should be appreciated that both early cases prior to operation and late cases for which hormone treatment was the only procedure possible are absent from the sample.

The study covered the period 1948-1955 and at completion the total sample consisted of 747 patients (10 year follow up: 744 patients) with breast cancer at various states of advancement but predominantly in a postoperative state when first seen and considered for ovarian radiation.

Paterson made a division of his series into the following subgroups:

- a. Two major subgroups according to the status of the patient when first reviewed for possible ovarian radiation: –
  1. postoperative cases – patients admitted to the trial shortly after mastectomy and clinically free from demonstrable disease, and,
  2. inoperable or late cases – patients unsuitable for operation when first seen or with recurrent or residual disease.
- b. Two age groups (< 45 years and 45–54 years).
- c. The postoperative group was divided into two subgroups according to whether malignant invasion was or was not found in the axillary nodes after operation, i.e. the contrast separately for proved surgical stage I and other cases.

Paterson used three criteria to state the results of the postoperative group. These criteria are Crude survival rate, Recurrence rate breast area (including axillary and supraclavicular nodes) and Manifestation of distant metastases.

The 10 year results of Paterson's ovarian radiation trial are recorded by Cole (1968). Cole's figures were used in our approach, except for table Paterson IV, where Paterson's 5 year figures are employed. In the tables referring to both trials of Paterson, the symbol  $X_m$  has a different notation than in the other trials considered in this chapter. Paterson worked with selected groups of patients and does not state the percentage of all available mammary carcinoma patients which these groups comprised. Therefore we can only calculate the number of patients of the selected group which according to our criteria is required for a trial as intended by Paterson. For the sake of uniformity, this number is indicated by  $X_m$ .

In the other tables,  $X_m$  stands for the number of unselected mammary carcinoma patients required, which is naturally larger.

TABLE PATERSON I. *Ovarian radiation and Control groups compared (10 years crude survival rate). No division into subgroups.*

I	Numbers of patients included in PATERSON'S clinical trial	744 100%	
II	Numbers of patients meeting requirements	Type of case not considered	
		Age not considered	
		Axillary involvement not considered	
	Therapies considered	Radiated	Control
III	Numbers of patients per therapy group	369	375
IV	Results in percentages (10 years crude survival rate)	46.6%	41.6%
V	Statistical significance (COLE)	p = 0.17	
Numbers of patients required according to our criteria			
VI	Percentage of entire series meeting the requirements	100%	
	Multiplication factor $2 \times \frac{100}{F}$	$2 \times \frac{100}{100} = 2.0$	
If a 10% difference in population survival rate has to be detected			
VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 50\%$ $P_B = 40\%$	
VIII	Number of S (cf. table $S_1$ )	642	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$2 \times 642 = 1,300 \pm$	
If a 20% difference in population survival rate has to be detected			
VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 60\%$ $P_B = 40\%$	
VIII	Number of S (cf. table $S_1$ )	161	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$2 \times 161 = 320 \pm$	

$P_A$  and  $P_B$  indicate the assumed population survival rates of Radiation and Control groups respectively.

**TABLE PATERSON II.** *Ovarian radiation and Control groups compared (10 years crude survival rate). Subdivision into Direct postoperative and Late Postoperative groups.*

I	Numbers of patients included in PATERSON'S clinical trial	744 100%			
II	Numbers of patients meeting requirements	Direct postoperative 596 80.2%		Late postoperative 148 19.8%	
		Age not considered			
		Axillary involvement not considered			
	Therapies considered	Radiated	Control	Radiated	Control
III	Numbers of patients per therapy group	293	303	76	72
IV	Results in percentages (10 years crude survival rate)	54.9%	47.5%	14.5%	16.7%
V	Statistical significance (COLE)	p = 0.07		p = 0.89 (not recorded by Cole)	
Numbers of patients required according to our criteria					
VI	Percentage of entire series meeting the requirements	80.2%		19.8%	
	Multiplicationfactor $2 \times \frac{100}{F}$	$2 \times \frac{100}{80.2} = 2.5$		$2 \times \frac{100}{19.8} = 10.1$	
If a 10% difference in population survival rate has to be detected					
VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 50\%$ $P_B = 40\%$		$P_A = 10\%$ $P_B = 20\%$	
VIII	Number of S (cf. table $S_1$ )	642		323	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$2.5 \times 642 = 1,600 \pm$		$10.1 \times 323 = 3,000 \pm$	
If a 20% difference in population survival rate has to be detected					
VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 60\%$ $P_B = 40\%$		$P_A = 10\%$ $P_B = 30\%$	
VIII	Number of S (cf. table $S_1$ )	161		98	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$2.5 \times 161 = 400 \pm$		$10.1 \times 98 = 1,000 \pm$	
If a 30% difference in population survival rate has to be detected					
VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	— —		$P_A = 10\%$ $P_B = 40\%$	
VIII	Number of S (cf. table $S_1$ )	—		50	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	—		$10.1 \times 50 = 500 \pm$	

$P_A$  and  $P_B$  indicate the assumed population survival rates of Radiation and Control groups respectively.

TABLE PATERSON III. *Ovarian radiation and Control groups compared (10 years recurrence rate breast area and 10 years manifestation of distant metastases). Only Direct postoperative patients considered.*

I	Numbers of patients included in PATERSON'S clinical trial	744 100%			
II	Numbers of patients meeting requirements	Direct post-operative 596 80.2%			
		Age not considered			
		Axillary involvement not considered			
	Therapies considered	Radiated	Control	Radiated	Control
III	Numbers of patients per therapy group	293	303	293	303
IV	Results in percentages	10 years recurrence rate breast area (including axillary and supraclavicular nodes)		Manifestation of distant metastases (10 years follow up)	
		23.2%	29.7%	46.1%	54.5%
V	Statistical significance (COLL)	p = 0.07		p = 0.04	
Numbers of patients required according to our criteria					
VI	Percentage of entire series meeting the requirements	80.2%			
	Multiplication factor $2 \times \frac{100}{F}$	$2 \times \frac{100}{80.2} = 2.5$			
If a 10% difference in population result rate has to be detected					
VII	Values of P <sub>A</sub> and P <sub>B</sub> assumed (round result percentages) for entering table S <sub>1</sub>	P <sub>A</sub> = 20% P <sub>B</sub> = 30%		P <sub>A</sub> = 40% P <sub>B</sub> = 50%	
VIII	Number of S (cf table S <sub>1</sub> )	483		642	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	2.5 × 483 = 1,200 ±		2.5 × 642 = 1,600 ±	
If a 20% difference in population result rate has to be detected					
VII	Values of P <sub>A</sub> and P <sub>B</sub> assumed (round result percentages) for entering table S <sub>1</sub>	P <sub>A</sub> = 20% P <sub>B</sub> = 40%		P <sub>A</sub> = 40% P <sub>B</sub> = 60%	
VIII	Number of S (cf table S <sub>1</sub> )	133		161	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	2.5 × 133 = 350 ±		2.5 × 161 = 400 ±	

$P_A$  and  $P_B$  indicate the assumed population result percentages by Radiation and Control groups respectively.

**TABLE PATERSON IV. Ovarian radiation and Control groups compared (5 years mortality).  
Subdivision according to age.**

I	Numbers of patients included in PATERSON'S clinical trial	747 100%			
II	Numbers of patients meeting requirements	Type of case not considered			
		< 45 years 444 59.5%		45-54 years 303 40.5%	
		Auxiliary involvement not considered			
	Therapies considered	Radiated	Control	Radiated	Control
III	Numbers of patients per therapy group	226	218	143	160
IV	Results in percentages (5 years mortality)	46%	52%	35%	42%
V	Statistical significance (PAIRLSON)	p = 0.3		p = 0.2	
Numbers of patients required according to our criteria					
VI	Percentage of entire series meeting the requirements	59.5%		40.5%	
	Multiplication factor $2 \times \frac{100}{F}$	$2 \times \frac{100}{59.5} = 3.4$		$2 \times \frac{100}{40.5} = 4.9$	
If a 10% difference in population mortality rate has to be detected					
VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 40\%$ $P_B = 50\%$		$P_A = 30\%$ $P_B = 40\%$	
VIII	Number of S (cf table $S_1$ )	642		589	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$3.4 \times 642 = 2,200 \pm$		$4.9 \times 589 = 2,900 \pm$	
If a 20% difference in population mortality rate has to be detected					
VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 40\%$ $P_B = 60\%$		$P_A = 30\%$ $P_B = 50\%$	
VIII	Number of S (cf table $S_1$ )	161		154	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$3.4 \times 161 = 550 \pm$		$4.9 \times 154 = 750 \pm$	

$P_A$  and  $P_B$  indicate the assumed population mortality rates of Radiation and Control groups respectively

TABLE PATERSON V. *Ovarian radiation and Control groups compared (10 years crude survival rate). Only Direct postoperative patients considered. Subdivision according to axillary involvement.*

I	Numbers of patients included in PATERSON'S clinical trial	744 100%			
II	Numbers of patients meeting requirements	Direct postoperative			
		Age not considered			
		Axilla not involved 193 32.4%		Axilla involved 403 67.7%	
	Therapies considered	Radiated	Control	Radiated	Control
III	Numbers of patients per therapy group	90	103	203	200
IV	Results in percentages (10 years crude survival rate)	80%	68%	43.8%	37%
V	Statistical significance (COLE)	p = 0.06		p = 0.16	
Numbers of patients required according to our criteria					
VI	Percentage of entire series meeting the requirements	32.4		67.6	
	Multiplication factor $2 \times \frac{100}{F}$	$2 \times \frac{100}{32.4} = 6.2$		$2 \times \frac{100}{67.6} = 3.0$	
If a 10% difference in population survival rate has to be detected					
VII	Values of P <sub>A</sub> and P <sub>B</sub> assumed (round result percentages) for entering table S <sub>1</sub>	P <sub>A</sub> = 80% P <sub>B</sub> = 70%		P <sub>A</sub> = 40% P <sub>B</sub> = 30%	
VIII	Number of S (cf. table S <sub>1</sub> )	483		589	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	6.2 × 483 = 3,000 ±		3.0 × 589 = 1,800 ±	
If a 20% difference in population survival rate has to be detected					
VII	Values of P <sub>A</sub> and P <sub>B</sub> assumed (round result percentages) for entering table S <sub>1</sub>	P <sub>A</sub> = 80% P <sub>B</sub> = 60%		P <sub>A</sub> = 50% P <sub>B</sub> = 30%	
VIII	Number of S (cf. table S <sub>1</sub> )	133		154	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	6.2 × 133 = 800 ±		3.0 × 154 = 450 ±	

$P_A$  and  $P_B$  indicate the assumed population survival rates of Radiation and Control groups respectively.



In studying the results of Paterson's ovariectomy trial, it appears that reliable general conclusions can be drawn from the direct postoperative series (cf. Paterson's tables I and III). The reader will have noted that for this group, Paterson finds significant or nearly significant figures from his total of 744 patients, whereas the numbers calculated by us are higher (cf table V-1).

TABLE V-1.

Criterion	Difference in results	Statistical significance	$X_m$ as calculated by us
Crude survival rate cf. table Paterson II	7.4%	$p = 0.07$	1600
Recurrence rate breast area cf. table Paterson III	6.5%	$p = 0.07$	950
Manifestation of distant metastases cf. table Paterson III	8.4%	$p = 0.04$	1300

Suppose the 5 year survival chances are  $P_A = 40\%$ ,  $P_B = 60\%$ . In this case, according to table Paterson I, we require 161 patients per treatment group to demonstrate this difference with 95% probability. This means: in 95% of the cases in which, with  $P_A = 40\%$ ,  $P_B = 60\%$  we carry out a trial with 161 patients per treatment group, we shall find two percentages of survival,  $\hat{P}_A$  and  $\hat{P}_B$  which differ significantly according to the  $\chi^2$ -test for a  $2 \times 2$  table. In 5% of the cases the values found for  $\hat{P}_A$  and  $\hat{P}_B$  will not differ significantly. This is due to the fact that  $\hat{P}_A$  and  $\hat{P}_B$  depend on chance; they may assume different values in the vicinity of survival probabilities  $P_A$  and  $P_B$ ; sometimes these values will not differ sufficiently to justify the conclusion that  $P_A < P_B$ .

Now suppose we take only 100 patients per treatment group, which according to our criterion is 'insufficient'. Nevertheless (according to table A1 in the Appendix), there is still more than an 81% probability that a significant result will be obtained, in other words, this is still quite possible. Calculation according to our criteria shows that with the patient material available, differences can be demonstrated between the irradiated and the control group of 20% or more. This also applies when we classify according to age and to pathology of the axillary glands. It is regrettable that Paterson did not study a subdivision on the basis of a combination of these characteristics. When two characteristics, either singly or in combination, exert a slight favorable effect on the prognosis, it is possible that this favorable influence will only manifest itself with significant figures when these characteristics are studied in combination. However, owing to the size of this series of Paterson, further subdivision leads to too small subgroups. If we suppose that in Paterson's patient material the occurrence of axillary involvement and the age are independent selection criteria, we can calculate the size of the smallest subgroup formed by patients with the characteristics 'axilla not involved' and 'age 45-54 years'. The frequencies of these characteristics are 40.5% and 32.4% respectively (cf. Paterson IV and V). Accordingly we may estimate that a combination of these characteristics will occur in  $\frac{40.5}{100} \cdot \frac{32.4}{100} = 13.1\%$  of cases, or about 100 patients. With a subdivision into treatment groups of equal size, this would still make it possible to demonstrate a difference of 30 to 40% between the chances of results (cf. table S1).

In Ch. III §3 p. 14, a possible difference in survival curves between two groups is considered.

Cole (1968) describes such a phenomenon:

'By the end of the 1st year 12.6 per cent of the irradiated group had developed signs of recurrence or metastases, while 24.4 per cent of the control group had evidence of disease. This difference is highly significant ( $p = 0.0002$ ).

Year by year the incidence of recurrence or metastases in the irradiated group is less than in the control group. It is interesting to note that by the end of 10 years 47.4 per cent of the irradiated group showed evidence of active disease or had died while the comparable figure of 48.2 per cent was reached in the control group 6 years earlier, *i.e.* at the end of the 4th year.

The cumulative incidence of recurrent disease in the group who had negative axillary nodes is given in table V-2. In the first year there is little difference between the irradiated and the control groups (4.4 per cent compared with 6.8 per cent) but this is to be expected as all these patients had early disease when included in the trial. However, year by year through the table there is a postponement of the development of active disease in the irradiated group. By the end of the 7th year 21.1 per cent of the treated group had active disease, a figure similar to that already reached at the end of 3 years for the control group (21.4%).

TABLE V-2. *Relative incidence of recurrence of malignancy to 10th anniversary or to death within that period for group with negative axillary nodes.*

Years after treatment	Number of cases		Cumulative incidence %	
	Irradiated	Control	Irradiated	Control
Within 1 yr.	90	103	4.4	6.8
" 2 "			8.9	14.6
" 3 "			14.4	21.4
" 4 "			17.8	25.2
" 5 "			16.7	27.2
" 7 "			21.1	33.0
" 10 "			24.4	35.9

The results for the patients with positive axillary nodes are presented in table V-3, from which it is seen that at 1 year there is a highly significant difference between the recurrence rates in the irradiated and control groups ( $p = 0.00006$ ). The same delay in the onset of active disease is seen year by year as in the previous tables. At 10 years 57.6 per cent of the irradiated group had active disease, a figure comparable to that of 57 per cent for the control group, reached at the end of 3 years.

TABLE V-3. *Relative incidence of recurrence of malignancy to 10th anniversary or to death within that period for group with positive axillary nodes.*

Years after treatment	Number of cases		Cumulative incidence %	
	Irradiated	Control	Irradiated	Control
Within 1 yr.	203	200	16.3	33.5
" 2 "			33.0	51.0
" 3 "			41.9	57.0
" 4 "			44.8	60.0
" 5 "			50.7	61.5
" 7 "			53.7	63.0
" 10 "			57.6	67.0

From these results it would appear that ovarian irradiation prolongs the time between the treatment of primary disease and the later development of recurrence’.

#### § 4. *Paterson's Postoperative Radiotherapy Trial*

Paterson's second trial attempted to evaluate routine postoperative radiotherapy (1959b).

The criteria for acceptance of patients into the trial were:

1. Female patients with histologically verified mammary carcinoma.
2. Under 70 years of age.
3. All patients without exception had undergone a standard radical mastectomy of the Halsted type for stage I or stage II mammary carcinoma, with possibly a small minority of early stage III cases (Manchester staging).
4. Having had no other treatment.
5. Being clinically free of demonstrable residue or distant metastases.
6. Having no evidence in the surgical description of the operation to suggest that the operation was thought to have been incomplete.
7. Having no reason for the adoption of a 'watch' policy as a treatment of choice, for example on account of concomitant disease.

Paterson compared prophylactic irradiation in one group shortly after operation with a careful watch policy in another group, with irradiation only on the first actual evidence of relapse. Two different but both radical X-ray techniques were used. One primarily involved irradiation of the breast flap and axilla (quadrate technique), and the other primarily involved irradiation of the axillary, supraclavicular and parasternal lymph node drainage areas (peripheral technique). The study covered the period 1949–1955 and included 709 patients treated and 752 watched. Paterson made a subdivision of the series according to age and axillary involvement. As a measure of results of therapy the 7 years crude survival rate was used.

In a 10 year analysis, Easson (1968) makes a division into stage I and stage II cases in evaluating recurrence rates. Although Paterson, as in his ovariectomy trial, used a selected group of patients, we for the sake of uniformity use the symbol  $X_m$  (cf. Ch. V, p. 69).

TABLE PATERSON VI. *Treated and Watched groups compared (7 years mortality rate and 10 years local recurrence rate). Technique not considered. No division into subgroups.*

I	Numbers of patients included in PATERSON'S clinical trial	1,461 100 %			
	Postoperative radiotherapy	Technique not considered			
II	Numbers of patients meeting requirements (Data on clinical classification not recorded by PATERSON)	Age not considered			
		Axillary involvement not considered			
	Therapies considered	Treated	Watched	Treated	Watched
III	Numbers of patients per therapy group	709	752	709	752
IV	Results in percentages	7 years mortality rate 53 %      51 %		10 years local recurrence rate 19 %      32 %	
V	Statistical significance (not recorded by PATERSON and EASSON) $\chi^2$ test : p value	p = 0.47		p < 10 <sup>-5</sup>	
Numbers of patients required according to our criteria					
VI	Percentage of entire series meeting the requirements	100 %			
	Multiplicationfactor $2 \times \frac{100}{F}$	$2 \times \frac{100}{100} = 2.0$			
If a 10% difference in population result rate has to be detected					
VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 60\%$ $P_B = 50\%$		$P_A = 20\%$ $P_B = 30\%$	
VIII	Number of S (cf. table $S_1$ )	642		483	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$2.0 \times 642 = 1,300 \pm$		$2.0 \times 483 = 950 \pm$	

$P_A$  and  $P_B$  indicate the assumed population mortality or local recurrence rates of Treated and Watched groups respectively.

TABLE PATERSON VII. *Treated and Watched groups compared (10 years local recurrence rate). Technique not considered. Subdivision into Stage I and Stage II cases.*

I	Numbers of patients included in PATERSON'S clinical trial	1,461 100%			
	Postoperative radiotherapy	Technique not considered			
	Numbers of patients meeting requirements	Stage I 527 36.1%		Stage II 934 63.9%	
II		Age not considered			
		Axillary involvement not considered			
	Therapies considered	Treated	Watched	Treated	Watched
III	Numbers of patients per therapy group	not recorded		not recorded	
IV	Results in percentages (10 years local recurrence rate)	9.5%	16.0%	25.0%	41.5%
V	Statistical significance (not recorded by EASSON) X <sup>2</sup> test p value	p = 0.035		p < 10 <sup>-3</sup>	
Numbers of patients required according to our criteria					
VI	Percentage of entire series meeting the requirements	36.1%		63.9%	
	Multiplication factor $2 \times \frac{100}{F}$	$2 \times \frac{100}{36.1} = 5.5$		$2 \times \frac{100}{63.9} = 3.1$	
If a 10% difference in population local recurrence rate has to be detected					
VII	Values of P <sub>A</sub> and P <sub>B</sub> assumed (round result percentages) for entering table S <sub>1</sub>	P <sub>A</sub> = 10% P <sub>B</sub> = 20%		P <sub>A</sub> = 20% P <sub>B</sub> = 30%	
VIII	Number of S (cf table S <sub>1</sub> )	323		483	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	5.5 × 323 = 1,750 ±		3.1 × 483 = 1,500 ±	
If a 20% difference in population local recurrence rate has to be detected					
VII	Values of P <sub>A</sub> and P <sub>B</sub> assumed (round result percentages) for entering table S <sub>1</sub>	P <sub>A</sub> = 10% P <sub>B</sub> = 30%		P <sub>A</sub> = 20% P <sub>B</sub> = 40%	
VIII	Number of S (cf table S <sub>1</sub> )	98		133	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	5.5 × 98 = 550 ±		3.1 × 133 = 400 ±	

P<sub>A</sub> and P<sub>B</sub> indicate the assumed population local recurrence rates for Treated and Watched groups respectively.

TABLE PATERSON VIII. *Treated and Watched groups compared (7 years crude survival rate). Technique not considered. Subdivision according to age.*

I	Numbers of patients included in PATERSON'S clinical trial		1,461 100%			
	Postoperative radiotherapy		Technique not considered			
II	Numbers of patients meeting requirements (Data on clinical classification are not recorded by PATERSON)	< 50 years 623 42.7%		> 50 years 838 57.3%		
		Axillary involvement not considered				
	Therapies considered	Treated	Watched	Treated	Watched	
III	Numbers of patients per therapy group		Not recorded	Not recorded	Not recorded	Not recorded
IV	Results in percentages (7 years crude survival rate)		54.0%	45.0%	53.0%	55.0%
V	Statistical significance (not recorded by PATERSON)		p = 0.03		p = 0.64	
	X <sup>2</sup> test p value (therapy groups assumed to be equal)					
Numbers of patients required according to our criteria						
VI	Percentage of entire series meeting the requirements		42.7%		57.3%	
	Multiplication factor	$2 \times \frac{100}{F}$	$2 \times \frac{100}{42.7} = 4.7$		$2 \times \frac{100}{57.3} = 3.5$	
If a 10% difference in population survival rate has to be detected						
VII	Values of P <sub>A</sub> and P <sub>B</sub> assumed (round result percentages) for entering table S <sub>1</sub>		P <sub>A</sub> = 50% P <sub>B</sub> = 40%		P <sub>A</sub> = 50% P <sub>B</sub> = 60%	
VIII	Number of S (cf table S <sub>1</sub> )		642		642	
IX	Numbers of patients required on the basis of our criteria		$X_m = S \times 2 \times \frac{100}{F}$		$4.7 \times 642 = 3,000 \pm$ $3.5 \times 642 = 2,200 \pm$	
If a 20% difference in population survival rate has to be detected						
VII	Values of P <sub>A</sub> and P <sub>B</sub> assumed (round result percentages) for entering table S <sub>1</sub>		P <sub>A</sub> = 60% P <sub>B</sub> = 40%		P <sub>A</sub> = 50% P <sub>B</sub> = 70%	
VIII	Number of S (cf table S <sub>1</sub> )		161		154	
IX	Numbers of patients required on the basis of our criteria		$X_m = S \times 2 \times \frac{100}{F}$		$4.7 \times 161 = 750 \pm$ $3.5 \times 154 = 550 \pm$	

$P_A$  and  $P_B$  indicate the assumed population survival rates of Treated and Watched groups respectively

TABLE PATERSON IX. Radiation (Quadrant technique) and Control groups compared (7 years crude survival rate) Subdivision according to axillary involvement.

I	Numbers of patients included in PATERSON'S clinical trial	1,461 100%			
	Postoperative radiotherapy A Quadrate technique B Peripheral technique	Quadrate technique 720 49.3%			
II	Numbers of patients meeting requirements (Data on clinical classification are not recorded by PATTERSON)	Age not considered			
		Axilla not involved 247 16.8%		Axilla involved 473 32.4%	
	Therapies considered	Treated	Watched	Treated	Watched
III	Numbers of patients per therapy group	105	142	222	251
IV	Results in percentages (7 years crude survival rate)	36.2%	27.3%	63.5%	65.5%
V	Statistical significance (not recorded by PATTERSON) $\chi^2$ test    p value	p = 0.19		p = 0.73	
Numbers of patients required according to our criteria					
VI	Percentage of entire series meeting the requirements	16.8%		32.4%	
	Multiplication factor $2 \times \frac{100}{F}$	$2 \times \frac{100}{16.8} = 11.9$		$2 \times \frac{100}{32.4} = 6.2$	
If a 10% difference in population survival rate has to be detected					
VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 30\%$ $P_B = 20\%$		$P_A = 70\%$ $P_B = 60\%$	
VIII	Number of S (cf table $S_1$ )	483		589	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$11.9 \times 483 = 5,700 \pm$		$6.2 \times 589 = 3,600 \pm$	
If a 20% difference in population survival rate has to be detected					
VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 40\%$ $P_B = 20\%$		$P_A = 70\%$ $P_B = 50\%$	
VIII	Number of S (cf table $S_1$ )	133		154	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$11.9 \times 133 = 1,600 \pm$		$6.2 \times 154 = 950 \pm$	

$P_A$  and  $P_B$  indicate the assumed population survival rates of Treated and Watched groups respectively

**TABLE PATERSON X. *Radiated (Peripheral technique) and Control groups compared (7 years crude survival rate). Subdivision according to axillary involvement.***

I	Numbers of patients included in PATERSON'S clinical trial	1,461 100%			
	Postoperative radiotherapy A. Quadrate technique B. Peripheral technique	Peripheral technique 741 50.7%			
II	Numbers of patients meeting requirements (Data on clinical classification are not recorded by PATERSON)	Age not considered			
		Axilla not involved 281 19.2%		Axilla involved 460 31.5%	
	Therapies considered	Treated	Watched	Treated	Watched
III	Numbers of patients per therapy group	139	142	243	217
IV	Results in percentages (7 years crude survival rate)	37.0%	36.0%	58.0%	56.0%
V	Statistical significance (not recorded by PATERSON) $\chi^2$ test    p value	p = 1.0		p = 0.69	
Numbers of patients required according to our criteria					
VI	Percentage of entire series meeting the requirements	19.2%		31.5%	
	Multiplication factor $2 \times \frac{100}{F}$	$2 \times \frac{100}{19.2} = 10.4$		$2 \times \frac{100}{31.5} = 6.3$	
If a 10% difference in population survival rate has to be detected					
VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 40\%$ $P_B = 30\%$		$P_A = 60\%$ $P_B = 50\%$	
VIII	Number of S (cf table $S_1$ )	589		642	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$10.4 \times 589 = 6,000 \pm$		$6.3 \times 642 = 4,000 \pm$	
If a 20% difference in population survival rate has to be detected					
VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 50\%$ $P_B = 30\%$		$P_A = 60\%$ $P_B = 40\%$	
VIII	Number of S (cf table $S_1$ )	154		161	
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$10.4 \times 154 = 1,600 \pm$		$6.3 \times 161 = 1,000 \pm$	

$P_A$  and  $P_B$  indicate the assumed population survival rates of Treated and Watched groups respectively.



According to our criteria, the number of patients in Paterson's postoperative irradiation trial would be sufficient to demonstrate a possible difference of 10% between the population result rates  $P_A$  and  $P_B$  with a probability of 95% (cf. table Paterson VI) if no subdivisions were made according to selection criteria (age, stage of pathology of the axillary glands).

If a subdivision is made on the basis of one of these criteria, it will in general only be possible to demonstrate differences of the order of 20% between  $P_A$  and  $P_B$  with a probability of 95%. As noted in connection with Paterson's ovariectomy trial, one might consider organizing a trial with subgroups corresponding to a combination of two or more of the selection criteria. For instance, if Paterson had combined the criteria age < 50 years (42.7% cf. table Paterson VIII) and 'axilla not involved' (19.2% cf. table Paterson X) into one subgroup, and these selection criteria had been regarded as independent of one another, it may be estimated that only  $\frac{42.7}{100} \times \frac{19.2}{100} = 8.2\%$  of the patient material would have fulfilled the conditions. This amounts to about 100 patients. With a subdivision into treatment groups of equal size, this would still have permitted demonstration of a difference of 30 to 40% between the population result rates. Paterson's trial (cf. table Paterson VI) clearly shows how, when two methods of treatment are compared, no difference may be found for one criterion, while there is a difference for a different criterion. While the 7 year mortality rates of the treated and watched groups amount to 53% and 51% respectively (the 10 year mortality rate in the two groups together is 55%), we find there is a significant difference in the 10 year local recurrence rates (19.0% and 32.0% respectively).

We can also see from Paterson's table VI that the numbers of patients required for the various success criteria differ, because the value of  $S$  is influenced by the percentage of results ('7 years mortality rate':  $1300 \pm$  patients. '10 years local recurrence rate':  $950 \pm$  patients). In this trial, significant differences continue to occur even after subdivision into stages I and II. According to our criteria, there were almost enough patients to demonstrate a difference of 10% in result with a probability of 95%, and ample to demonstrate a difference of 20%. If in this trial the factor 'curative operability' is taken into account, a slight decrease in  $X_m$  results.

If in this trial the factor 'curative operability' is taken into account a considerable decrease in  $X_m$  is possible.

Paterson's data showed that the 3 year mortality was about 30%. If in the final analysis this group would be excluded from the trial, only 70 out of every 100 patients remain for investigation. We considered the case  $P_A = 60\%$  and  $P_B = 50\%$ , 7 year population mortality rate; therefore the value of  $S$  is  $S = 642$  and  $X_m$  is  $2 \times 642$ , or about 1300.

If the 3 year mortality is the same in both therapygroups, the mortality between 3 and 7 years was 30% and 20% respectively, with respect to number of operable patients  $\frac{0.3}{0.7} = 42.9\%$  and  $\frac{0.2}{0.7} = 28.6\%$ . The number  $S$  for the operable patients according to formula (2) of the Appendix now is equal to 290. And thus  $X_m = 2 \times \frac{100}{70} \times 290 = 830 \pm$ .

This is a reduction of about 65% with respect to the number  $X_m = 1300$  required if the inoperable patients are not excluded.

#### § 5. *Nissen-Meyer's Ovariectomy Trial*

Nissen-Meyer (1965; 1968) describes his trial as follows:

'Between 22nd November 1957 and 31st December 1963, 932 women under the age of 70 years were admitted as in-patients for the initial treatment of histologically proved operable cancer of the breast. Following histological examination of the axillary nodes, 455 patients were classified as stage 1 and 447 as stage 2. The histology of the axillary nodes was not known in thirty patients, who were classified as stage 1 or 2.

All patients were included in a prospective study of 'prophylactic' versus 'therapeutic' castration. Six hundred and sixty-four had suppression of ovarian function (74 by surgical oophorectomy, 590 by ovarian irradiation) as part of the primary treatment. The remaining 268 cases did not have immediate castration, but it was planned that this should be performed as soon as recurrence was diagnosed. All other forms of therapy were kept as standardized as possible. Inclusion in a controlled clinical trial with random allocation was considered ethically justified in 448 of these patients. Full details of the methods of allocation to different groups, treatment, and analysis of results have been given previously (Nissen-Meyer, 1965).

In this paper an attempt has been made to ascertain whether castration performed as an adjuvant to radical mastectomy, (1) increases the symptom-free interval in those patients destined to develop recurrence, (2) prolongs survival to a greater extent than if postponed until recurrence occurs, (3) is beneficial to postmenopausal patients, and (4) gives equal results when performed surgically or by radiation.'



TABLE NISSEN-MEYER I. (according to original subdivision of series, but not used by NISSEN-MEYER in his trial).

Ovariectomy and Control groups compared (3 yr. and 5 yr. crude survival rates). Only premenopausal patients considered.

Subdivision into subgroups according to age, clinical stage, malignancy grade and localization of tumor.

I	Numbers of patients available in NISSEN-MEYER'S study	1,442 100%									
	Numbers of patients meeting requirements	Included only stages I and II under 70	902 62 4%								
Premenopausal		418 29 2%									
Clinical stage		I 217 15 3%				II 201 13 9%					
Malignancy grade (histologically)		I + II 141 9 7%				III + IV 76 5 3%		I + II 45 3 1%		III + IV 15 10 8%	
Localization		Lateral 80 5 6%	Medial 61 4 2%	-		-		-			
Therapies considered											
		Cas- tra- tion	Con- trol	Cas- tra- tion	Con- trol	Cas- tra- tion	Con- trol	Cas- tra- tion	Con- trol	Cas- tra- tion	Con- trol
III	Numbers of patients per therapy group	42	38	29	32	41	35	39	6	154	2
Years' follow up		5		3		5		5		5	
IV	Results in percentages (crude survival rate)	92 3 %	94.6 %	82 8 %	96 5 %	86.0 %	100 0 %	85 4 %	-	68 1 %	-
V	Statistical significance (not recorded by NISSEN-MEYER) $\chi^2$ test : p value	p = 1 0		p = 0 11		p = 0 03		-		-	

Numbers of patients required according to our criteria

VI	Percentage of entire series meeting the requirements	5 6 %	4 2 %	5 3 %	-	-
	Multiplication factor $2 \times \frac{100}{F}$	$2 \times \frac{100}{56} = 35.7$	$2 \times \frac{100}{42} = 47.6$	$2 \times \frac{100}{53} = 37.7$	-	-
If a 10% difference in population survival rate has to be detected						
VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 90\%$ $P_B = 100\%$	$P_A = 80\%$ $P_B = 90\%$	$P_A = 90\%$ $P_B = 100\%$	-	-
VIII	Number of S (cf table $S_1$ )	63	323	63	-	-
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$35.7 \times 63 = 2,250 \pm$	$47.6 \times 323 = 15,500 \pm$	$37.7 \times 63 = 2,350 \pm$	-	-
If a 20% difference in population survival rate has to be detected						
VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 80\%$ $P_B = 100\%$	$P_A = 80\%$ $P_B = 100\%$	$P_A = 80\%$ $P_B = 100\%$		
VIII	Number of S (cf table $S_1$ )	31	31	31	-	-
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$35.7 \times 31 = 1,100 \pm$	$47.6 \times 31 = 1,500 \pm$	$37.7 \times 31 = 1,150 \pm$	-	-
If a 30% difference in population survival rate has to be detected						
VIII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	-	$P_A = 70\%$ $P_B = 100\%$	-	-	-
VIII	Number of S (cf table $S_1$ )	-	20	-	-	-
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	-	$47.6 \times 20 = 950 \pm$	-	-	-

$P_A$  and  $P_B$  indicate the assumed population survival rates of Castration and Control groups respectively

TABLE NISSEN-MEYER II (according to original subdivision of series, but not used by NISSEN-MEYER in his trial).

Ovariectomy and Control groups compared (3 yr. and 5 yr. crude survival rate). Only postmenopausal patients considered.

Subdivision into subgroups according to age, clinical stage, malignancy grade and localization of tumor.

I	Numbers of patients available for NISSEN-MEYER'S study					1,442 100%						
	II	Numbers of patients meeting requirements	Included only stages I and II under 70	902 62.4%								
Postmeno- pausal			484 33.6%									
Clinical stage			I 238 16.5%			II 246 17.1%						
Malignancy grade (histologically)			I + II 141 9.8%		III + IV 97 6.7%		I + II 49 3.4%		III + IV 197 13.7%			
Localization			Lateral 74 5.1%	Medial 67 4.6%	-		-		-			
Therapies considered			Cas- tra- tion	Con- trol	Cas- tra- tion	Con- trol	Cas- tra- tion	Con- trol	Cas- tra- tion	Con- trol		
III	Numbers of patients per therapy group		53	21	47	20	68	29	34	15	140	57
Years' follow-up			4		4		5		2		6	
IV	Results in percentages (crude survival rate)		92.4 %	77.0 %	94.0 %	79.1 %	87.4 %	80.6 %	79.7 %	71.8 %	51.4 %	40.8 %
V	Statistical significance (not recorded by NISSEN-MEYER) $\chi^2$ test : p value		p = 0.13		p = 0.21		p = 0.10		p = 0.72		p = 0.21	

**Numbers of patients required according to our criteria**

VI	Percentage of entire series meeting the requirements	5 1 %	4 6 %	6 7 %	3 4 %	13 7 %
	Multiplication factor $2 \times \frac{100}{F}$	$2 \times \frac{100}{51} =$ = 39 2	$2 \times \frac{100}{46} =$ = 43 5	$2 \times \frac{100}{67} =$ = 29 9	$2 \times \frac{100}{34} =$ = 58 8	$2 \times \frac{100}{137} =$ = 14 6

If a 10% difference in population survival rate has to be detected

VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 90\%$ $P_B = 80\%$	$P_A = 90\%$ $P_B = 80\%$	$P_A = 90\%$ $P_B = 80\%$	$P_A = 80\%$ $P_B = 70\%$	$P_A = 50\%$ $P_B = 40\%$
VIII	Number of S (cf table $S_1$ )	323	323	323	483	642
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$392 \times 323 =$ = 12,500 ±	$435 \times 323 =$ = 14,000 ±	$299 \times 323 =$ = 9,500 ±	$588 \times 483 =$ = 28,500 ±	$146 \times 642 =$ = 9,500 ±

If a 20% difference in population survival rate has to be detected

VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 90\%$ $P_B = 70\%$	$P_A = 90\%$ $P_B = 70\%$	$P_A = 90\%$ $P_B = 70\%$	$P_A = 80\%$ $P_B = 60\%$	$P_A = 60\%$ $P_B = 40\%$
VIII	Number of S (cf table $S_1$ )	98	98	98	133	161
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$392 \times 98 =$ = 3,800 ±	$435 \times 98 =$ = 4,300 ±	$299 \times 98 =$ = 2,900 ±	$588 \times 133 =$ = 7,800 ±	$146 \times 161 =$ = 2,350 ±

If a 30% difference in population survival rate has to be detected

VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 90\%$ $P_B = 60\%$	$P_A = 90\%$ $P_B = 60\%$	$P_A = 90\%$ $P_B = 60\%$	$P_A = 90\%$ $P_B = 60\%$	$P_A = 60\%$ $P_B = 30\%$
VIII	Number of S (cf table $S_1$ )	50	50	50	50	70
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$392 \times 50 =$ = 1,950 ±	$435 \times 50 =$ = 2,200 ±	$299 \times 50 =$ = 1,500 ±	$588 \times 50 =$ = 3,000 ±	$146 \times 70 =$ = 1,000 ±

If a 40% difference in population survival rate has to be detected

VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 90\%$ $P_B = 50\%$	$P_A = 90\%$ $P_B = 50\%$	-	$P_A = 90\%$ $P_B = 50\%$	-
VIII	Number of S (cf table $S_1$ )	31	31	-	31	-
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$393 \times 31 =$ = 1,200 ±	$435 \times 31 =$ = 1,300 ±	-	$588 \times 31 =$ = 1,750 ±	-

$P_A$  and  $P_B$  indicate the assumed population survival rates of Castration and Control groups respectively.

**TABLE NISSEN MEYER III. Ovariectomy and Control groups compared in premenopausal patients (8 years % free from disease and % crude survival) in stage I cases.**

*Surgical and Radiological ovariectomy groups compared (7 years % free of disease and 8 years % crude survival) in stage II cases. No further division into subgroups.*

I	Numbers of patients available for NISSEN-MEYER'S study	1,442 100%							
	Numbers of patients meeting requirements Including only stages I and II under 70	902 62.4%							
II	Premenopausal	418 29.2%							
	Included in clinical trial according to prognostic signs and ethically acceptable	Stage I 161 11.2%				Stage II 112 7.8%			
III	Therapies considered	Castration and Control				Surgical and Radiological castration			
	Numbers of patients per therapy group	Allocated at random				Allocated at random			
IV	Years' follow up	8		8		7		8	
	Results in percentages (taken from NISSEN-MEYER'S graphs)	% free from disease		% crude survival		% free from disease		% crude survival	
		Cas- tra- tion	Con- trol	Cas- tra- tion	Con- trol	Sur- gical cas- tra- tion	Radi- ologi- cal cas- tra- tion	Sur- gical cas- tra- tion	Radi- ologi- cal cas- tra- tion
		80% ±	90% ±	80% ±	90% ±	50% ±	60% ±	50% ±	50% ±
V	Statistical significance (not recorded by NISSEN-MEYER) $\chi^2$ test p value	p = 0.12		p = 0.12		p = 0.34		p = 1	



**Numbers of patients required according to our criteria**

VI	Percentage of entire series meeting the requirements	11.2%	7.8%
	Multiplication factor $2 \times \frac{100}{F}$	$2 \times \frac{100}{11.2} = 17.9$	$2 \times \frac{100}{7.8} = 25.6$

**If a 10% difference in population result rate has to be detected**

VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 80\%$ $P_B = 90\%$	$P_A = 80\%$ $P_B = 90\%$	$P_A = 50\%$ $P_B = 60\%$	$P_A = 50\%$ $P_B = 60\%$
VIII	Number of S (cf table $S_1$ )	323	323	642	642
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$17.9 \times 323 = 5,800 \pm$	$17.9 \times 323 = 5,800 \pm$	$25.6 \times 642 = 16,500 \pm$	$25.6 \times 642 = 16,500 \pm$

**If a 20% difference in population result rate has to be detected**

VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 70\%$ $P_B = 90\%$	$P_A = 70\%$ $P_B = 90\%$	$P_A = 40\%$ $P_B = 60\%$	$P_A = 40\%$ $P_B = 60\%$
VIII	Number of S (cf table $S_1$ )	98	98	161	161
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$17.9 \times 98 = 1,750 \pm$	$17.9 \times 98 = 1,750 \pm$	$25.6 \times 161 = 4,000 \pm$	$25.6 \times 161 = 4,000 \pm$

**If a 30% difference in population result rate has to be detected**

VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 60\%$ $P_B = 90\%$	$P_A = 60\%$ $P_B = 90\%$	$P_A = 40\%$ $P_B = 70\%$	$P_A = 40\%$ $P_B = 70\%$
VIII	Number of S (cf table $S_1$ )	50	50	70	70
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$17.9 \times 50 = 900 \pm$	$17.9 \times 50 = 900 \pm$	$25.6 \times 70 = 1,800 \pm$	$25.6 \times 70 = 1,800 \pm$

In stage I cases,  $P_A$  and  $P_B$  indicate assumed population "% free from disease" and "% crude survival" Primary castration and Control groups respectively, and in stage II cases Surgical castration and Radiological castration groups respectively.

TABLE NISSEN-MEYER IV. *Ovariectomy and Control groups compared in postmenopausal patients. (7 or 8 years % free from disease and 8 years % crude survival rates). Subdivision into stage I and stage II cases.*

I	Numbers of patients available for NISSEN-MEYER'S study		1,442 100%			
II	Numbers of patients meeting requirements		902			
	Including only stages I and II under 70		62.4%			
	Postmenopausal		484			
			33.6%			
	Clinical stage		I 238 16.5%		II 246 17.1%	
	Included in clinical trial		80 5.6%		95 6.6%	
III	Therapies considered		Castration and Control		Castration and Control	
	Numbers of patients per therapy group		Allocated at random		Allocated at random	
	Years' follow up		8		7	
IV	Results in percentages (from NISSEN-MEYER'S graphs)		% free from disease		% crude survival	
			Cas- tra- tion	Con- trol	Cas- tra- tion	Con- trol
			75% ±	65% ±	70% ±	75% ±
			Cas- tra- tion	Con- trol	Cas- tra- tion	Con- trol
			40% ±	20% ±	40% ±	30% ±
V	Statistical significance		p = 0.46		p = 0.06	
	(not recorded by NISSEN-MEYER)* $\chi^2$ test p value		p = 0.80		p = 0.35	

\*Nissen-Meyer notes that the differences had reached statistical significance 4 years after commencement of study.

**Numbers of patients required according to our criteria**

VI	Percentage of entire series meeting the requirements	5.6%	6.6%
	Multiplication factor $2 \times \frac{100}{F}$	$2 \times \frac{100}{5.6} = 35.7$	$2 \times \frac{100}{6.6} = 30.3$

**If a 10% difference in population result rate has to be detected**

VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 80\%$ $P_B = 70\%$	$P_A = 70\%$ $P_B = 80\%$	$P_A = 30\%$ $P_B = 20\%$	$P_A = 40\%$ $P_B = 30\%$
VIII	Number of S (cf table $S_1$ )	483	483	483	483
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$35.7 \times 483 = 17,000 \pm$	$35.7 \times 483 = 17,000 \pm$	$30.3 \times 483 = 14,500 \pm$	$30.3 \times 483 = 14,500 \pm$

**If a 20% difference in population result rate has to be detected**

VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 80\%$ $P_B = 60\%$	$P_A = 60\%$ $P_B = 80\%$	$P_A = 40\%$ $P_B = 20\%$	$P_A = 40\%$ $P_B = 20\%$
VIII	Number of S (cf table $S_1$ )	133	133	133	133
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$35.7 \times 133 = 4,800 \pm$	$35.7 \times 133 = 4,800 \pm$	$30.3 \times 133 = 4,000 \pm$	$30.3 \times 133 = 4,000 \pm$

**If a 30% difference in population result rate has to be detected**

VII	Values of $P_A$ and $P_B$ assumed (round result percentages) for entering table $S_1$	$P_A = 90\%$ $P_B = 60\%$	$P_A = 60\%$ $P_B = 90\%$	$P_A = 40\%$ $P_B = 10\%$	$P_A = 40\%$ $P_B = 10\%$
VIII	Number of S (cf table $S_1$ )	50	50	50	50
IX	Numbers of patients required on the basis of our criteria $X_m = S \times 2 \times \frac{100}{F}$	$35.7 \times 50 = 1,800 \pm$	$35.7 \times 50 = 1,800 \pm$	$30.3 \times 50 = 1,500 \pm$	$30.3 \times 50 = 1,500 \pm$

$P_A$  and  $P_B$  indicate the assumed population "% free from disease" and "% crude survival" of Primary castration and Control groups respectively

Nissen-Meyer's material consisted of 1,442 women admitted for primary treatment of histologically verified carcinoma of the breast between 1957 and 1963.

The design of Nissen-Meyer's clinical trial is a good example of the consequences of variegated division into subgroups according to prognostic factors (cf. tables Nissen-Meyer I and II).

In our tables we followed the subdivision suggested by Nissen-Meyer and computed the numbers of patients required on the basis of our criteria.

Since according to the author 902 patients could be regarded as suitable for inclusion in the trial on clinical grounds, and since he gives the division into subgroups of these 902 patients, we started our approximation with this number and calculated the percentage difference between castration and control groups that might be demonstrated by the Nissen-Meyer patient material (cf. tables Nissen-Meyer I and II) if all subgroups were considered separately. These examples have been worked out primarily to demonstrate the effects of subdivision carried to great lengths on the total number of patients required.

However, since Nissen-Meyer applied radical pre-selection on ethical grounds, the number of patients actually included in the trial was much smaller, and the subdivision based on prognostic factors was not carried out completely. This raises the question of the extent to which this intensive pre-selection affected the composition of the material, and even whether one is justified in calling this experiment a clinical trial at all (cf. Moeys' trial, Ch. II § 2).

In the premenopausal group, only 161 patients in stage I were randomly divided into a primary castration group and a control group. 112 premenopausal stage II patients were all subjected to primary castration and were divided at random into two groups in order to compare surgical and radiological ovariectomy (cf. table Nissen-Meyer III). In the postmenopausal group, 80 patients in stage I and 95 stage II cases were divided at random into a primary castration group and a control group (cf. table Nissen-Meyer IV).

As can be concluded from Nissen-Meyer's tables, his division into subgroups, however interesting in itself, produces so much fragmentation of the material that the numbers of patients per subgroup only would permit investigation on the basis of a difference of 40% in result rate between the two therapy groups.

If in this design of Nissen-Meyer's, every subgroup would have to be checked separately for the difference between radiological and surgical ovariectomy, every castration group would have to be subdivided again into two equal therapy groups, and  $X_m$  would have to be multiplied by a factor of 2. It is doubtful whether such an extensive trial would be worth while for mammary carcinoma, a condition in which no great differences in result are to be expected. It is possible, however, that a selection carried to greater lengths might in itself yield certain data, for instance if it appeared that in one particular subgroup the treatment administered gave a particularly good result. However, the planning of such a trial would give rise to considerable organizational problems in view of the large number of patients required. Cooperation between numerous centers would then be necessary.

As regards the trial actually conducted by Nissen-Meyer (cf. tables Nissen-Meyer III and IV), we see that the series was nearly large enough to demonstrate a difference of 20% in population result rates in the pre-menopausal group. The post-menopausal group contained a sufficient number of patients to demonstrate a difference of 30% in population result rates. A publication by Nissen-Meyer (1968) shows that he found a significant difference between the two therapies after a follow up of 4 years. He also found significant differences in the 2nd and 3rd years of follow up of postmenopausal patients in stage III cases for the criterion '% free of disease'. For the criterion '% crude survival' he found significant differences in stage I cases in the 2nd year and in stage II cases in the 1st year. If only one significant difference is found for a single criterion, not too much significance should be attached to such an isolated event.

It is of course true that an occasional significant difference may still be encountered when the methods of treatment are actually equivalent (this probability is less than the level of significance, in our case 5%).

Matters are different when, as Nissen-Meyer reports in postmenopausal patients over 60 years of age for criterion '% free of disease', differences of the order of 20% are encountered in the 1st, 2nd, 3rd and 6th years of follow up.

## SUMMARY AND CONCLUSIONS

Chapter I discusses the importance of controlled clinical trials in establishing the treatment that produces the best results in mammary carcinoma.

From the data at present available concerning the course and treatment of mammary carcinoma, it is not possible to indicate with any certainty what treatment would be best for a given patient with a given mammary carcinoma.

In our opinion the results so far obtained in retrospective studies of the results of various treatments include too many uncertainties to provide valid clinical guidelines, and our own series of 1500 patients with malignant disease of the breast illustrates this.

Our findings make clear that the mere preparation of a large and well documented series of patients is no guarantee that valid conclusions can be drawn from it. Neither will the inclusion of new groups of patients in the series solve the problem, for each subsequent group of patients has its own uncertainties, so that including new groups of patients in the material only adds new uncertainties. Investigations to solve this problem are an urgent necessity, and controlled clinical trials seem to be the only method for such investigations.

A controlled clinical trial is an investigation in which two or more methods of treatment for a well defined disease are compared on similarly composed groups of patients. The trial should aim at answering a definite question, and meet the requirements of sound statistical analysis. The design of such trials will have to be similar to that of a laboratory experiment.

Both during discussions in the Committee on the Treatment of Mammary Carcinoma in The Netherlands, and while considering our own findings, we came to the conclusion that it is important to establish the number of patients required for a clinical trial.

In Chapter II a survey is given of our material and of the manner in which it was recorded and processed, while the problems encountered in statistical analysis are discussed.

The uncertainties caused by the introduction of possibly false data, and the possibility of selection caused by the inclusion or exclusion of not entirely exact recorded data is considered.

It appeared that our series of 1500 patients with mammary tumors could not be used in obtaining statistically reliable conclusions as to the value of different methods

of treatment, at which we had originally aimed, but however it appeared to be useful in estimating the number of patients required for a clinical trial.

Chapter III describes our approach in estimating the number of patients required for a clinical trial.

By using this approach it will be possible to compute:

$X_{et}$ : The number of patients actually included in the trial, and

$X_m$ : The total number of patients with mammary carcinoma that must be available if the trial is to be possible.

$X_p$ : The number of members of general female population required for it.

From these figures an investigator can also decide the possibilities of designing a clinical trial with a given series of patients. Some statistical tests are described. For a more detailed discussion of these tests the reader is referred to Part VI of the Appendix. Since the  $\chi^2$  test is generally used in trials concerning mammary carcinoma, we also used this test in our study. The examples worked out are based on the assumption that differences in result rates between two therapies of 10%, or multiples thereof, had to be detected, and for this purpose, table S1 has been used.

Problems concerning the constitution of homogeneous groups of patients are discussed.

In our opinion it is pointless to resort to mass registration of patients with a given disease for inclusion in a clinical trial. Only by finding the answer to clearly stated questions will it be possible to obtain data of clinical validity, and if these questions are to be answered, the material will have to meet certain requirements. Only a small proportion of the total number of patients mostly will be suitable for a study of these questions.

In the Appendix Part I, frequency tables ( $f_n$ ) are given about the noticed data from our series of 1500 patients. As a clinical trial aims at forming comparable groups, these tables can be used in computing the number of patients required if all patients concerned are to meet the proposed requirements.

In using the contingency tables ( $f_c$ ) for 15 characteristics of the tumor and the host, possible dependencies can be taken into account. The conceptions of McDonald, McWhirter, and Bruce on 'curative operability' are described. Further, we determined the 'curative operability' according to our criteria.

From O tables (Appendix Part II) an impression can be gained of the timing of local and/or regional recurrences and of distant metastases as recorded in our series, and a survey is also given of the time and cause of death. From these data 'the curative operability' by clinical stage is computed.

Clinical staging is stated whenever it appeared that commonly used clinical classifications show considerable differences. In order to show the degree of these differences, the classifications concerned are projected on to the International TNM classification, while at the same time we computed the stage distribution in our material in the terms of the other classification.

This comparison between clinical classifications is used as an argument against intensifying the problems of mammary carcinoma research by using noncomparable classifications. Data concerning clinical staging, needed in the formation of homogeneous groups, can be obtained from tables  $f_n$  and  $f_c$ .

Chapter IV presents the computations in the context of the organization of several imaginary clinical trials.

Our study has shown that, even with a nation-wide organization of such trials in our country, the material will not yield sufficiently large categories of patients to carry out one of these studies.

Chapter V relates our approach to a number of clinical trials already completed. The clinical trials considered almost only allow general conclusions. The division into subgroups according to characteristics that affect the prognosis gives rise to marked fragmentation of the material, and this fragmentation only permits investigations on the basis of a relatively big difference in result rates to be expected between the two therapy groups.

In case such a big difference in result rates could not be demonstrated, however, the possibility remains that there actually is a difference, but this difference will be smaller than the expected bigger difference in result rates on the basis of which the investigations concerned have been designed.

These trials should be repeated with larger numbers of patients in order to demonstrate such smaller differences.



## **SAMENVATTING EN CONCLUSIES**

In hoofdstuk I wordt de belangrijke plaats, die 'controlled clinical trials' innemen bij het onderzoek naar de beste therapie voor de behandeling van het mammacarcinoom, beschreven.

Uit wat momenteel bekend is betreffende het verloop en de behandeling van mammacarcinoom, is niet met enige zekerheid na te gaan welke speciale therapie dient te worden gekozen voor de behandeling van een bepaalde patiënt met een bepaald mammacarcinoom. Naar onze mening geven de gevolgtrekkingen, welke kunnen worden gemaakt uit de gegevens van tot dusver uitgevoerde retrospectieve onderzoeken betreffende de resultaten van verschillende therapieën, onvoldoende zekerheid om volledig betrouwbare richtlijnen voor de kliniek op te stellen.

Het eigen materiaal van 1500 patiënten met kwaadaardige nieuwvormingen van de borstklier is hier een voorbeeld van. Onze bevindingen maken duidelijk, dat het verzamelen van grote en goed gedocumenteerde series patiënten nog niet garandeert, dat er met behulp van dit materiaal betrouwbare conclusies kunnen worden getrokken. Het opnemen van nieuwe groepen patiënten in de serie zal dit probleem niet oplossen, want de diverse ongeselecteerde groepen patiënten zullen onderlinge, moeilijk te definiëren verschillen vertonen, zodat door het toevoegen van nieuwe groepen patiënten aan het materiaal slechts nieuwe onzekerheden worden toegevoegd.

Onderzoeken die dit probleem oplossen zijn noodzakelijk en 'controlled clinical trials' lijken de aangewezen methode te zijn.

Een 'controlled clinical trial' is een onderzoek, waarbij twee of meer behandelingsmethoden voor een nauwkeurig omschreven ziektebeeld, worden vergeleken bij identieke groepen patiënten.

Het onderzoek is gericht op de beantwoording van een concrete vraag, en dient te voldoen aan de eisen die men stelt aan een goede statistische analyse.

Men moet zulke trials op dezelfde wijze opzetten als een laboratorium experiment. Zowel naar aanleiding van de discussies in de Commissie Mammacarcinoom van het LOK als naar aanleiding van eigen bevindingen kwamen wij tot de overtuiging, dat het belangrijk is om vast te stellen hoeveel patiënten men nodig zal hebben om een 'clinical trial' uit te kunnen voeren.

In Hoofdstuk II wordt een overzicht gegeven van het eigen materiaal en de wijze waarop dit materiaal werd geregistreerd en bewerkt, terwijl tevens de problemen die zich voordeden bij de statistische analyse worden beschreven. Ook wordt beschreven,

op welke wijze mogelijk foutieve gegevens in het geregistreerde patiëntenmateriaal terecht zijn gekomen. Daarnaast wordt de mogelijke praeselectie, veroorzaakt door het invoegen of weglaten van gegevens die niet geheel exact werden geregistreerd, beschouwd.

Hoewel het oorspronkelijk in de bedoeling lag ons materiaal van 1500 patiënten met mammatumoren te gebruiken voor een onderzoek naar het resultaat van verschillende behandelingswijzen bleek nu, dat het niet mogelijk was statistisch verantwoorde conclusies te trekken. Daarentegen bleek het wel mogelijk ons patiëntenmateriaal te gebruiken voor een schatting van het aantal patiënten dat nodig zal zijn om een 'clinical trial' uit te voeren.

In Hoofdstuk III wordt beschreven op welke wijze men het benodigde aantal patiënten voor een 'clinical trial' kan schatten.

Het is mogelijk de volgende factoren te berekenen:

$X_{ct}$ : Het aantal patiënten dat in feite in de 'clinical trial' wordt opgenomen,

$X_m$ : Het totale aantal patiënten met mammacarcinoom dat beschikbaar moet zijn om een 'clinical trial' mogelijk te maken, en

$X_p$ : Het aantal leden van de gehele vrouwelijke bevolking dat beschikbaar moet zijn om de 'clinical trial' mogelijk te maken.

Wanneer een onderzoeker een bepaalde groep patiënten wil gebruiken voor een 'clinical trial', kan hij zich met behulp van de berekening oriënteren over de aard van het onderzoek, dat mogelijk is met behulp van deze groep patiënten.

Enige statistische toetsen worden beschreven. Voor een meer gedetailleerde beschrijving van deze toetsen wordt de lezer verwezen naar Deel VI van de Appendix.

Daar de  $\chi^2$  toets meestal wordt gebruikt bij trials betreffende mammacarcinoom werd deze toets ook in onze studie toegepast. De voorbeelden die werden uitgewerkt zijn er op gebaseerd dat verschillen in resultaat tussen twee therapieën van 10% of veelvouden daarvan kunnen worden ontdekt. Hiertoe werd tabel S1 gebruikt. De problemen bij het samenstellen van homogene patiëntengroepen worden besproken. Naar onze mening is het niet zinvol om bij de opzet van een 'clinical trial' over te gaan tot massale registratie van patiënten die aan een bepaalde ziekte lijden. Slechts wanneer men het antwoord op duidelijk omschreven vragen vindt, zal het mogelijk zijn bruikbare klinische gegevens te verkrijgen.

Om dergelijke vragen te kunnen beantwoorden moet het patiëntenmateriaal aan bepaalde eisen voldoen. Meestal zal slechts een klein omschreven deel van de gehele serie patiënten te gebruiken zijn voor een dergelijk onderzoek. In de Appendix Deel I worden frequentietabellen ( $f_n$ ) gegeven betreffende alle exact geregistreerde gegevens uit onze serie van 1500 patiënten.

Bij het vormen van vergelijkbare patiëntengroepen voor een 'clinical trial', waarbij alle patiënten dezelfde karakteristieke eigenschappen dienen te hebben, zijn deze tabellen te gebruiken om het voor de 'trial' benodigde aantal patiënten te berekenen. Door de 'contingency' tabellen ( $f_c$ ) te gebruiken, die 15 karakteristieke eigenschappen van de tumor en de gastheer betreffen, kan men mogelijke onderlinge afhankelijkheid van deze eigenschappen in de berekening betrekken.

De opvattingen van McDonald, McWhirter en Bruce betreffende de curatieve operabiliteit worden beschreven. Tevens wordt aan de hand van eigen criteria de curatieve operabiliteit bepaald. Uit de O tabellen (Appendix Deel II) kan een indruk worden verkregen over het tijdstip waarop locale en/of regionale recidieven en me-

tastasen op afstand zich manifesteren bij de patiënten van onze serie; ook wordt een overzicht gegeven betreffende tijdstip en oorzaak van overlijden. Met behulp van deze gegevens wordt de curatieve operabiliteit voor elk klinisch stadium berekend.

In §8 wordt de klinische klassificatie beschreven, omdat blijkt dat algemeen gebruikte klinische klassificaties onderling grote verschillen vertonen. Om een indruk te geven van de aard van deze verschillen worden de betreffende klassificaties geprojecteerd op de Internationale TNM klassificatie, terwijl met behulp van de computer de indeling naar stadium in het eigen materiaal werd omgewerkt volgens de eisen van de andere klassificaties. Deze vergelijking tussen enkele klinische klassificaties wordt gebruikt als argument tegen het intensiveren van de problemen rondom de research op het gebied van mammacarcinoom, veroorzaakt door het gebruik van niet vergelijkbare klassificaties. Gegevens betreffende de klinische klassificaties, die nodig zijn om homogene patiëntengroepen te vormen, kunnen worden gevonden in de  $f_a$  en  $f_c$  tabellen.

In Hoofdstuk IV wordt aan de hand van enkele denkbeeldige 'clinical trials' het daartoe benodigde aantal patiënten berekend. Uit deze berekeningen blijkt, dat zelfs een organisatie, die ons gehele land zou omvatten onvoldoende aantallen patiënten op zou leveren om een van deze 'trials' werkelijk uit te voeren.

In Hoofdstuk V wordt onze benadering toegepast op een aantal reeds voltooide 'trials'. Deze 'clinical trials' staan vrijwel alleen algemene conclusies toe. De verdeling in subgroepen, bij deze trials toegepast aan de hand van karakteristieke eigenschappen die de prognose kunnen beïnvloeden, geeft aanleiding tot een sterke opsplitsing van het patiëntenmateriaal. Deze opsplitsing laat slechts onderzoeken toe op basis van een te verwachten relatief groot verschil in resultatenpercentages tussen de twee therapiegroepen. De mogelijkheid blijft bestaan dat, wanneer dit relatief grote verschil in resultatenpercentages niet kon worden aangetoond, er in werkelijkheid toch een verschil bestaat, maar dit verschil zal kleiner zijn dan het grotere verschil in resultatenpercentages dat werd verwacht, en op basis waarvan deze onderzoeken werden opgezet. Men zou deze 'trials' moeten herhalen met een groter aantal patiënten, om dergelijke kleinere verschillen aan te kunnen tonen.

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# APPENDIX

# PART I      FIGURES TO BE USED IN FORMING HOMOGENEOUS SUB-GROUPS

## § 1 *Frequency tables*

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| f29 <i>Nipple retraction.</i>   |  |
| f30 <i>Pectoral muscle fixation.</i>  |  |
| f31 <i>Chest wall attachment.</i>   |  |



TABLE f 1 *Center.*

	Tilburg	Arnhem	Leeuwarden	Totals whole series	Totals noticed data
No.	643	314	460	1417	1417
%	45.4	22.2	32.4		100.0

TABLE f 2 *Date of admission.*

	1947-1949	1950-1954	1955-1959	1960-1964	1965-1967	Other	Totals whole series	Totals noticed data
No	41	161	372	684	157	2	1417	1415
%	2.9	11.4	26.3	48.3	11.1			100.0

TABLE f 3 *Marital status.*

	Unmarried	Married	Religious	Other	Totals whole series	Totals noticed data
No	204	1178	30	5	1417	1412
%	14.4	83.4	2.1			100.0

TABLE f 4 *Duration of symptoms before starting treatment (Information obtained from patient).*

	0-13 days	14-30 days	1-2 months	3-5 months	6-11 months	1-2 years	3-4 years	5-9 years	≥ 10 years	Other	Totals whole series	Totals noticed data
No.	194	258	230	210	158	188	53	26	19	81	1417	1336
%	14.4	19.6	17.1	15.9	11.4	14.1	3.9	2.1	1.5			100.0

TABLE f 5 *First consultation of family doctor (Time until onset of clinical treatment).*

	0-13 days	14-30 days	1-2 months	3-5 months	6-11 months	1-2 years	3-4 years	5-9 years	≥ 10 years	Other	Totals whole series	Totals noticed data
No	631	126	77	35	34	35	9	9	6	455	1417	962
%	65.6	13.1	8.0	3.6	3.5	3.6	0.9	0.9	0.6			100.0

TABLE f 6 *First consultation of surgeon (Time until onset of clinical treatment).*

	0-13 days	14-30 days	1-2 months	3-5 months	6-11 months	1-2 years	3-4 years	5-9 years	≥ 10 years	Other	Totals whole series	Totals noticed data
No	827	64	35	8	10	10	4	5	4	450	1417	967
%	85.5	6.6	3.6	0.8	1.0	1.0	0.4	0.5	0.4			100.0

TABLE f 7 *Manifestation of tumor.*

	Accidental discovery by patient	Deliberate self examination	By periodic medical examination	Accidental discovery by physician	With reference to breast complaints	With reference to other complaints	Other	Totals whole series	Totals noticed data
No.	1161	24	2	94	38	22	76	1417	1341
%	86.5	1.8	0.1	7.0	2.8	1.6			100.0

TABLE f 8 *Age at menarche.*

	< 11 years	11-16 years	> 16 years	Other	Totals whole series	Totals noticed data
No.	9	784	74	550	1417	867
%	1.0	90.4	8.5			100.0

TABLE f 9 *Duration of menstrual cycle.*

	< 3 days	3-5 days	> 5 days	Other	Totals whole series	Totals noticed data
No.	15	449	103	850	1417	567
%	2.6	79.2	18.2			100.0

TABLE f 10 *Frequency of menstrual cycle.*

	< 21 days	21-25 days	26-30 days	> 30 days	Other	Totals whole series	Totals noticed data
No.	5	62	504	18	828	1417	589
%	0.8	10.5	85.6	3.1			100.0

TABLE f 11 *Regularity of menstrual cycle.*

	Regular	Irregular	Other	Totals whole series	Totals noticed data
No.	506	97	814	1417	603
%	83.8	16.2			100.0

TABLE f 12 *Occurrence of mastopathia (Anamnesis).*

	No mastopathia	Mastopathia	Other	Totals whole series	Totals noticed data
No.	348	37	1032	1417	385
%	90.4	9.6			100.0

TABLE f 13 *Incidence of carcinoma in the family.*

	One case of breast cancer in the family	More than one case of breast cancer in the family	One case of other carcinoma in the family	More than one case of other carcinoma in the family	Breast cancer and other carcinoma in the family	Nocarcinoma in the family	Other	Totals whole series	Totals noticed data
No.	43	6	95	26	16	410	821	1417	596
%	7.2	1.0	15.9	4.4	2.7	68.8			100.0

TABLE f 14 *Numbers of marriages.*

	1 marriage	2 marriages	3 marriages	Unmarried	Other	Totals whole series	Totals noticed data
No.	1149	27	2	234	5	1417	1412
%	81.4	1.9	0.1	16.5			100.0

TABLE f 15 *Age (10 year periods).*

	< 20 years	20-29 years	30-39 years	40-49 years	50-59 years	60-69 years	70-79 years	80-89 years	Other	Totals whole series	Totals noticed data
No.	4	13	92	327	360	322	223	74	2	1417	1415
%	0.3	0.9	6.5	23.1	24.5	22.8	15.8	5.2			100.0

TABLE f 16 *Age (3 age groups).*

	< 35 years	35-49 years	50-69 years	> 69 years	Other	Totals whole series	Totals noticed data
No	42	394	682	297	2	1417	1415
%	3.0	27.8	48.2	21.0			100.0

TABLE f 17 *Puerperal mastitis.*

	Left side	Right side	Both sides	No puerperal mastitis	Other	Totals whole series	Totals noticed data
No	32	17	6	951	411	1417	1006
%	3.2	1.7	0.6	94.5			100.0

TABLE f 18 *Numbers of abortions.*

	1 abortion	2 abortions	≥ 3 abortions	No abortion	Other	Totals whole series	Totals noticed data
No	134	56	35	852	340	1417	1077
%	12.4	5.2	3.2	79.2			100.0

TABLE f 19 *Age at onset of menopause (5 year periods).*

	< 35 years	35-39 years	40-44 years	45-49 years	50-54 years	55-59 years	≥ 60 years	No menopause	Other	Totals whole series	Totals noticed data
No	6	25	84	206	323	35	2	225	511	1417	906
%	0.7	2.8	9.3	22.7	35.7	3.9	0.2	24.8			100.0

TABLE f 20 *Age at onset of menopause (3 age groups).*

	< 45 years	45-55 years	≥ 55 years	No menopause	Other	Totals whole series	Totals noticed data
No	115	529	37	225	511	1417	906
%	12.7	58.4	4.1	24.8			100.0

TABLE f 21 *Cause of menopause.*

	Spontaneous	Surgical or radiological castration	No menopause	Other	Totals whole series	Totals noticed data
No	616	69	225	507	1417	910
%	67.7	7.6	24.7			100.0

TABLE f 22 *Clinical manifestation of tumor.*

	Occult tumor	Tumor manifest	Other	Totals whole series	Totals noticed data
No	19	1326	72	1417	1345
%	1.4	98.6			100.0

TABLE f 23 *Site of tumor.*

	Right	Left	Other	Totals whole series	Totals noticed data
No	686	717	14	1417	1403
%	48.9	51.1			100.0

TABLE f 24 *Location of tumor (in detail).*

	Upper half	Lower half	Inner half	Outer half	Upper outer quadrant	Lower outer quadrant	Upper inner quadrant	Lower inner quadrant	Central	Whole breast	Other	Totals whole series	Totals noticed data
No.	158	52	48	156	373	72	127	43	237	69	82	1417	1335
%	12.0	3.9	3.6	11.7	27.8	5.4	9.5	3.3	17.7	5.1			100.0

TABLE f 25 *Location of tumor (medial, lateral).*

	Medial, subareolar or whole breast	Lateral	Other	Totals whole series	Totals noticed data
No.	734	601	82	1417	1335
%	55.0	45.0			100.0

TABLE f 26 *Size of tumor.*

Symbols International TNM classification							
	T1	T2	T3	T4		Totals whole series	Totals noticed data
Symptoms	Not more than 2 cm	2-5 cm	5-10 cm	More than 10 cm	Other		
No.	200	591	445	100	81	1417	1336
%	15.1	44.1	33.3	7.5			100.0

TABLE f 27 *Fixation to skin.*

Symbols International TNM classification							
	T1	T2	T3	T4		Totals whole series	Totals noticed data
Symptoms	Skin not involved	Incomplete skin fixation	Complete skin fixation	Skin fixation wide of tumor but not beyond breast area	Other		
No.	581	349	344	84	59	1417	1358
%	42.7	25.7	25.4	6.2			100.0

TABLE f 28 *Paget's disease of the nipple.*

Symbols International TNMclassification						
	T1	T2			Totals whole series	Totals noticed data
Symptoms	No Paget's disease	Limited to the nipple	Outside the nipple	Other		
No.	1299	20	14	84	1417	1333
%	97.4	1.5	1.1			100.0

TABLE f 29 *Nipple retraction.*

Symbols International TNM classification					
T2					
Symptoms	No nipple retraction	Nipple retraction	Other	Totals whole series	Totals noticed data
No.	933	398	86	1417	1331
%	70.1	29.9			100.0

TABLE f 30 *Pectoral muscle fixation.*

Symbols International TNM classification						
T1                      T3a                      T3b						
Symptoms	No fixation	Incomplete fixation	Complete fixation	Other	Totals whole series	Totals noticed data
No	1058	168	92	99	1417	1318
%	80.3	12.7	7.0			100.0

TABLE f 31 *Chest wall attachment.*

Symbols International TNM classification					
T1                      T4					
Symptoms	Not fixed	Fixed	Other	Totals whole series	Totals noticed data
No	1297	19	101	1417	1316
%	98.5	1.4			100.0

TABLE f 32 *Status of the homolateral axillary lymph nodes.\**

Symbols International TNM classification								
N0                      N1a                      N1x                      N1y                      N2a                      N2b								
Symptoms	No lymph nodes palpable	Considered to contain no growth	Single	Multiple	Fixed to one another	Fixed to other structures	Other	Totals whole series
No	744	89	242	208	35	58	41	1417
%	54.1	61.5	17.6	15.1	2.5	4.2		100.0

\* In f<sub>c</sub> tables N0 and N1a are headed under 'considered to contain no growth', N2a and N2b under 'fixed to one another or to other structures'

TABLE f 33 *Status of the supra- and infraclavicular lymph nodes.\**

Symbols International TNM classification				
N3                      N0				
Symptoms	Considered to contain growth	Other	Totals whole series	Totals noticed data
No.	80	1337	1417	80
%	100.0			100.0

\* 'Considered to contain no growth' and 'unknown' both are headed under 'Other'.

TABLE f 34 *Arm edema.*

Symbols International TNM classification				
	N3	N0		
Symptoms	Arm edema	Other	Totals whole series	Totals noticed data
No.	44	1373	1417	44
%	100.0			100.0

TABLE f 35 *Clinical stage (International TNM classification).*

	Stage I	Stage II	Stage III	Stage IV	Other	Totals whole series	Totals noticed data
No.	414	183	743	69	8	1417	1409
%	29.4	13.0	52.8	4.9			100.0

TABLE f 36 *Clinical stage (International TNM classification).*

Stage III is divided into two groups, a: large tumor only, no other symptoms\*; b: also other symptoms.

	Stage I	Stage II	Stage III, large tumor only, no other symptoms	Stage III, also other symptoms	Stage IV	Other	Totals whole series	Totals noticed data
No.	414	183	184	559	69	8	1417	1409
%	29.4	13.0	13.1	39.7	4.9			100.0

Stage IIIa including:

T1 or T2 (Skin, Paget's disease, Nipple retraction, Pectoral muscle fixation, Chest wall attachment)

T3 (Size) N0 M0

T3 (Size) N1 M0

TABLE f 37 *Interval between biopsy and surgical treatment.*

	0-1 day	2-14 days	15 days or more	Other	Totals whole series	Totals noticed data
No.	444	659	268	46	1417	1371
%	32.4	48.0	19.6			100.0

TABLE f 38 *Contents of tumor in cm<sup>3</sup> (Pathological report).\**

	< 1 cm <sup>3</sup>	1-5 cm <sup>3</sup>	6-10 cm <sup>3</sup>	11-20 cm <sup>3</sup>	21-30 cm <sup>3</sup>	31-50 cm <sup>3</sup>	51-100 cm <sup>3</sup>	101-200 cm <sup>3</sup>	201-500 cm <sup>3</sup>	501-1000 cm <sup>3</sup>	More than 1000 cm <sup>3</sup>	Other	Totals whole series	Totals noticed data
No.	20	106	209	88	146	54	73	47	34	11	15	614	1417	803
%	2.5	13.2	26.0	10.9	18.2	6.7	9.3	5.8	4.2	1.3	1.9			100.0

\* Calculated from reported diameters.

TABLE f 39 *Largest diameter of tumor (Pathological report).*

	< 1 cm	1 cm	2 cm	3 cm	4 cm	5 cm	6 cm	7 cm	8 cm	Other	Totals whole series	Totals noticed data
No.	23	44	252	248	93	80	44	47	46	540	1417	877
%	2.6	5.0	28.7	28.3	10.6	9.1	5.0	5.4	5.2			100.0

TABLE f 40 *Microscopic infiltration of skin (Pathological report).*

	Scanty infiltration	Extensive infiltration	Ulceration	No infiltration	Other	Totals whole series	Totals noticed data
No.	17	170	26	476	728	1417	689
%	2.5	24.7	3.8	69.1			100.0

TABLE f 41 *Microscopic infiltration of mammary tissue (Pathological report).*

	Scanty infiltration	Extensive infiltration	No infiltration	Other	Totals whole series	Total noticed data
No.	29	282	195	911	1417	506
%	5.7	55.7	38.5			100.0

TABLE f 42 *Microscopic infiltration of fatty tissue (Pathological report).*

	Scanty infiltration	Extensive infiltration	No infiltration	Other	Totals whole series	Totals noticed data
No.	51	276	140	950	1417	467
%	10.9	59.1	30.0			100.0

TABLE f 43 *Microscopic infiltration of muscle (Pathological report).*

	Scanty infiltration	Extensive infiltration	No infiltration	Other	Totals whole series	Totals noticed data
No.	8	67	583	759	1417	658
%	1.2	10.2	88.6			100.0

TABLE f 44 *Microscopic infiltration of blood vessels (Pathological report).*

	Scanty infiltration	Extensive infiltration	No infiltration	Other	Totals whole series	Totals noticed data
No.	19	68	270	1060	1417	357
%	5.3	19.0	75.6			100.0

TABLE f 45 *Microscopic structure of tumor (Pathological report).*

	Single fields of cells	Single fields of cells and solid buds of cells	Single fields of cells, solid buds of cells and duct formation	Single fields of cells, solid buds of cells and papillary structures	Single fields of cells, duct formation and cystic papillary	Cell masses, duct formation and papillary structures	Solitary cells, cell masses and duct formation	Duct formation	Cystic papillary	Papillary structures	Other	Totals whole series	Totals noticed data
No.	1	293	141	1	3	1	1	56	3	27	890	1417	527
%	0.2	55.6	26.8	0.2	0.6	0.2	0.2	10.6	0.6	5.1			100.0

TABLE f 46 *Ducts (Pathological report).*

	Tumor- growth in ducts	Duct papilloma	Invasive growth	Other	Totals whole series	Totals noticed data
No.	166	27	20	1204	1417	213
%	77.9	12.7	9.4			100.0

TABLE f 47 *Characteristics of the nucleus (Pathological report).*

	Round	Round and spindle	Round, spindle and polymorph	Round and atypic	Round, polymorph and vacuolisation	Vesicular	Polymorph	Polymorph and vacuolisation	Hyperchromatic	Other	Totals whole series	Totals noticed data
No	1	6	3	1	1	33	118	4	17	1233	1417	184
%	0.5	3.3	1.6	0.5	0.5	17.9	64.3	2.2	9.2			100.0

TABLE f 48 *Fibrous stroma formation (Pathological report).*

	Scarce fibrous stroma infiltration	Abundant fibrous stroma infiltration	Other	Totals whole series	Totals noticed data
No	34	404	979	1417	438
%	7.8	92.2			100.0

TABLE f 49 *Histology of the axillary lymph nodes (Pathological report).*

	Histologically negative	Histologically positive	Other	Totals whole series	Totals noticed data
No.	402	652	363	1417	1054
%	38.1	61.9			100.0

TABLE f 50 *Pathological Diagnosis.*

	Solid carcinoma	Colloid carcinoma	Medullary carcinoma	Intraductal carcinoma (Comedo carcinoma)	Papillary carcinoma	Paget's disease	Inflammatory carcinoma	Carcinoma, non-specified	Other	Totals whole series	Totals noticed data
No.	826	38	38	258	11	16	5	225	—	1417	1417
%	58.5	2.7	2.7	18.4	0.7	1.1	0.4	15.5			100.0





CONTENTS	Marital status	Age	Duration of symptoms	Age at onset of menopause	Cause of menopause	Location of tumor
Marital status		f <sub>c1</sub>	f <sub>c2</sub>	f <sub>c3</sub>	f <sub>c4</sub>	f <sub>c5</sub>
Age	f <sub>c1</sub>		f <sub>c14</sub>	f <sub>c15</sub>	f <sub>c16</sub>	f <sub>c17</sub>
Duration of symptoms	f <sub>c2</sub>	f <sub>c14</sub>		f <sub>c27</sub>	f <sub>c28</sub>	f <sub>c29</sub>
Age at onset of menopause	f <sub>c3</sub>	f <sub>c15</sub>	f <sub>c27</sub>		f <sub>c39</sub>	f <sub>c40</sub>
Cause of menopause	f <sub>c4</sub>	f <sub>c16</sub>	f <sub>c28</sub>	f <sub>c39</sub>		f <sub>c49</sub>
Location of tumor	f <sub>c5</sub>	f <sub>c17</sub>	f <sub>c29</sub>	f <sub>c40</sub>	f <sub>c49</sub>	
Size of tumor	f <sub>c6</sub>	f <sub>c18</sub>	f <sub>c30</sub>	f <sub>c41</sub>	f <sub>c50</sub>	f <sub>c58</sub>
Fixation to skin	f <sub>c7</sub>	f <sub>c19</sub>	f <sub>c31</sub>	f <sub>c42</sub>	f <sub>c51</sub>	f <sub>c59</sub>
Nipple retraction	f <sub>c8</sub>	f <sub>c20</sub>	f <sub>c32</sub>	f <sub>c43</sub>	f <sub>c52</sub>	f <sub>c60</sub>
Pectoral muscle fixation	f <sub>c9</sub>	f <sub>c21</sub>	f <sub>c33</sub>	f <sub>c44</sub>	f <sub>c53</sub>	f <sub>c61</sub>
Status of the homolateral axillary lymph nodes	f <sub>c10</sub>	f <sub>c22</sub>	f <sub>c34</sub>	f <sub>c45</sub>	f <sub>c54</sub>	f <sub>c62</sub>
Status of the supra- and infraclavicular lymph nodes	f <sub>c11</sub>	f <sub>c23</sub>	f <sub>c35</sub>	f <sub>c46</sub>	f <sub>c55</sub>	f <sub>c63</sub>
Clinical staging (International TNM classification)	f <sub>c12</sub>	f <sub>c24</sub>	f <sub>c36</sub>	f <sub>c47</sub>	f <sub>c56</sub>	f <sub>c64</sub>
Clinical staging (International TNM classification) Stage III is divided		f <sub>c25</sub>	f <sub>c37</sub>			f <sub>c65</sub>
Histology of the axillary lymph nodes	f <sub>c13</sub>	f <sub>c26</sub>	f <sub>c38</sub>	f <sub>c48</sub>	f <sub>c57</sub>	f <sub>c66</sub>

Size of tumor	Fixation to skin	Nipple retraction	Pectoral muscle fixation	Status of the homolateral axillary lymph nodes	Status of the supra- and infraclavicular lymph nodes	Clinical staging (International TNM classification)	Clinical staging (International TNM classification) Stage III is divided	Histology of the axillary lymph nodes
f <sub>c6</sub>	f <sub>c7</sub>	f <sub>c8</sub>	f <sub>c9</sub>	f <sub>c10</sub>	f <sub>c11</sub>	f <sub>c12</sub>		f <sub>c13</sub>
f <sub>c18</sub>	f <sub>c19</sub>	f <sub>c20</sub>	f <sub>c21</sub>	f <sub>c22</sub>	f <sub>c23</sub>	f <sub>c24</sub>	f <sub>c25</sub>	f <sub>c26</sub>
f <sub>c30</sub>	f <sub>c31</sub>	f <sub>c32</sub>	f <sub>c33</sub>	f <sub>c34</sub>	f <sub>c35</sub>	f <sub>c36</sub>	f <sub>c37</sub>	f <sub>c38</sub>
f <sub>c41</sub>	f <sub>c42</sub>	f <sub>c43</sub>	f <sub>c44</sub>	f <sub>c45</sub>	f <sub>c46</sub>	f <sub>c47</sub>		f <sub>c48</sub>
f <sub>c50</sub>	f <sub>c51</sub>	f <sub>c52</sub>	f <sub>c53</sub>	f <sub>c54</sub>	f <sub>c55</sub>	f <sub>c56</sub>		f <sub>c57</sub>
f <sub>c58</sub>	f <sub>c59</sub>	f <sub>c60</sub>	f <sub>c61</sub>	f <sub>c62</sub>	f <sub>c63</sub>	f <sub>c64</sub>	f <sub>c65</sub>	f <sub>c66</sub>
	f <sub>c67</sub>	f <sub>c68</sub>	f <sub>c69</sub>	f <sub>c70</sub>	f <sub>c71</sub>	f <sub>c72</sub>		f <sub>c73</sub>
f <sub>c67</sub>		f <sub>c74</sub>	f <sub>c75</sub>	f <sub>c76</sub>	f <sub>c77</sub>	f <sub>c78</sub>	f <sub>c79</sub>	f <sub>c80</sub>
f <sub>c68</sub>	f <sub>c74</sub>		f <sub>c81</sub>	f <sub>c82</sub>	f <sub>c83</sub>	f <sub>c84</sub>	f <sub>c85</sub>	f <sub>c86</sub>
f <sub>c69</sub>	f <sub>c75</sub>	f <sub>c81</sub>		f <sub>c87</sub>	f <sub>c88</sub>	f <sub>c89</sub>		f <sub>c90</sub>
f <sub>c70</sub>	f <sub>c76</sub>	f <sub>c82</sub>	f <sub>c87</sub>		f <sub>c91</sub>	f <sub>c92</sub>	f <sub>c93</sub>	f <sub>c94</sub>
f <sub>c71</sub>	f <sub>c77</sub>	f <sub>c83</sub>	f <sub>c88</sub>	f <sub>c91</sub>		f <sub>c95</sub>	f <sub>c96</sub>	f <sub>c97</sub>
f <sub>c72</sub>	f <sub>c78</sub>	f <sub>c84</sub>	f <sub>c89</sub>	f <sub>c92</sub>	f <sub>c95</sub>			f <sub>c98</sub>
	f <sub>c79</sub>	f <sub>c85</sub>		f <sub>c93</sub>	f <sub>c96</sub>			f <sub>c99</sub>
f <sub>c73</sub>	f <sub>c80</sub>	f <sub>c86</sub>	f <sub>c90</sub>	f <sub>c94</sub>	f <sub>c97</sub>	f <sub>c98</sub>	f <sub>c99</sub>	

		Age				Totals
Marital status		< 35 years	35-49 years	50-69 years	> 69 years	noticed data
Numbers	Unmarried	6 — +	60 — +	105 — +	63 —	234
		2 6	25 6	44 9	26 9	100.0
		14 3	15 2	15 5	21 2	16 6
		0 4	4 3	7 4	4 5	16 6
Numbers	Married	36 — +	334 — +	573 — +	234 —	1177
		3 1	28 4	48 7	19 9	100.0
		85 7	84 8	84 5	78 8	83 4
		2 6	23 7	40 6	16 6	83 4
Numbers	Totals noticed data	42 — +	394 — +	678 — +	297 —	1411
		3 0	27 9	48 1	21 0	100.0
		100.0	100 0	100.0	100 0	100.0
		3 0	27 9	48 1	21 0	100.0

		Age								Totals	
Marital status		< 35 years		35-49 years		50-69 years		> 69 years		noticed data	
Unmarried		6		60		105		63		234	
% Horizontal		2 6	— +	25 6	— +	44 9	— +	26 9	—	=	100.0
% Vertical		14 3		15 2		15 5		21 2			16 6
		0 4		4 3		7 4		4 5			16 6
Married		36		334		573		234		1177	
% Horizontal		3 1	— +	28 4	— +	48 7	— +	19 9	—	=	100.0
% Vertical		85 7		84 8		84 5		78 8			83 4
		2 6		23 7		40 6		16 6			83 4
Totals noticed data		42		394		678		297		1411	
% Horizontal		3 0	— +	27 9	— +	48 1	— +	21 0	—	=	100 0
% Vertical	=	100.0	=	100.0	=	100.0	=	100.0	=		100 0
		3 0		27 9		48 1		21 0			100 0

Marital status	Age				Totals noticed data
	< 35 years	35-49 years	50-69 years	> 69 years	
Unmarried	<i>6</i>	<i>60</i>	<i>105</i>	<i>63</i>	<i>234</i>
	2.6	25.6	44.9	26.9	100.0
	14.3	15.2	15.5	21.2	16.6
	0.4 — + —	4.3 — + —	7.4 — + —	4.5	16.6
Married	<i>36</i>	<i>334</i>	<i>573</i>	<i>234</i>	<i>1177</i>
	3.1	28.4	48.7	19.9	100.0
	85.7	84.8	84.5	78.8	83.4
	2.6 — + —	23.7 — + —	40.6 — + —	16.6	83.4
<hr/>					
Totals noticed data	<i>42</i>	<i>394</i>	<i>678</i>	<i>297</i>	<i>1411</i>
	3.0	27.9	48.1	21.0	100.0
	100.0	100.0	100.0	100.0	100.0
	3.0	27.9	48.1	21.0	100.0

% Totals noticed data

#### EXPLANATION OF TWO-WAY CONTINGENCY TABLES ( $f_c$ )

Totals whole series = Absolute figures including 'knowns' and 'unknowns' of whole series.

Totals noticed data = Absolute figures of the number of 'knowns', the relative frequencies are calculated only for the 'knowns'.

Other = Absolute figures of the number of 'unknowns'.

Per datum four figures are given:

First figure = the absolute figure (*italics*).

Second figure = Percentage of row totals (% Horizontal); totals noticed data of row concerned considered as 100%.

Third figure = Percentage of column totals (% Vertical); totals noticed data of column concerned considered as 100%.

Fourth figure = Percentage of table totals (% Totals noticed data); totals noticed data of whole material considered as 100%.

*Numbers*

% Horizontal

% Vertical

% Totals noticed data

TABLE f<sub>c</sub> 1 *Marital status versus Age.*

Marital status	Age					Totals whole series	Totals noticed data
	< 35 years	35-49 years	50-69 years	> 69 years	Other		
Unmarried	6	60	105	63	-	234	234
	2.6	25.6	44.9	26.9			100.0
	14.3	15.2	15.5	21.2			16.6
	0.4	4.3	7.4	4.5			16.6
Married	36	334	573	234	1	1178	1177
	3.1	28.4	48.7	19.9			100.0
	85.7	84.8	84.5	78.8			83.4
	2.6	23.7	40.6	16.6			83.4
Other	-	-	4	-	1	5	-
Totals whole series	42	394	682	297	2	1417	1415
<hr/>							
Totals noticed data	42	394	678	297	-	1412	1411
	3.0	27.9	48.1	21.0			100.0
	100.0	100.0	100.0	100.0			100.0
	3.0	27.9	48.1	21.0			100.0

TABLE f<sub>c</sub> 2 *Marital status versus Duration of symptoms.*

Marital status	Duration of symptoms before starting treatment				Totals whole series	Totals noticed data
	0-30 days	1-6 months	> 6 months	Other		
Unmarried	77	66	74	17	234	217
	35.5	30.4	34.1			100.0
	17.1	15.0	16.7			16.3
	5.8	5.0	5.6			16.3
Married	374	373	368	63	1178	1115
	33.5	33.5	33.0			100.0
	82.9	85.0	83.3			83.7
	28.1	28.0	27.6			83.7
Other	1	1	2	1	5	-
Totals whole series	452	440	444	81	1417	1336
<hr/>						
Totals noticed data	451	439	442	-	1412	1332
	33.9	33.0	33.2			100.0
	100.0	100.0	100.0			100.0
	33.9	33.0	33.2			100.0

TABLE f<sub>c</sub> 3 *Marital status versus Age at onset of menopause.*

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

Marital status	Age at onset of menopause					Totals whole series	Totals noticed data
	< 45 years	45-55 years	> 55 years	No menopause	Other		
Unmarried	16	88	5	28	97	234	137
	11.7	64.2	3.6	20.4			100.0
	13.9	16.7	13.5	12.4			15.2
	1.8	9.7	0.6	3.1			15.2
Married	99	439	32	197	411	1178	767
	12.9	57.2	4.2	25.7			100.0
	86.1	83.3	86.5	87.6			84.8
	11.0	48.6	3.5	21.8			84.8
Other	-	2	-	-	3	5	-
Totals whole series	115	529	37	225	511	1417	906
<hr/>							
Totals noticed data	115	527	37	225	-	1412	904
	12.7	58.3	4.1	24.9			100.0
	100.0	100.0	100.0	100.0			100.0
	12.7	58.3	4.1	24.9			100.0

TABLE f<sub>c</sub> 4 *Marital status versus Cause of menopause.*

Marital status	Cause of menopause				Totals whole series	Totals noticed data
	Spontaneous	Surgical or radiological castration	No menopause	Other		
Unmarried	101	9	28	96	234	138
	73.2	6.5	20.3			100.0
	16.5	13.0	12.4			15.2
	11.1	1.0	3.1			15.2
Married	512	60	197	409	1178	769
	66.6	7.8	25.6			100.0
	83.5	87.0	87.6			84.8
	56.4	6.6	21.7			84.8
Other	3	-	-	2	5	-
Totals whole series	616	69	225	507	1417	910
<hr/>						
Totals noticed data	613	69	225	-	1412	907
	67.6	7.6	24.8			100.0
	100.0	100.0	100.0			100.0
	67.6	7.6	24.8			100.0

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

TABLE f<sub>c</sub> 5 *Marital status versus Location of tumor.*

Marital status	Location of tumor				
	Medial, subareolar, or whole breast	Lateral	Other	Totals whole series	Totals noticed data
Unmarried	122 56.7 16.7 9.2	93 43.3 15.5 7.0	19	234	215 100.0 16.2 16.2
Married	610 54.7 83.3 45.8	506 45.3 84.5 38.0	62	1178	1116 100.0 83.8 83.8
Other	2	2	1	5	—
Totals whole series	734	601	82	1417	1335
Totals noticed data	732 55.0 100.0 55.0	599 45.0 100.0 45.0	—	1412	1331 100.0 100.0 100.0

TABLE f<sub>c</sub> 6 *Marital status versus Size of tumor.*

Marital status	Size of tumor					Totals whole series	Totals noticed data
	Not more than 2 cm	2-5 cm	5-10 cm	More than 10 cm	Other		
Unmarried	25 11.5 12.5 1.9	94 43.1 15.9 7.0	74 33.9 16.8 5.6	25 11.5 25.0 1.9	16	234	218 100.0 16.4 16.4
Married	175 15.7 87.5 13.1	497 44.6 84.1 37.3	367 32.9 83.2 27.6	75 6.7 75.0 5.6	64	1178	1114 100.0 83.6 83.6
Other	—	—	4	—	1	5	—
Totals whole series	200	591	445	100	81	1417	1336
Totals noticed data	200 15.0 100.0 15.0	591 44.4 100.0 44.4	441 33.1 100.0 33.1	100 7.5 100.0 7.5	—	1412	1332 100.0 100.0 100.0



TABLE f<sub>c</sub> 7 *Marital status versus Fixation to skin.*

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

Marital status	Fixation to skin					Totals whole series	Totals noticed data
	Incomplete skin fixation	Complete skin fixation	Skin fixation wide of tumor but not beyond breast area	Skin not involved	Other		
Unmarried	64	61	18	80	11	234	223
	28.7	27.4	8.1	35.8			100.0
	18.4	17.9	21.4	13.4			16.5
	4.7	4.5	1.3	6.0			16.5
Married	284	279	66	501	48	1178	1130
	25.1	24.7	5.8	44.3			100.0
	81.6	82.1	78.6	86.6			83.5
	21.0	20.6	4.9	37.0			83.5
Other	1	4	—	—	—	5	—
Totals whole series	349	344	84	581	59	1417	1358
Totals noticed data	348	340	84	581	—	1412	1353
	25.7	25.1	6.2	43.0			100.0
	100.0	100.0	100.0	100.0			100.0
	25.7	25.1	6.2	43.0			100.0

TABLE f<sub>c</sub> 8 *Marital status versus Nipple retraction.*

Marital status	Nipple retraction			Totals whole series	Totals noticed data
	Nipple retraction	No nipple retraction	Other		
Unmarried	76	144	14	234	220
	34.5	65.5			100.0
	19.3	15.5			16.6
	5.7	10.9			16.6
Married	318	788	72	1178	1106
	28.8	71.2			100.0
	80.7	84.5			83.4
	24.0	59.4			83.4
Other	4	1	—	5	—
Totals whole series	398	933	86	1417	1331
Totals noticed data	394	932	—	1412	1326
	29.7	70.3			100.0
	100.0	100.0			100.0
	29.7	70.3			100.0

*Numbers*

% Horizontal

% Vertical

% Totals noticed data

TABLE f<sub>c</sub> 9 *Marital status versus Pectoral muscle fixation.*

Marital status	Pectoral muscle fixation				Totals whole series	Totals noticed data
	No fixation	Incomplete fixation	Complete fixation	Other		
Unmarried	172	27	15	20	234	214
	80.4	12.6	7.0			100.0
	16.3	16.2	16.5			16.3
	13.1	2.1	1.1			16.3
Married	883	140	76	79	1178	1099
	80.3	12.7	6.9			100.0
	83.7	83.8	83.5			83.7
	67.3	10.7	5.8			83.7
Other	3	1	1	-	5	-
Totals whole series	1058	168	92	99	1417	1318
Totals noticed data	1055	167	91	-	1412	1313
	80.4	12.7	6.9			100.0
	100.0	100.0	100.0			100.0
	80.4	12.7	6.9			100.0

TABLE f<sub>c</sub> 10 *Marital status versus Status of the homolateral axillary lymph nodes.*

Marital status	Status of the homolateral axillary lymph nodes					Totals whole series	Totals noticed data
	Single	Multiple	Fixed to one another or to other structures	Considered to contain no growth	Other		
Unmarried	48	40	13	129	4	234	230
	20.9	17.4	5.7	56.0			100.0
	19.9	19.3	14.1	15.4			16.8
	3.5	2.9	0.9	9.4			16.8
Married	193	167	79	702	37	1178	1141
	16.9	14.6	6.9	61.5			100.0
	80.1	80.7	85.9	84.6			83.2
	14.1	12.2	5.8	51.2			83.2
Other	1	1	1	2	-	5	-
Totals whole series	242	208	93	833	41	1417	1376
Totals noticed data	241	207	92	831	-	1412	1371
	17.6	15.1	6.7	60.6			100.0
	100.0	100.0	100.0	100.0			100.0
	17.6	15.1	6.7	60.6			100.0

TABLE f<sub>c</sub> 11 *Marital status versus Status of the supra- and infraclavicular lymph nodes.*

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

Marital status	Status of the supra- and infraclavicular lymph nodes			
	Considered to contain growth	Other	Totals whole series	Totals noticed data
Unmarried	12	222	234	12
	100.0			100.0
	15.0			15.0
	15.0			15.0
Married	68	1110	1178	68
	100.0			100.0
	85.0			85.0
	85.0			85.0
Other	—	5	5	—
Totals whole series	80	1337	1417	80
Totals noticed data	80	—	1412	80
	100.0			100.0
	100.0			100.0
	100.0			100.0

TABLE f<sub>c</sub> 12 *Marital status versus Clinical stage (International TNM classification).*

Marital status	Clinical stage (International TNM classification)						Totals noticed data
	Stage I	Stage II	Stage III	Stage IV	Other	Totals whole series	
Unmarried	61	33	120	18	2	234	232
	26.3	14.2	51.7	7.8			100.0
	14.7	18.1	16.2	26.5			16.6
	4.2	2.4	8.5	1.5			16.6
Married	353	149	620	50	6	1178	1172
	30.2	12.6	52.9	4.3			100.0
	85.3	81.9	83.8	73.5			83.4
	25.1	10.8	43.9	3.6			83.4
Other	—	1	3	1	—	5	—
Totals whole series	414	183	743	69	8	1417	1409
Totals noticed data	414	182	740	68	—	1412	1404
	29.3	13.2	52.4	5.1			100.0
	100.0	100.0	100.0	100.0			100.0
	29.3	13.2	52.4	5.1			100.0

*Numbers*

% Horizontal

% Vertical

% Totals noticed data

TABLE f<sub>c</sub> 13 *Marital status versus Histology of the axillary lymph nodes.*

Marital status	Histology of the axillary lymph nodes				Totals noticed data
	Histologically negative	Histologically positive	Other	Totals whole series	
Unmarried	65	99	70	234	164
	39.6	60.4			100.0
	16.3	15.2			15.6
	6.2	9.4			15.6
Married	334	552	292	1178	886
	37.7	62.3			100.0
	83.7	84.8			84.4
	31.8	52.6			84.4
Other	3	1	1	5	—
Totals whole series	402	652	363	1417	1054
Totals noticed data					
	399	651	—	1412	1050
	38.0	62.0			100.0
	100.0	100.0			100.0
	38.0	62.0			100.0

TABLE f<sub>c</sub> 14 *Age versus Duration of symptoms before starting treatment.*

Age	Duration of symptoms before starting treatment				Totals whole series	Totals noticed data
	0-30 days	1-6 months	> 6 months	Other		
< 35 years	10	17	12	3	42	39
	25.6	43.6	30.8			100.0
	2.2	3.9	2.7			2.9
	0.7	1.3	0.9			2.9
35-49 years	132	137	112	13	394	381
	34.6	36.0	29.4			100.0
	29.2	31.1	25.3			28.5
	9.9	10.3	8.4			28.5
50-69 years	227	213	209	33	682	649
	35.0	32.8	32.2			100.0
	50.2	48.4	47.2			48.6
	17.0	16.0	15.7			48.6
> 69 years	83	73	110	31	297	266
	31.2	27.4	41.4			100.0
	18.4	16.6	24.8			19.9
	6.2	5.5	8.2			19.9
Other	—	—	1	1	2	—
Totals whole series	452	440	444	81	1417	1336
Totals noticed data						
	452	440	443	—	1415	1335
	33.9	33.0	33.2			100.0
	100.0	100.0	100.0			100.0
	33.9	33.0	33.2			100.0

TABLE f<sub>c</sub> 15 *Age versus Age at onset of menopause.*

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

Age	Age at onset of menopause					Totals whole series	Totals noticed data
	< 45 years	45-55 years	> 55 years	No menopause	Other		
< 35 years	-	-	-	19 100.0 8.4 2.1	23	42	19 100.0 2.1 2.1
35-49 years	17 8.1 14.8 1.9	23 11.0 4.4 2.5	-	170 8.0 75.6 18.7	184	394	210 100.0 23.2 23.2
50-69 years	70 14.4 60.9 7.7	350 71.9 66.6 38.7	31 6.4 83.8 3.4	36 7.4 16.0 4.0	195	682	487 100.0 53.9 53.9
> 69 years	28 14.9 24.3 3.1	153 81.4 29.0 16.9	7 3.8 16.2 0.7	-	109	297	188 100.0 20.8 20.8
Other	-	2	-	-	-	2	-
Totals whole series	115	528	38	225	511	1417	906
Totals noticed data	115 12.7 100.0 12.7	526 58.3 100.0 58.3	38 4.1 100.0 4.1	225 24.9 100.0 24.9	-	1415	904 100.0 100.0 100.0

TABLE f<sub>c</sub> 16 *Age versus Cause of menopause.*

Age	Cause of menopause				Totals whole series	Totals noticed data
	Spontaneous	Surgical or radiological castration	No menopause	Other		
< 35 years	-	-	20 100.0 8.0 2.2	22	42	20 100.0 2.2 2.2
35-49 years	32 14.9 5.2 3.5	15 7.0 21.7 1.7	168 78.1 76.0 18.5	179	394	215 100.0 23.7 23.7
50-69 years	396 82.8 64.7 43.7	46 9.6 66.7 5.1	36 7.5 16.0 4.0	204	682	478 100.0 52.6 52.6
> 69 years	184 94.4 30.0 20.3	11 5.6 11.6 0.9	-	102	297	195 100.0 21.5 21.5
Other	2	-	-	-	2	-
Totals whole series	614	72	224	507	1417	910
Totals noticed data	612 67.6 100.0 67.6	72 7.6 100.0 7.6	224 24.8 100.0 24.8	-	1415	908 100.0 100.0 100.0

## Numbers

% Horizontal

% Vertical

% Totals noticed data

TABLE f<sub>c</sub> 17 Age versus Location of tumor.

Age	Location of tumor				
	Medial, subareolar, or whole breast	Lateral	Other	Totals whole series	Totals noticed data
< 35 years	19	21	2	42	40
	47.5	52.5			100.0
	2.6	3.5			3.0
	1.4	1.6			3.0
35-49 years	192	174	28	394	366
	52.5	47.5			100.0
	26.2	29.0			27.5
	14.4	13.1			27.5
50-69 years	348	298	36	682	646
	53.9	46.1			100.0
	47.4	49.7			48.5
	26.1	22.4			48.5
> 69 years	175	106	16	297	281
	62.3	37.7			100.0
	23.8	17.7			21.1
	13.1	8.0			21.1
Other	-	2	-	2	-
Totals whole series	734	601	82	1417	1335
Totals noticed data	734	599	-	1415	1333
	55.1	44.9			100.0
	100.0	100.0			100.0
	55.1	44.9			100.0

TABLE f<sub>c</sub> 18 Age versus Size of tumor.

Age	Size of tumor					Totals whole series	Totals noticed data
	Not more than 2 cm	2-5 cm	5-10 cm	More than 10 cm	Other		
< 35 years	9	10	19	3	1	42	41
	22.0	24.4	46.3	7.3			100.0
	4.5	1.7	4.3	3.0			3.1
	0.7	0.7	1.4	0.2			3.1
35-49 years	71	156	117	24	26	394	368
	19.3	42.4	31.8	6.5			100.0
	35.7	26.4	26.4	24.0			27.6
	5.3	11.7	8.8	1.8			27.6
50-69 years	83	295	216	45	43	682	639
	13.0	46.2	33.8	7.0			100.0
	41.7	49.9	48.6	45.0			47.9
	6.2	22.1	16.2	3.4			47.9
> 69 years	36	130	92	28	11	297	286
	12.6	45.5	32.2	9.8			100.0
	18.1	22.0	20.7	28.0			21.4
	2.7	9.7	6.9	2.1			21.4
Other	1	-	1	-	-	2	-
Totals whole series	200	591	445	100	81	1417	1336
Totals noticed data	199	591	444	100	-	1415	1334
	14.9	44.3	33.3	7.5			100.0
	100.0	100.0	100.0	100.0			100.0
	14.9	44.3	33.3	7.5			100.0

TABLE f<sub>c</sub> 19 Age versus Fixation to skin.

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

Age	Fixation to skin					Totals whole series	Totals noticed data
	Incomplete skin fixation	Complete skin fixation	Skin fixation wide of tumor but not beyond breast area	Skin not involved	Other		
< 35 years	7	7	1	22	5	42	37
	18.9	18.9	2.7	59.4			100.0
	2.0	2.0	1.2	3.6			2.7
	0.5	0.5	0.1	1.7			2.7
35-49 years	82	70	12	206	24	394	370
	22.2	18.9	3.2	55.7			100.0
	23.5	20.4	14.3	31.6			27.3
	6.0	5.2	0.9	15.2			27.3
50-69 years	171	164	47	276	24	682	658
	26.0	24.9	7.1	42.0			100.0
	49.0	47.8	56.0	49.9			48.5
	12.6	12.1	3.5	20.4			48.5
> 69 years	89	102	24	76	6	297	291
	30.6	35.1	8.2	26.2			100.0
	25.5	29.7	28.6	14.9			21.5
	6.6	7.5	1.8	5.6			21.5
Other	-	1	-	1	-	2	-
Totals whole series	349	344	84	581	59	1417	1358
<hr/>							
Totals noticed data	349	343	84	580	-	1415	1356
	25.7	25.3	6.2	42.8			100.0
	100.0	100.0	100.0	100.0			100.0
	25.7	25.3	6.2	42.8			100.0

TABLE f<sub>c</sub> 20 Age versus Nipple retraction.

	Nipple retraction				Totals noticed data
	Nipple retraction	No nipple retraction	Other	Totals whole series	
< 35 years	6	30	6	42	36
	16.7	83.3			100.0
	1.5	3.2			2.7
	0.5	2.3			2.7
35-49 years	82	281	31	394	363
	22.6	77.4			100.0
	20.6	30.2			27.3
	6.2	21.1			27.3
50-69 years	209	435	38	682	644
	32.5	67.5			100.0
	52.5	46.7			48.5
	15.7	32.7			48.5
> 69 years	101	185	11	297	286
	35.3	64.7			100.0
	25.4	19.9			21.5
	7.6	13.9			21.5
Other	-	2	-	2	-
Totals whole series	398	933	86	1417	1331
Totals noticed data	398	931	-	1415	1329
	29.9	70.1			100.0
	100.0	100.0			100.0
	29.9	70.1			100.0

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

TABLE f<sub>c</sub> 21 Age versus Pectoral muscle fixation.

Age	Pectoral muscle fixation					Totals noticed data
	No fixation	Incomplete fixation	Complete fixation	Other	Totals whole series	
< 35 years	32	4	1	5	42	37
	86.5	10.8	2.7			100.0
	3.0	2.4	1.1			2.8
	2.4	0.3	0.1			2.8
35-49 years	301	39	22	32	394	362
	83.1	10.8	6.1			100.0
	28.5	23.2	23.9			27.5
	22.9	3.0	1.7			27.5
50-69 years	509	82	44	47	682	635
	80.2	12.9	6.9			100.0
	48.2	48.8	47.8			48.3
	38.7	6.2	3.3			48.3
> 69 years	214	43	25	15	297	282
	75.9	15.2	8.9			100.0
	20.3	25.6	27.2			21.4
	16.3	3.3	1.9			21.4
Other	2	-	-	-	2	-
Totals whole series	1058	168	92	99	1417	1318
<hr/>						
Totals noticed data	1056	168	92	-	1415	1316
	80.2	12.8	7.0			100.0
	100.0	100.0	100.0			100.0
	80.2	12.8	7.0			100.0

TABLE f<sub>c</sub> 22 Age versus Status of the homolateral axillary lymph nodes.

Age	Status of the homolateral axillary lymph nodes					Totals whole series	Totals noticed data
	Single	Multiple	Fixed to one another or to other structures	Considered to contain no growth	Other		
< 35 years	9	6	2	23	2	42	40
	22.5	15.0	5.0	57.5			100.0
	3.7	2.9	2.2	2.9			2.9
	0.7	0.4	0.1	1.7			2.9
35-49 years	67	64	15	238	10	394	384
	17.4	16.7	3.9	62.0			100.0
	27.7	30.8	16.3	28.6			27.9
	4.9	4.7	1.1	17.3			27.9
50-69 years	108	95	51	409	19	682	663
	16.3	14.3	7.7	61.7			100.0
	44.6	45.7	55.4	49.0			48.3
	7.9	6.9	3.7	29.8			48.3
> 69 years	58	43	24	162	10	297	287
	20.2	15.0	8.4	56.5			100.0
	24.0	20.7	26.1	19.5			20.9
	4.2	3.1	1.7	11.8			20.9
Other	-	-	1	1	-	2	-
Totals whole series	242	208	93	833	41	1417	1376
<hr/>							
Totals noticed data	242	208	92	832	-	1415	1374
	17.6	15.1	6.7	60.6			100.0
	100.0	100.0	100.0	100.0			100.0
	17.6	15.1	6.7	60.6			100.0



TABLE f<sub>c</sub> 23 Age versus Status of the supra- and infraclavicular lymph nodes.

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

Age	Status of the supra- and infraclavicular lymph nodes			
	Considered to contain growth	Other	Totals whole series	Totals noticed data
< 35 years	4 100.0 5.0 5.0	38	42	4 100.0 5.0 5.0
35-49 years	22 100.0 27.5 27.5	372	394	22 100.0 27.5 27.5
50-69 years	37 100.0 46.3 46.3	645	682	37 100.0 46.3 46.3
> 69 years	17 100.0 21.3 21.3	280	297	17 100.0 21.3 21.3
Other	-	2	2	-
Totals whole series	80	1337	1417	80
Totals noticed data	80 100.0 100.0 100.0	-	1415	80 100.0 100.0 100.0

TABLE f<sub>c</sub> 24 Age versus Clinical stage (International TNM classification).

Age	Clinical stage (International TNM classification)					Totals whole series	Totals noticed data
	Stage I	Stage II	Stage III	Stage IV	Other		
< 35 years	14 33.3 3.4 1.0	5 11.9 2.7 0.4	22 52.4 3.0 1.6	1 2.4 1.4 0.1	-	42	42 100.0 3.0 3.0
35-49 years	127 32.4 30.8 9.0	66 16.8 36.1 4.7	187 47.7 25.2 13.2	12 3.1 17.4 0.8	2	394	392 100.0 27.8 27.8
50-69 years	196 29.0 47.5 14.0	88 13.0 48.1 6.2	350 51.8 47.2 24.9	42 6.2 60.9 3.0	6	682	676 100.0 48.2 48.2
> 69 years	76 25.6 18.4 5.4	24 8.1 13.1 1.7	183 61.6 24.7 12.9	14 4.7 20.3 1.0	-	297	297 100.0 21.0 21.0
Other	1	-	1	-	-	2	-
Totals whole series	414	183	743	69	8	1417	1409
Totals noticed data	413 29.4 100.0 29.4	183 13.1 100.0 13.1	742 52.6 100.0 52.6	69 4.9 100.0 4.9	-	1415	1407 100.0 100.0 100.0

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

TABLE f<sub>c</sub> 25 *Age versus Clinical stage (International TNM classification).*  
*Stage III is divided into two groups, a: large tumor only, no other symptoms; b: also other symptoms*

Age	Clinical stage (International TNM classification)					Totals whole series	Totals noticed data
	Stage I	Stage II	Stage III large tumor only, no other symptoms	Stage III also other symptoms	Stage IV		
< 35 years	14 33.3 3.4 1.0	5 11.9 2.7 0.4	12 28.6 6.5 0.9	10 23.8 1.8 0.7	1 2.4 1.4 0.1	— 42	42 100.0 3.0 3.0
35-49 years	127 32.4 30.8 9.0	66 16.8 36.1 4.7	61 15.6 33.2 4.3	126 32.1 22.6 9.0	12 3.1 17.4 0.9	2 394	392 100.0 27.9 27.9
50-69 years	196 29.0 47.5 13.9	88 13.0 48.1 6.3	85 12.6 46.2 6.0	265 39.2 47.5 18.8	42 6.2 60.9 3.0	6 682	676 100.0 48.0 48.0
> 69 years	76 25.6 18.4 5.4	24 8.1 13.1 1.7	26 8.8 14.1 1.8	157 52.9 28.1 11.2	14 4.7 20.3 1.0	— 297	297 100.0 21.1 21.1
Other	1	—	—	1	—	2	—
Totals whole series	414	183	184	559	69	8	1417
Totals noticed data	413	183	184	558	69	—	1415
	29.4	13.0	13.1	39.7	4.9		100.0
	100.0	100.0	100.0	100.0	100.0		100.0
	29.4	13.0	13.1	39.7	4.9		100.0

TABLE f<sub>c</sub> 26 *Age versus Histology of the axillary lymph nodes.*

Age	Histology of the axillary lymph nodes				
	Histologically negative	Histologically positive	Other	Totals whole series	Totals noticed data
< 35 years	8 25.0 2.0 0.8	24 75.0 3.7 2.3	10	42	32 100.0 3.0 3.0
35-49 years	114 36.2 28.4 10.8	201 63.8 30.9 19.1	79	394	315 100.0 29.9 29.9
50-69 years	209 39.0 52.0 19.8	327 61.0 50.2 31.1	146	682	536 100.0 50.9 50.9
> 69 years	71 41.8 17.7 6.7	99 58.2 15.2 9.4	127	297	170 100.0 16.1 16.1
Other	-	1	1	2	-
Totals whole series	402	652	363	1417	1054
Totals noticed data	402 38.2 100.0 38.2	651 61.8 100.0 61.8	-	1415	1053 100.0 100.0 100.0

TABLE f<sub>c</sub> 27 *Duration of symptoms before starting treatment versus Age at onset of menopause.*

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

Duration of symptoms before starting treatment	Age at onset of menopause					Totals whole series	Totals noticed data
	< 45 years	45-55 years	> 55 years	No menopause	Other		
0-30 days	36	190	14	80	132	452	320
	11.3	59.4	4.4	25.0			100.0
	32.4	37.4	40.0	36.4			36.6
	4.1	21.7	1.6	9.2			36.6
1-6 months	33	157	11	73	166	440	274
	12.0	57.3	4.0	26.6			100.0
	29.7	30.9	31.4	33.2			31.4
	3.8	18.0	1.3	8.4			31.4
> 6 months	42	161	10	67	164	444	280
	15.0	57.5	3.6	23.9			100.0
	37.8	31.7	28.6	30.5			32.0
	4.8	18.4	1.1	7.7			32.0
Other	4	21	2	5	49	81	-
Totals whole series	115	529	37	225	511	1417	906
Totals noticed data	111	508	35	220	-	1336	874
	12.7	58.1	4.0	25.2			100.0
	100.0	100.0	100.0	100.0			100.0
	12.7	58.1	4.0	25.2			100.0

TABLE f<sub>c</sub> 28 *Duration of symptoms before starting treatment versus Cause of menopause.*

Duration of symptoms before starting treatment	Cause of menopause				Totals whole series	Totals noticed data
	Spontaneous	Surgical or radiological castration	No menopause	Other		
0-30 days	212	24	80	136	452	316
	67.1	7.6	25.3			100.0
	36.1	36.4	36.4			36.2
	24.3	2.7	9.2			36.2
1-6 months	178	25	74	163	440	277
	64.3	9.0	26.7			100.0
	30.3	37.9	33.6			31.7
	20.4	2.9	8.5			31.7
> 6 months	197	17	66	164	444	280
	70.4	6.1	23.6			100.0
	33.6	25.8	30.0			32.1
	22.6	1.9	7.6			32.1
Other	29	3	5	44	81	-
Totals whole series	616	69	225	507	1417	910
Totals noticed data	587	66	220	-	1336	873
	67.2	7.6	25.2			100.0
	100.0	100.0	100.0			100.0
	67.2	7.6	25.2			100.0

## Numbers

% Horizontal

% Vertical

% Totals noticed data

TABLE f<sub>c</sub> 29 *Duration of symptoms before starting treatment versus Location of tumor.*

Duration of symptoms before starting treatment	Location of tumor				
	Medial, subareolar, or whole breast	Lateral	Other	Totals whole series	Totals noticed data
0-30 days	213	220	19	452	433
	49.2	50.8			100.0
	30.4	39.1			34.3
	16.9	17.4			34.3
1-6 months	224	190	26	440	414
	54.1	45.9			100.0
	32.0	33.7			32.7
	17.7	15.0			32.7
> 6 months	264	153	27	444	417
	63.3	36.7			100.0
	37.7	27.2			33.0
	20.9	12.1			33.0
Other	33	38	10	81	-
Totals whole series	734	601	82	1417	1335
Totals noticed data					
	701	563	-	1336	1264
	55.5	44.5			100.0
	100.0	100.0			100.0
	55.5	44.5			100.0

TABLE f<sub>c</sub> 30 *Duration of symptoms before starting treatment versus Size of tumor.*

Duration of symptoms before starting treatment	Size of tumor					Totals whole series	Totals noticed data
	Not more than 2 cm	2-5 cm	5-10 cm	More than 10 cm	Other		
0-30 days	71	235	119	11	16	452	436
	16.3	53.9	27.3	2.5			100.0
	38.4	41.9	28.3	11.8			34.6
	5.6	18.7	9.5	0.9			34.6
1-6 months	65	187	132	27	29	440	411
	15.8	45.5	32.1	6.6			100.0
	35.1	33.3	31.4	29.0			32.7
	5.2	14.9	10.6	2.1			32.7
> 6 months	49	139	169	55	32	444	412
	11.9	33.7	41.0	13.3			100.0
	26.5	24.8	40.2	59.1			32.7
	3.9	11.0	13.4	4.4			32.7
Other	15	30	25	7	4	81	-
Totals whole series	200	591	445	100	81	1417	1336
Totals noticed data							
	185	561	420	93	-	1336	1259
	14.7	44.6	33.4	7.4			100.0
	100.0	100.0	100.0	100.0			100.0
	14.7	44.6	33.4	7.4			100.0

TABLE f<sub>c</sub> 31 *Duration of symptoms before starting treatment versus Fixation to skin.*

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

Duration of symptoms before starting treatment	Fixation to skin					Totals whole series	Totals noticed data
	Incomplete skin fixation	Complete skin fixation	Skin fixation wide of tumor but not beyond breast area	Skin not involved	Other		
0-30 days	124 28.5 37.5 9.7	61 14.0 19.1 4.8	13 3.0 16.0 1.0	237 54.4 44.2 18.5	17	452	435 100.0 34.0 34.0
1-6 months	119 28.5 36.0 9.3	98 23.5 30.6 7.6	22 5.3 27.2 1.7	178 42.6 31.1 13.9	23	440	417 100.0 32.5 32.5
> 6 months	88 20.5 26.6 6.9	161 37.4 50.3 12.6	46 10.7 56.8 3.6	135 31.4 24.7 10.4	14	444	430 100.0 33.5 33.5
Other	18	24	3	31	5	81	-
Totals whole series	349	344	84	581	59	1417	1358
Totals noticed data	331 25.8 100.0 25.8	320 25.0 100.0 25.0	81 6.3 100.0 6.3	550 42.9 100.0 42.9	-	1336	1282 100.0 100.0 100.0

TABLE f<sub>c</sub> 32 *Duration of symptoms before starting treatment versus Nipple retraction.*

Duration of symptoms before starting treatment	Nipple retraction				Totals whole series	Totals noticed data
	Nipple retraction	No nipple retraction	Other			
0-30 days	85	341	26	452	426	
	20.0	80.0			100.0	
	22.5	38.7			33.8	
	6.8	27.1			33.8	
1-6 months	118	292	30	440	410	
	28.8	71.2			100.0	
	31.3	33.1			32.6	
	9.4	23.2			32.6	
> 6 months	174	249	21	444	423	
	41.1	58.9			100.0	
	46.2	28.2			33.6	
	13.8	19.8			33.6	
Other	21	51	9	81	-	
Totals whole series	398	933	86	1417	1331	
Totals noticed data	377	882	-	1336	1259	
	29.9	70.1			100.0	
	100.0	100.0			100.0	
	29.9	70.1			100.0	

Numbers

% Horizontal

% Vertical

% Totals noticed data

TABLE f<sub>c</sub> 33 *Duration of symptoms before starting treatment versus Pectoral muscle fixation.*

Duration of symptoms before starting treatment	Pectoral muscle fixation					Totals whole series	Totals noticed data
	No fixation	Incomplete fixation	Complete fixation	Other			
0-30 days	368	46	8	30		452	422
	87.2	10.9	1.9				100.0
	36.4	29.7	9.8				33.8
	29.5	3.7	0.6				33.8
1-6 months	325	53	27	35		440	405
	80.2	13.1	6.7				100.0
	32.2	34.2	32.9				32.5
	26.1	4.3	2.2				32.5
> 6 months	317	56	47	24		444	420
	75.5	13.3	11.2				100.0
	31.4	36.1	57.3				33.7
	25.4	4.5	3.8				33.7
Other	48	13	10	10		81	-
Totals whole series	1058	168	92	99		1417	1318
Totals noticed data	1010	155	82	-		1336	1247
	81.0	12.4	6.6				100.0
	100.0	100.0	100.0				100.0
	81.0	12.4	6.6				100.0

TABLE f<sub>c</sub> 34 *Duration of symptoms before starting treatment versus Status of the homolateral axillary lymph nodes.*

Duration of symptoms before starting treatment	Status of the homolateral axillary lymph nodes					Totals whole series	Totals noticed data
	Single	Multiple	Fixed to one another or to other structures	Considered to contain no growth	Other		
0-30 days	73	54	28	290	7	452	445
	16.4	12.1	6.3	65.2			100.0
	32.2	28.0	30.8	36.6			34.2
	5.6	4.1	2.1	22.3			34.2
1-6 months	81	62	22	260	15	440	425
	19.1	14.6	5.2	61.0			100.0
	35.7	32.1	24.2	32.8			32.6
	6.2	4.8	1.7	20.0			32.6
> 6 months	73	77	41	242	11	444	439
	16.9	17.8	9.5	55.8			100.0
	32.2	39.9	45.1	30.6			33.2
	5.6	6.0	3.1	18.5			33.2
Other	15	15	2	41	8	81	-
Totals whole series	242	208	93	833	41	1417	1376
Totals noticed data	227	193	91	792	-	1336	1303
	17.4	14.8	7.0	60.8			100.0
	100.0	100.0	100.0	100.0			100.0
	17.4	14.8	7.0	60.8			100.0

TABLE f<sub>c</sub> 35 *Duration of symptoms before starting treatment versus Status of the supra- and infraclavicular lymph nodes.*

Numbers  
% Horizontal  
% Vertical  
% Totals noticed data

Duration of symptoms before starting treatment	Status of the supra- and infraclavicular lymph nodes			
	Considered to contain growth	Other	Totals whole series	Totals noticed data
0-30 days	15 100.0 19.7 19.7	437	452	15 100.0 19.7 19.7
1-6 months	26 100.0 34.2 34.2	414	440	26 100.0 34.2 34.2
> 6 months	35 100.0 46.1 46.1	409	444	35 100.0 46.1 46.1
Other	4	77	81	-
Totals whole series	80	1337	1417	80
Totals noticed data	76 100.0 100.0 100.0	-	1336	76 100.0 100.0 100.0

TABLE f<sub>c</sub> 36 *Duration of symptoms before starting treatment versus Clinical stage (International TNM classification).*

Duration of symptoms before starting treatment	Clinical stage (International TNM classification)					
	Stage I	Stage II	Stage III	Stage IV	Other	Totals whole series
0-30 days	172 38.2 43.8 12.9	70 15.6 40.2 5.2	197 43.8 28.1 14.9	11 2.4 17.5 0.8	2	452
1-6 months	131 29.8 33.3 9.8	64 14.5 36.8 4.8	232 52.7 33.1 17.4	13 3.0 20.6 1.0	-	440
> 6 months	90 20.4 22.9 6.7	40 9.1 23.0 3.0	272 61.7 38.8 20.4	39 8.8 61.9 3.1	3	444
Other	21	9	42	6	3	81
Totals whole series	414	183	743	69	8	1417
Totals noticed data	393 29.4 100.0 29.4	174 13.0 100.0 13.0	701 52.7 100.0 52.7	63 4.9 100.0 4.9	-	1336
						1331 100.0 100.0 100.0

Numbers  
% Horizontal  
% Vertical  
% Totals noticed data

TABLE f<sub>c</sub> 37 *Duration of symptoms before starting treatment versus Clinical stage (International TNM classification). Stage III is divided into two groups, a: large tumor only, no other symptoms; b: also other symptoms.*

Duration of symptoms before starting treatment	Clinical stage (International TNM classification)						Totals whole series	Totals noticed data
	Stage I	Stage II	Stage III large tumor only, no other symptoms	Stage III also other symptoms	Stage IV	Other		
0-30 days	172 38.2 43.8 12.9	70 15.6 40.2 5.3	67 14.9 37.9 5.0	130 28.9 24.8 9.8	11 2.4 17.5 0.8	2	452	450 100.0 33.8 33.8
1-6 months	131 29.8 33.3 9.8	64 14.5 36.8 4.8	60 13.6 33.9 4.5	172 39.1 32.8 12.9	13 3.0 20.6 1.0	-	440	440 100.0 33.0 33.0
> 6 months	90 20.4 22.9 6.8	40 9.1 23.0 3.0	50 11.3 28.2 3.8	222 50.3 42.4 16.7	39 8.8 61.9 2.9	3	444	441 100.0 33.2 33.2
Other	21	9	7	35	6	3	81	-
Totals whole series	414	183	184	559	69	8	1417	1409
Totals noticed data	393 29.5 100.0 29.5	174 13.1 100.0 13.1	177 13.3 100.0 13.3	524 39.4 100.0 39.4	63 4.7 100.0 4.7	-	1336	1331 100.0 100.0 100.0

TABLE f<sub>c</sub> 38 *Duration of symptoms before starting treatment versus Histology of the axillary lymph nodes.*

	Histology of the axillary lymph nodes				
Duration of symptoms before starting treatment	Histologically negative	Histologically positive	Other	Totals whole series	Totals noticed data
0-30 days	164	198	90	452	362
	45.3	54.7			100.0
	42.9	32.0			36.2
	16.4	19.8			36.2
1-6 months	119	218	103	440	337
	35.3	64.7			100.0
	31.2	35.2			33.7
	11.9	21.8			33.7
> 6 months	99	203	142	444	302
	32.8	67.2			100.0
	25.9	32.8			30.2
	9.9	20.3			30.2
Other	20	33	28	81	-
Totals whole series	402	652	363	1417	1054
<hr/>					
Totals noticed data	382	619	-	1336	1001
	38.2	61.8			100.0
	100.0	100.0			100.0
	38.2	61.8			100.0



TABLE f<sub>c</sub> 39 *Age at onset of menopause versus Cause of menopause.*

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

Age at onset of menopause	Cause of menopause				Totals whole series	Totals noticed data
	Spontaneous	Surgical or radiological castration	No menopause	Other		
< 45 years	80 74.1 13.6 9.1	28 25.9 44.4 3.2	—	7	115	108 100.0 12.3 12.3
45–55 years	474 93.3 80.5 54.0	34 6.7 54.0 3.9	—	21	529	508 100.0 57.9 57.9
> 55 years	35 97.2 5.9 4.0	1 2.8 1.6 0.1	—	1	37	36 100.0 4.1 4.1
No menopause	—	—	225 100.0 100.0 25.7	—	225	225 100.0 25.7 25.7
Other	27	6	—	478	511	—
Totals whole series	616	69	225	507	1417	910
Totals noticed data	589 67.2 100.0 67.2	63 7.2 100.0 7.2	225 25.7 100.0 25.7	—	906	877 100.0 100.0 100.0

TABLE f<sub>c</sub> 40 *Age at onset of menopause versus Location of tumor.*

Age at onset of menopause	Location of tumor			Totals whole series	Totals noticed data
	Medial, subareolar or whole breast	Lateral	Other		
< 45 years	65 59.6 13.0 7.6	44 40.4 12.4 5.1	6	115	109 100.0 12.7 12.7
45–55 years	290 57.7 58.1 33.9	213 42.3 59.8 24.9	26	529	503 100.0 58.8 58.8
> 55 years	21 60.0 4.2 2.5	14 40.0 3.9 1.6	2	37	35 100.0 4.1 4.1
No menopause	123 59.1 24.6 14.4	85 40.9 23.9 9.9	17	225	208 100.0 24.3 24.3
Other	235	245	31	511	—
Totals whole series	734	601	82	1417	1335
Totals noticed data	499 58.4 100.0 58.4	356 41.6 100.0 41.6	—	906	855 100.0 100.0 100.0

## Numbers

% Horizontal

% Vertical

% Totals noticed data

TABLE f<sub>c</sub> 41 Age at onset of menopause versus Size of tumor.

Age at onset of menopause	Size of tumor					Totals whole series	Totals noticed data
	Not more than 2 cm	2-5 cm	5-10 cm	More than 10 cm	Other		
< 45 years	13	58	31	3	10	115	105
	12.4	55.2	29.5	2.9			100.0
	9.7	13.9	12.1	5.8			12.5
	1.6	6.8	3.7	0.4			12.5
45-55 years	74	239	154	35	27	529	502
	14.7	47.6	30.7	7.0			100.0
	55.2	57.2	60.2	67.3			58.3
	8.7	27.7	17.8	4.1			58.3
> 55 years	4	23	8	2	-	37	37
	10.8	62.2	21.6	5.4			100.0
	3.0	5.5	3.1	3.8			4.3
	0.5	2.7	0.9	0.2			4.3
No menopause	43	98	63	12	9	225	216
	19.9	45.4	29.2	5.6			100.0
	32.1	23.4	24.6	23.1			25.0
	5.0	11.3	7.3	1.4			25.0
Other	66	173	189	48	35	511	-
Totals whole series	200	591	445	100	81	1417	1336
Totals noticed data							
	134	418	256	52	-	906	860
	15.7	48.5	29.7	6.1			100.0
	100.0	100.0	100.0	100.0			100.0
	15.7	48.5	29.7	6.1			100.0

TABLE f<sub>c</sub> 42 Age at onset of menopause versus Fixation to skin.

Age at onset of menopause	Fixation to skin				Other	Totals whole series	Totals noticed data
	Incomplete skin fixation	Complete skin fixation	Skin fixation wide of tumor but not beyond breast area	Skin not involved			
< 45 years	39	26	4	41	5	115	110
	35.5	23.6	3.6	37.3			100.0
	15.4	13.5	7.8	10.6			12.5
	4.4	2.9	0.5	4.8			12.5
45-55 years	164	121	35	202	7	529	522
	31.4	23.2	6.7	38.7			100.0
	65.1	63.0	68.6	52.1			59.1
	18.6	13.7	4.0	22.8			59.1
> 55 years	11	9	2	13	2	37	35
	31.4	25.7	5.7	37.2			100.0
	4.4	4.7	3.9	3.3			4.0
	1.2	1.0	0.2	1.5			4.0
No menopause	38	36	10	132	9	225	216
	17.6	16.7	4.6	61.1			100.0
	15.1	18.8	19.6	34.0			24.5
	4.3	4.1	1.1	14.9			24.5
Other	97	152	33	193	36	511	-
Totals whole series	349	344	84	581	59	1417	1358
Totals noticed data							
	252	192	51	388	-	906	883
	28.5	21.7	5.8	44.0			100.0
	100.0	100.0	100.0	100.0			100.0
	28.5	21.7	5.8	44.0			100.0

TABLE 1c 43 *Age at onset of menopause versus Nipple retraction*

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

Age at onset of menopause	Nipple retraction			Totals whole series	Totals noticed data
	Nipple retraction	No nipple retraction	Other		
< 45 years	30 27.0 11.4 3.4	81 73.0 13.2 9.3	4	115	111 100.0 12.7 12.7
45-55 years	178 34.6 67.7 20.3	337 65.4 55.1 38.5	14	529	515 100.0 58.9 58.9
> 55 years	12 34.3 4.6 1.4	23 65.7 3.8 2.6	2	37	35 100.0 4.0 4.0
No menopause	43 20.1 16.3 4.9	171 79.9 27.9 19.5	11	225	214 100.0 24.5 24.5
Other	135	321	55	511	-
Totals whole series	398	933	86	1417	1331
Totals noticed data	263 30.1 100.0 30.1	612 69.9 100.0 69.9	-	906	875 100.0 100.0 100.0

TABLE 1c 44 *Age at onset of menopause versus Pectoral muscle fixation.*

Age at onset of menopause	Pectoral muscle fixation				Totals whole series	Totals noticed data
	No fixation	Incomplete fixation	Complete fixation	Other		
< 45 years	86 78.2 12.4 9.9	14 12.7 11.7 1.6	10 9.1 17.9 1.2	5	115	110 100.0 12.7 12.7
45-55 years	407 79.3 58.7 46.8	76 14.8 63.3 8.7	30 5.8 53.6 3.5	16	529	513 100.0 59.0 59.0
> 55 years	27 79.4 3.9 3.1	3 8.8 2.5 0.3	4 11.8 7.1 0.5	3	37	34 100.0 3.9 3.9
No menopause	173 81.6 25.0 19.9	27 12.7 22.5 3.1	12 5.7 21.4 1.4	13	225	212 100.0 24.4 24.4
Other	365	48	36	62	511	-
Totals whole series	1058	168	92	99	1417	1318
Totals noticed data	693 79.7 100.0 79.7	120 13.8 100.0 13.8	56 6.4 100.0 6.4	-	906	869 100.0 100.0 100.0

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

TABLE 45 Age at onset of menopause versus Status of the homolateral axillary lymph nodes.

Age at onset of menopause	Status of the homolateral axillary lymph nodes					Totals whole series	Totals noticed data
	Single	Multiple	Fixed to one another or to other structures	Considered to contain no growth	Other		
< 45 years	21 18.9 13.0 2.4	9 8.1 8.0 1.0	10 9.0 15.2 1.1	71 64.0 13.0 7.9	4	115	111 100.0 12.5 12.5
45-55 years	90 17.3 55.6 10.1	76 14.6 67.3 8.5	42 8.1 63.6 4.7	312 60.0 56.8 35.2	9	529	520 100.0 58.5 58.5
> 55 years	8 21.6 4.9 0.9	3 8.1 2.7 0.3	5 13.5 7.6 0.6	21 56.8 3.8 2.4	-	37	37 100.0 4.2 4.2
No menopause	43 19.5 26.5 4.8	25 11.3 22.1 2.8	9 4.1 13.6 1.0	144 65.2 26.4 16.3	4	225	221 100.0 24.9 24.9
Other	80	95	27	285	24	511	-
Totals whole series	242	208	93	833	41	1417	1376
Totals noticed data	162 18.2 100.0 18.2	113 12.7 100.0 12.7	66 7.4 100.0 7.4	548 61.8 100.0 61.8	-	906	889 100.0 100.0 100.0

TABLE 46 Age at onset of menopause versus Status of the supra- and infraclavicular lymph nodes.

Age at onset of menopause	Status of the supra- and infraclavicular lymph nodes			
	Considered to contain growth	Other	Totals whole series	Totals noticed data
< 45 years	6 100.0 11.5 11.5	109	115	6 100.0 11.5 11.5
45-55 years	33 100.0 63.5 63.5	496	529	33 100.0 63.5 63.5
> 55 years	1 100.0 1.9 1.9	36	37	1 100.0 1.9 1.9
No menopause	12 100.0 23.1 23.1	213	225	12 100.0 23.1 23.1
Other	28	483	511	-
Totals whole series	80	1337	1417	80
Totals noticed data	52 100.0 100.0 100.0	-	906	52 100.0 100.0 100.0

TABLE 47 Age at onset of menopause versus Clinical stage (International TNM classification).

Numbers  
% Horizontal  
% Vertical  
% Totals noticed data

Age at onset of menopause	Clinical stage (International TNM classification)					Totals	Totals
	Stage I	Stage II	Stage III	Stage IV	Other	whole series	noticed data
< 45 years	34 30.6 11.5 3.9	15 13.5 12.8 1.7	57 51.4 12.9 6.4	5 4.5 10.9 0.7	4	115	111 100.0 12.7 12.7
45-55 years	168 31.8 56.9 18.5	59 11.2 50.4 6.5	274 51.8 61.9 30.2	28 5.3 60.9 3.1	-	529	529 100.0 58.4 58.4
> 55 years	13 35.1 4.4 1.4	6 16.2 5.1 0.7	16 43.2 3.6 1.8	2 5.4 4.3 0.2	-	37	37 100.0 4.1 4.1
No menopause	80 35.7 27.1 8.9	37 16.5 31.6 4.1	96 42.8 21.6 10.6	11 5.0 23.9 1.2	1	225	224 100.0 24.8 24.8
Other	119	66	300	23	3	511	-
Totals whole series	414	183	743	69	8	1417	1409
Totals noticed data	295 32.7 100.0 32.7	117 13.0 100.0 13.0	443 49.0 100.0 49.0	46 5.2 100.0 5.2	-	906	901 100.0 100.0 100.0

TABLE 48 Age at onset of menopause versus Histology of the axillary lymph nodes.

Age at onset of menopause	Histology of the axillary lymph nodes				
	Histologically negative	Histologically positive	Other	Totals whole series	Totals noticed data
< 45 years	38	46	31	115	84
	45.2	54.8			100.0
	13.8	11.0			12.1
	5.5	6.6			12.1
45-55 years	164	234	131	529	398
	41.2	58.8			100.0
	59.6	55.8			57.3
	23.6	33.7			57.3
> 55 years	10	21	6	37	31
	32.3	67.7			100.0
	3.6	5.0			4.5
	1.4	3.0			4.5
No menopause	63	118	44	225	181
	34.8	65.2			100.0
	22.9	28.2			26.1
	9.1	17.0			26.1
Other	127	233	151	511	-
Totals whole series	402	652	363	1417	1054
<hr/>					
Totals noticed data	275	419	-	906	694
	39.6	60.4			100.0
	100.0	100.0			100.0
	39.6	60.4			100.0

Numbers

% Horizontal

% Vertical

% Totals noticed data

TABLE f<sub>c</sub> 49 *Cause of menopause versus Location of tumor.*

Cause of menopause	Location of tumor				Totals noticed data
	Medial, subareolar, or whole breast	Lateral	Other	Totals whole series	
Spontaneous	344	244	28	616	588
	58.5	41.5			100.0
	68.8	67.8			68.4
	40.0	28.4			68.4
Surgical or radiological castration	33	30	6	69	63
	52.4	47.6			100.0
	6.6	8.3			7.3
	3.8	3.5			7.3
No menopause	123	86	16	225	209
	58.9	41.1			100.0
	24.6	23.9			24.3
	14.3	10.0			24.3
Other	234	241	32	507	
Totals whole series	734	601	82	1417	1335
Totals noticed data					
	500	360	—	910	860
	58.1	41.9			100.0
	100.0	100.0			100.0
	58.1	41.9			100.0

TABLE f<sub>c</sub> 50 *Cause of menopause versus Size of tumor.*

Cause of menopause	Size of tumor					Totals noticed data
	Not more than 2 cm	2-5 cm	5-10 cm	More than 10 cm	Other	
Spontaneous	77	291	173	41	34	582
	13.2	50.0	29.7	7.0		100.0
	57.5	69.1	68.1	74.5		67.4
	8.9	33.7	20.0	4.7		67.4
Surgical or radiological castration	13	32	19	2	3	66
	19.7	48.5	28.8	3.0		100.0
	9.7	7.6	7.5	3.6		7.6
	1.5	3.7	2.2	0.2		7.6
No menopause	44	98	62	12	9	216
	20.4	45.4	28.7	5.6		100.0
	32.8	23.3	24.4	21.8		25.0
	5.1	11.3	7.2	1.4		25.0
Other	66	170	191	45	35	507
Totals whole series	200	591	445	100	81	1417
Totals noticed data						
	134	421	254	55	—	864
	15.5	48.7	29.4	6.4		100.0
	100.0	100.0	100.0	100.0		100.0
	15.5	48.7	29.4	6.4		100.0

TABLE f<sub>c</sub> 51 *Cause of menopause versus Fixation to skin*

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

Cause of menopause	Fixation to skin					Totals whole series	Totals noticed data
	Incomplete skin fixation	Complete skin fixation	Skin fixation wide of tumor but not beyond breast area	Skin not involved	Other		
Spontaneous	188 30.9 77.4 21.2	150 24.7 75.8 16.9	41 6.7 78.8 4.6	229 37.7 58.0 25.8	8	616	608 100.0 68.5 68.5
Surgical or radiological castration	17 26.6 7.0 1.9	12 18.8 6.1 1.4	1 1.6 1.9 0.1	34 53.0 9.0 3.8	5	69	64 100.0 7.2 7.2
No menopause	38 17.6 15.6 4.3	36 16.7 18.2 4.1	10 4.6 19.2 1.1	132 61.1 32.9 14.8	9	225	216 100.0 24.3 24.3
Other	106	146	32	186	37	507	-
Totals whole series	349	344	84	581	59	1417	1358
Totals noticed data	243 27.4 100.0 27.4	198 22.3 100.0 22.3	52 5.9 100.0 5.9	395 44.4 100.0 44.4	-	910	888 100.0 100.0 100.0

TABLE f<sub>c</sub> 52 *Cause of menopause versus Nipple retraction.*

Cause of menopause	Nipple retraction				Totals whole series	Totals noticed data
	Nipple retraction	No nipple retraction	Other			
Spontaneous	202	402	12	616	604	
	33.4	66.6			100.0	
	77.7	64.4			68.3	
	22.9	45.5			68.3	
Surgical or radiological castration	15	51	3	69	66	
	22.7	77.3			100.0	
	5.8	8.2			7.5	
	1.7	5.8			7.5	
No menopause	43	171	11	225	214	
	20.1	79.9			100.0	
	16.5	27.4			24.2	
	4.9	19.3			24.2	
Other	138	309	60	507	—	
Totals whole series	398	933	86	1417	1331	
Totals noticed data	260	624	—	910	884	
	29.4	70.6			100.0	
	100.0	100.0			100.0	
	29.4	70.6			100.0	

## Numbers

% Horizontal

% Vertical

% Totals noticed data

TABLE f<sub>c</sub> 53 Cause of menopause versus Pectoral muscle fixation.

Cause of menopause	Pectoral muscle fixation				Totals whole series	Totals noticed data
	No fixation	Incomplete fixation	Complete fixation	Other		
Spontaneous	471	82	46	17	616	599
	78.6	13.7	7.7			100.0
	67.6	68.3	76.7			68.3
	53.7	9.4	5.2			68.3
Surgical or radiological castration	53	11	2	3	69	66
	80.3	16.7	3.0			100.0
	7.6	9.2	3.3			7.5
	6.0	1.3	0.2			7.5
No menopause	173	27	12	13	225	212
	81.6	12.7	5.7			100.0
	24.8	22.5	20.0			24.2
	19.7	3.1	1.4			24.2
Other	361	48	32	66	507	-
Totals whole series	1058	168	92	99	1417	1318
Totals noticed data						
	697	120	60	-	910	877
	79.5	13.7	6.8			100.0
	100.0	100.0	100.0			100.0
	79.5	13.7	6.8			100.0

TABLE f<sub>c</sub> 54 Cause of menopause versus Status of the homolateral axillary lymph nodes.

Cause of menopause	Status of the homolateral axillary lymph nodes					Totals whole series	Totals noticed data
	Single	Multiple	Fixed to one another or to other structures	Considered to contain no growth	Other		
Spontaneous	110	79	52	363	12	616	604
	18.2	13.1	8.6	60.1			100.0
	67.9	68.7	78.8	66.2			67.8
	12.3	8.9	5.8	40.8			67.8
Surgical or radiological castration	9	11	5	41	3	69	66
	13.6	16.7	7.6	62.1			100.0
	5.6	9.6	7.6	7.5			7.4
	1.0	1.2	0.6	4.6			7.4
No menopause	43	25	9	144	4	225	221
	19.5	11.3	4.1	65.1			100.0
	26.5	21.7	13.6	26.3			24.8
	4.8	2.8	1.0	16.2			24.8
Other	80	93	27	285	22	507	-
Totals whole series	242	208	93	833	41	1417	1376
Totals noticed data							
	162	115	66	548	-	910	891
	18.1	12.9	7.4	61.6			100.0
	100.0	100.0	100.0	100.0			100.0
	18.1	12.9	7.4	61.6			100.0



TABLE f<sub>c</sub> 55 Cause of menopause versus Status of the supra- and infraclavicular lymph nodes.

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

Cause of menopause	Status of the supra and infraclavicular lymph nodes			
	Considered to contain growth	Other	Totals whole series	Totals noticed data
Spontaneous	41 100 0 73 2 73 2	575	616	41 100 0 73 2 73 2
Surgical or radiological castration	3 100 0 5 4 5 4	66	69	3 100 0 5 4 5 4
No menopause	12 100 0 21 4 21 4	213	225	12 100 0 21 4 21 4
Other	24	483	507	—
Totals whole series	80	1337	1417	80
Totals noticed data	56 100 0 100 0 100 0	—	910	56 100 0 100 0 100 0

TABLE f<sub>c</sub> 56 Cause of menopause versus Clinical stage (International TNM classification).

Cause of menopause	Clinical stage (International TNM classification)					Totals whole series	Totals noticed data
	Stage I	Stage II	Stage III	Stage IV	Other		
Spontaneous	190 31 0 64 6 21 0	73 12 1 61 9 8 2	321 52 2 71 5 35 3	29 4 7 64 4 3 2	3	616	613 100 0 67 7 67 7
Surgical or radiological castration	23 33 3 7 8 2 5	9 13 0 7 6 1 0	32 46 4 7 1 3 5	5 7 2 11 1 0 5	—	69	69 100 0 7 6 7 6
No menopause	81 36 1 27 6 9 0	36 16 1 30 5 4 0	96 42 8 21 4 10 5	11 5 0 24 4 1 2	1	225	224 100 0 24 7 24 7
Other	120	65	294	24	4	507	—
Totals whole series	414	183	743	69	8	1417	1409
Totals noticed data	294 32 5 100 0 32 5	118 13 2 100 0 13 2	449 49 3 100 0 49 3	45 4 9 100 0 4 9	—	910	906 100 0 100 0 100 0

## Numbers

% Horizontal

% Vertical

% Totals noticed data

TABLE f<sub>c</sub> 57 Cause of menopause versus Histology of the axillary lymph nodes.

Cause of menopause	Histology of the axillary lymph nodes				Totals noticed data
	Histologically negative	Histologically positive	Other	Totals whole series	
Spontaneous	191 41.2 68.2 27.3	273 58.8 65.2 39.1	152	616	464 100.0 66.4 66.4
Surgical or radiological castration	25 46.3 8.9 3.6	29 53.7 6.9 4.1	15	69	54 100.0 7.7 7.7
No menopause	64 35.4 22.9 9.2	117 64.6 27.9 16.7	44	225	181 100.0 25.9 25.9
Other	122	233	152	507	-
Totals whole series	402	652	363	1417	1054
Totals noticed data					
	280 40.1 100.0 40.1	419 59.9 100.0 59.9	-	910	699 100.0 100.0 100.0

TABLE f<sub>c</sub> 58 Location of tumor versus Size of tumor.

Location of tumor	Size of tumor				Totals whole series	Totals noticed data
	Not more than 2 cm	2-5 cm	5-10 cm	More than 10 cm		
Medial, subareolar or whole breast	91 13.1 50.6 7.2	303 43.5 53.3 23.9	222 31.9 52.7 17.5	80 11.5 80.0 6.3	38	734 696 100.0 54.8 54.8
Lateral	89 15.5 49.4 7.0	266 46.3 46.7 20.9	199 34.7 47.3 15.7	20 3.5 20.0 1.6	27	601 574 100.0 45.2 45.2
Other	20	22	24	-	16	82
Totals whole series	200	591	445	100	81	1417
Totals noticed data						
	180 14.2 100.0 14.2	569 44.8 100.0 44.8	421 33.1 100.0 33.1	100 7.9 100.0 7.9	-	1335 1270 100.0 100.0 100.0

TABLE f<sub>c</sub> 59 *Location of tumor versus Fixation to skin.*

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

Location of tumor	Fixation to skin					Totals whole series	Totals noticed data
	Incomplete skin fixation	Complete skin fixation	Skin fixation wide of tumor but not beyond breast area	Skin not involved	Other		
Medial, subareolar or whole breast	169	200	66	282	17	734	717
	23.6	27.9	9.2	39.3			100.0
	50.1	59.3	79.5	55.5			55.4
	13.1	15.4	5.1	21.8			55.4
Lateral	168	137	17	256	23	601	578
	29.1	23.7	2.9	44.2			100.0
	49.9	40.7	20.5	44.5			44.6
	13.0	10.6	1.3	19.7			44.6
Other	12	7	1	43	19	82	-
Totals whole series	349	344	84	581	59	1417	1358
Totals noticed data	337	337	83	538	-	1335	1295
	26.0	26.0	6.4	41.5			100.0
	100.0	100.0	100.0	100.0			100.0
	26.0	26.0	6.4	41.5			100.0

TABLE f<sub>c</sub> 60 *Location of tumor versus Nipple retraction.*

Location of tumor	Nipple retraction			Totals whole series	Totals noticed data
	Nipple retraction	No nipple retraction	Other		
Medial, subareolar or whole breast	276	430	28	734	706
	39.1	60.9			100.0
	72.1	48.5			55.6
	21.7	33.9			55.6
Lateral	107	456	38	601	563
	19.0	81.0			100.0
	27.9	51.5			44.4
	8.4	35.9			44.4
Other	15	47	20	82	-
Totals whole series	398	933	86	1417	1331
Totals noticed data	383	886	-	1335	1269
	30.2	69.8			100.0
	100.0	100.0			100.0
	30.2	69.8			100.0

*Numbers*

% Horizontal

% Vertical

% Totals noticed data

TABLE f<sub>c</sub> 61 *Location of tumor versus Pectoral muscle fixation.*

Location of tumor	Pectoral muscle fixation				Totals whole series	Total noticed data
	No fixation	Incomplete fixation	Complete fixation	Other		
Medial, subareolar or whole breast	540	100	61	33	734	701
	77 0	14 3	8 7			100.0
	53 8	61 7	67 0			55 8
	43 0	8 0	4 9			55 8
Lateral	463	62	30	46	601	555
	83 4	11 2	5 4			100.0
	46 2	38 3	33 0			44 2
	36 9	4 9	2 4			44 2
Other	55	6	1	20	82	—
Totals whole series	1058	168	92	99	1417	1318
<hr/>						
Totals noticed data	1003	162	91	—	1335	1256
	79 9	12 9	7 2			100 0
	100.0	100.0	100.0			100.0
	79 9	12 9	7 2			100.0

TABLE f<sub>c</sub> 62 *Location of tumor versus Status of the homolateral axillary lymph nodes.*

Location of tumor	Status of the homolateral axillary lymph nodes				Totals whole series	Totals noticed data
	Single	Multiple	Fixed to one another or to other structures	Considered to contain no growth		
Medial, subareolar or whole breast	118	102	54	444	16	718
	16 4	14 2	7 5	61 8		100.0
	52 4	51 8	59 3	56 2		55 1
	9 1	7 8	4 1	34 0		55 1
Lateral	107	95	37	346	16	585
	18 3	16 2	6 3	59 1		100.0
	47 6	48 2	40 7	43 8		44 9
	8 2	7 3	2 8	26 6		44 9
Other	17	11	2	43	9	—
Totals whole series	242	208	93	833	41	1376
<hr/>						
Totals noticed data	225	197	91	790	—	1303
	17 3	15 1	7 0	60 6		100.0
	100 0	100.0	100.0	100 0		100 0
	17 3	15 1	7 0	60 6		100.0

TABLE f<sub>c</sub> 63 *Location of tumor versus Status of the supra- and infraclavicular lymph nodes.*

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

Location of tumor	Status of the supra- and infraclavicular lymph nodes			
	Considered to contain growth	Other	Totals whole series	Totals noticed data
Medial, subareolar or whole breast	49	685	734	49
	100 0			100.0
	63 6			63 6
	63 6			63 6
Lateral	28	573	601	28
	100 0			100.0
	36 4			36 4
	36 4			36 4
Other	3	79	82	—
Totals whole series	80	1337	1417	80
Totals noticed data	77	—	1335	77
	100 0			100.0
	100.0			100.0
	100 0			100.0

TABLE f<sub>c</sub> 64 *Location of tumor versus Clinical stage (International TNM classification).*

Location of tumor	Clinical stage (International TNM classification)					Totals whole series	Totals noticed data
	Stage I	Stage II	Stage III	Stage IV	Other		
Medial, subareolar or whole breast	205	74	403	51	1	734	733
	28 1	9 8	55 0	7 1			100.0
	53 9	44 3	56 0	79 7			55 0
	15 4	5 5	30 2	3 8			55 0
Lateral	175	93	317	13	3	601	598
	29 3	15 6	53 0	2 2			100.0
	46 1	55 7	44 0	20 3			45 0
	13 1	7 0	23 9	1 0			45 0
Other	34	16	23	5	4	82	—
Totals whole series	414	183	743	69	8	1417	1409
Totals noticed data	380	167	720	64	—	1335	1331
	28 6	12 6	53 9	4 9			100.0
	100.0	100.0	100.0	100.0			100.0
	28 6	12 6	53 9	4 9			100.0

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

TABLE f<sub>c</sub> 65 *Location of tumor versus Clinical stage (International TNM classification)*  
*Stage III is divided into two groups, a: large tumor only, no other symptoms; b: also other symptoms.*

Location of tumor	Clinical stage (International TNM classification)						Totals whole series	Totals noticed data
	Stage I	Stage II	Stage III large tumor only, no other symptoms	Stage III also other symptoms	Stage IV	Other		
Medial, subareolar or whole breast	205 28 0 53 9 15 4	74 10 1 44 3 5 6	81 11 1 46 3 6 1	322 43 9 59 1 24 2	51 7 0 79 7 3 8	1	734	733 100 0 55 1 55 1
Lateral	175 29 3 46 1 13 1	93 15 6 55 7 7 0	94 15 7 53 7 7 1	223 37 3 40 9 16 8	13 2 2 20 3 1 0	3	601	598 100 0 44 9 44 9
Other	34	16	9	14	5	4	82	—
Totals whole series	414	183	184	559	69	8	1417	1409
Totals noticed data	380 28 5 100 0 28 5	167 12 6 100 0 12 6	175 13 2 100 0 13 2	545 40 9 100 0 40 9	64 4 8 100 0 4 8	—	1335	1331 100 0 100 0 100 0

TABLE f<sub>c</sub> 66 *Location of tumor versus Histology of the axillary lymph nodes.*

Location of tumor	Histology of the axillary lymph nodes				
	Histologically negative	Histologically positive	Other	Totals whole series	Totals noticed data
Medial, subareolar or whole breast	194	326	214	734	520
	37.3	62.7			100.0
	51.1	52.9			52.2
	19.5	32.7			52.2
Lateral	186	290	125	601	476
	39.1	60.9			100.0
	48.9	47.1			47.8
	18.7	29.1			47.8
Other	22	36	24	82	—
Totals whole series	402	652	363	1417	1054
Totals noticed data	380	616	—	1335	996
	38.2	61.8			100.0
	100.0	100.0			100.0
	38.2	61.8			100.0

TABLE f<sub>c</sub> 67 *Size of tumor versus Fixation to skin.*

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

Size of tumor	Fixation to skin					Totals whole series	Totals noticed data
	Incomplete skin fixation	Complete skin fixation	Skin fixation wide of tumor but not beyond breast area	Skin not involved	Other		
Not more than 2 cm	32	18	1	136	13	200	187
	17.1	9.6	0.5	72.8			100.0
	9.7	5.5	1.3	24.7			15.0
	2.5	1.4	0.1	11.0			15.0
2-5 cm	180	115	8	265	23	591	568
	31.7	20.2	1.4	46.7			100.0
	54.5	35.3	10.1	48.1			44.3
	13.9	8.9	0.6	20.9			44.3
5-10 cm	109	155	34	135	12	445	433
	25.2	35.8	7.9	31.1			100.0
	33.0	47.5	43.0	24.5			33.2
	8.4	12.0	2.6	10.2			33.2
More than 10 cm	9	38	36	15	2	100	98
	9.2	38.8	36.7	15.3			100.0
	2.7	11.7	45.6	2.7			7.5
	0.7	2.9	2.8	1.1			7.5
Other	19	18	5	30	9	81	-
Totals whole series	349	344	84	581	59	1417	1358
Totals noticed data	330	326	79	551	-	1336	1286
	25.5	25.3	6.1	43.1			100.0
	100.0	100.0	100.0	100.0			100.0
	25.5	25.3	6.1	43.1			100.0

TABLE f<sub>c</sub> 68 *Size of tumor versus Nipple retraction.*

Size of tumor	Nipple retraction				Totals noticed data
	Nipple retraction	No nipple retraction	Other	Totals whole series	
Not more than 2 cm	23	162	15	200	185
	12.4	87.6			100.0
	6.2	18.2			14.7
	1.8	12.8			14.7
2-5 cm	127	434	30	591	561
	22.6	77.4			100.0
	34.1	48.8			44.5
	10.1	34.4			44.5
5-10 cm	171	248	26	445	419
	40.8	59.2			100.0
	46.0	27.9			33.2
	13.6	19.7			33.2
More than 10 cm	51	45	4	100	96
	53.1	46.9			100.0
	13.7	5.1			7.6
	4.0	3.6			7.6
Other	26	44	11	81	-
Totals whole series	398	933	86	1417	1331
Totals noticed data	372	889	-	1336	1261
	29.5	70.5			100.0
	100.0	100.0			100.0
	29.5	70.5			100.0

## Numbers

% Horizontal

% Vertical

% Totals noticed data

TABLE f<sub>c</sub> 69 *Size of tumor versus Pectoral muscle fixation.*

Size of tumor	Pectoral muscle fixation				Totals whole series	Totals noticed data
	No fixation	Incomplete fixation	Complete fixation	Other		
Not more than 2 cm	178	5	2	15	200	185
	96.2	2.7	1.1			100.0
	17.9	3.0	2.2			14.8
	14.3	0.4	0.2			14.8
2-5 cm	456	84	19	32	591	559
	81.6	15.0	3.4			100.0
	45.9	50.9	21.1			44.8
	36.5	6.7	1.5			44.8
5-10 cm	308	63	39	35	445	410
	75.1	15.4	9.5			100.0
	31.0	38.2	43.3			32.8
	24.7	5.0	3.1			32.8
More than 10 cm	52	13	30	5	100	95
	54.7	13.7	31.6			100.0
	5.2	7.9	33.3			7.6
	4.2	1.0	2.4			7.6
Other	64	3	2	12	81	-
Totals whole series	1058	168	92	99	1417	1318
Totals noticed data	994	165	90	-	1336	1249
	79.6	13.2	7.2			100.0
	100.0	100.0	100.0			100.0
	79.6	13.2	7.2			100.0

TABLE f<sub>c</sub> 70 *Size of tumor versus Status of the homolateral axillary lymph nodes.*

Size of tumor	Status of the homolateral axillary lymph nodes				Totals whole series	Totals noticed data
	Single	Multiple	Fixed to one another or to other structures	Considered to contain no growth		
Not more than 2 cm	91	15	4	144	6	194
	16.0	7.7	2.1	74.2		100.0
	13.6	7.7	4.6	18.2		15.0
	2.4	1.2	0.3	11.1		15.0
2-5 cm	102	60	26	390	13	578
	17.6	10.4	4.5	67.4		100.0
	44.7	31.0	29.9	48.4		44.4
	7.8	4.6	2.0	30.0		44.4
5-10 cm	75	99	32	222	17	428
	17.5	23.1	7.5	51.9		100.0
	32.9	51.0	36.8	28.1		32.9
	5.8	7.6	2.5	17.0		32.9
More than 10 cm	20	20	25	33	2	98
	20.4	20.4	25.5	33.7		100.0
	8.8	10.3	28.7	5.3		7.6
	1.5	1.5	1.9	2.7		7.6
Other	14	14	6	44	3	-
Totals whole series	242	208	93	833	41	1376
Totals noticed data	228	194	87	789	-	1298
	17.5	15.0	6.7	60.8		100.0
	100.0	100.0	100.0	100.0		100.0
	17.5	15.0	6.7	60.8		100.0



TABLE f<sub>c</sub> 71 *Size of tumor versus Status of the supra- and infraclavicular lymph nodes.*

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

Size of tumor	Status of the supra- and infraclavicular lymph nodes			
	Considered to contain growth	Other	Totals whole series	Totals noticed data
Not more than 2 cm	3 100 0 4 1 4 1	197	200	3 100.0 4 1 4 1
2-5 cm	17 100 0 23 0 23 0	574	591	17 100.0 23 0 23 0
5-10 cm	26 100 0 35 1 35 1	419	445	26 100.0 35 1 35 1
More than 10 cm	28 100 0 37 8 37 8	72	100	28 100.0 37 8 37 8
Other	6	75	81	-
Totals whole series	80	1337	1417	80
Totals noticed data	74 100 0 100.0 100.0	-	1336	74 100.0 100 0 100 0

TABLE f<sub>c</sub> 72 *Size of tumor versus Clinical stage (International TNM classification).*

Size of tumor	Clinical stage (International TNM classification)					Totals whole series	Totals noticed data
	Stage I	Stage II	Stage III	Stage IV	Other		
Not more than 2 cm	132 66 0 34 9 9 9	42 21 0 26 0 3 1	23 11 5 3 2 1 7	3 1 5 4 5 0 2	-	200	200 100 0 15 0 15 0
2-5 cm	245 41 5 65 1 18 3	124 21 0 74 0 9 3	206 35 0 28 7 15 6	14 2 5 21 2 1 0	2	591	589 100.0 44 2 44 2
5-10 cm	-	-	418 93 9 57 2 31 3	27 6 1 40 9 2 0	-	445	445 100.0 33 3 33 3
More than 10 cm	-	-	78 78 0 10 9 5 8	22 22 0 33 3 1 6	-	100	100 100 0 7 5 7 5
Other	32	15	25	3	6	81	-
Totals whole series	409	181	750	69	8	1417	1409
Totals noticed data	377 28 2 100.0 28 2	166 12 5 100 0 12 5	725 54 4 100 0 54 4	66 4 9 100 0 4 9	-	1336	1334 100 0 100 0 100 0

*Numbers*

% Horizontal

% Vertical

% Totals noticed data

TABLE f<sub>c</sub> 73 *Size of tumor versus Histology of the axillary lymph nodes.*

Size of tumor	Histology of the axillary lymph nodes				Totals noticed data
	Histologically negative	Histologically positive	Other	Totals whole series	
Not more than 2 cm	79	73	48	200	152
	52.0	48.0			100.0
	20.6	11.8			15.3
	7.9	7.3			15.3
2-5 cm	210	257	124	591	467
	45.0	55.0			100.0
	55.1	41.7			46.5
	20.9	25.6			46.5
5-10 cm	85	240	120	445	325
	26.2	73.8			100.0
	22.2	38.6			32.4
	8.5	23.9			32.4
More than 10 cm	8	49	43	100	57
	14.0	86.0			100.0
	2.1	7.9			5.7
	0.8	4.9			5.7
Other	20	33	28	81	-
Totals whole series	402	652	363	1417	1054
Totals noticed data	382	619	-	1336	1001
	38.1	61.9			100.0
	100.0	100.0			100.0
	38.1	61.9			100.0

TABLE f<sub>c</sub> 74 *Fixation to skin versus Nipple retraction.*

Fixation to skin	Nipple retraction			Totals whole series	Totals noticed data
	Nipple retraction	No nipple retraction	Other		
Incomplete skin fixation	107	231	11	349	338
	31.7	68.3			100.0
	27.2	24.8			25.5
	8.1	17.5			25.5
Complete skin fixation	147	186	11	344	333
	44.1	55.9			100.0
	37.4	20.0			25.2
	11.1	14.1			25.2
Skin fixation wide of tumor but not beyond breast area	46	32	6	84	78
	59.0	41.0			100.0
	11.7	3.4			5.9
	3.5	2.4			5.9
Skin not involved	93	481	7	581	574
	16.2	83.8			100.0
	23.6	51.8			43.5
	7.0	36.4			43.5
Other	5	3	51	59	-
Totals whole series	398	933	86	1417	1331
Totals noticed data	393	930	-	1358	1323
	29.7	70.3			100.0
	100.0	100.0			100.0
	29.7	70.3			100.0

TABLE f<sub>c</sub> 75 *Fixation to skin versus Pectoral muscle fixation.*

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

Fixation to skin	Pectoral muscle fixation					Totals noticed data
	No fixation	Incomplete fixation	Complete fixation	Other	Totals whole series	
Incomplete skin fixation	261 77.7 24.8 19.9	65 19.3 38.7 5.0	10 3.0 11.1 0.8	13	349	336 100.0 25.6 25.6
Complete skin fixation	225 69.4 21.4 17.2	54 16.7 32.1 4.1	45 13.9 50.0 3.4	20	344	324 100.0 24.7 24.7
Skin fixation wide of tumor but not beyond breast area	41 53.2 3.9 3.1	9 11.7 5.4 0.7	27 35.1 30.0 2.1	7	84	77 100.0 5.9 5.9
Skin not involved	526 91.7 49.9 40.1	40 7.5 23.8 3.1	8 0.9 8.8 0.6	7	581	574 100.0 43.8 43.8
Other	5	-	2	52	59	-
Totals whole series	1058	168	92	99	1417	1318
Totals noticed data	1053 80.3 100.0 80.3	168 12.8 100.0 12.8	90 6.9 100.0 6.9	-	1358	1311 100.0 100.0 100.0

TABLE f<sub>c</sub> 76 *Fixation to skin versus Status of the homolateral axillary lymph nodes.*

Fixation to skin	Status of the homolateral axillary lymph nodes					Totals whole series	Totals noticed data
	Single	Multiple	Fixed to one another or to other structures	Considered to contain no growth	Other		
Incomplete skin fixation	64 18.5 27.6 4.8	43 12.4 21.3 3.2	23 6.6 24.7 1.7	216 62.5 26.8 16.2	3	349	346 100.0 25.9 25.9
Complete skin fixation	57 17.0 24.6 4.3	73 21.8 36.1 5.5	34 10.1 36.6 2.5	171 51.1 21.1 12.8	9	344	335 100.0 25.1 25.1
Skin fixation wide of tumor but not beyond breast area	13 16.5 5.6 1.0	17 21.5 8.4 1.3	23 29.1 24.7 1.7	26 32.9 3.2 1.9	5	84	79 100.0 5.9 5.9
Skin not involved	98 17.1 42.2 7.3	69 12.0 34.2 5.1	13 2.3 14.0 1.1	394 68.6 48.9 29.6	7	581	574 100.0 43.1 43.1
Other	10	6	-	26	17	59	-
Totals whole series	242	208	93	833	41	1417	1376
Totals noticed data	232 17.4 100.0 17.4	202 15.1 100.0 15.1	93 7.0 100.0 7.0	807 60.5 100.0 60.5	-	1358	1334 100.0 100.0 100.0

## Numbers

% Horizontal

% Vertical

% Totals noticed data

TABLE f<sub>c</sub> 77 *Fixation to skin versus Status of the supra- and infraclavicular lymph nodes*

Fixation to skin	Status of the supra- and infraclavicular lymph nodes			
	Considered to contain growth	Other	Totals whole series	Totals noticed data
Incomplete skin fixation	16 100 0 20 3 20 3	333	349	16 100 0 20 3 20 3
Complete skin fixation	30 100 0 38 0 38 0	314	344	30 100 0 38 0 38 0
Skin fixation wide of tumor but not beyond breast area	22 100 0 27 8 27 8	62	84	22 100 0 27 8 27 8
Skin not involved	11 100 0 14 0 14 0	570	581	11 100 0 14 0 14 0
Other	1	58	59	—
Totals whole series	80	1337	1417	80
Totals noticed data	79 100 0 100.0 100 0	—	1358	79 100.0 100.0 100.0

TABLE f<sub>c</sub> 78 *Fixation to skin versus Clinical stage (International TNM classification).*

Fixation to skin	Clinical stage (International TNM classification)					Totals whole series	Totals noticed data
	Stage I	Stage II	Stage III	Stage IV	Other		
Incomplete skin fixation	118 33 8 30 6 8 7	54 15 5 31 6 4 0	167 47 9 22 9 12 3	10 2 9 15 4 0 7	—	349	349 100.0 25 7 25 7
Complete skin fixation	—	—	314 91 6 42 9 23 0	29 8 5 44 6 2 1	1	344	343 100.0 25 3 25 3
Skin fixation wide of tumor but not beyond breast area	—	—	66 78 6 9 1 4 9	18 21 4 27 7 1 3	—	84	84 100.0 6 2 6 2
Skin not involved	268 46 4 69 4 19 9	118 20 5 68 4 8 8	183 31 7 25 1 13 5	8 1 4 12 3 0 6	4	581	577 100 0 42 8 42 8
Other	28	10	14	4	3	59	—
Totals whole series	414	182	744	69	8	1417	1409
Totals noticed data	386 28 6 100.0 28 6	172 12 9 100 0 12 9	730 53 7 100.0 53 7	65 4 7 100.0 4 7	—	1358	1353 100 0 100 0 100 0

TABLE f<sub>c</sub> 79 *Fixation to skin versus Clinical stage (International TNM classification).*  
*Stage III is divided into two groups, a: large tumor only, no other symptoms; b: also other symptoms.*

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

Fixation to skin	Clinical stage (International TNM classification)						Totals whole series	Totals noticed data
	Stage I	Stage II	Stage III large tumor only, no other symptoms	Stage III also other symptoms	Stage IV	Other		
Incomplete skin fixation	118 33.8 30.6 8.7	54 15.5 31.6 4.0	70 20.1 40.0 5.2	97 27.8 17.5 7.2	10 2.9 15.4 0.7	—	349	349 100.0 25.8 25.8
Complete skin fixation	—	—	—	314 91.6 56.5 23.2	29 8.5 44.6 2.1	1	344	343 100.0 25.4 25.4
Skin fixation wide of tumor but not beyond breast area	—	—	—	66 78.6 11.9 4.9	18 21.4 27.7 1.3	—	84	84 100.0 6.2 6.2
Skin not involved	268 48.0 69.4 19.8	118 21.6 68.4 8.7	105 15.6 60.0 7.7	78 13.3 14.1 5.7	8 1.5 12.3 0.7	4	581	577 100.0 42.6 42.6
Other	28	10	9	5	4	3	59	—
Totals whole series	414	182	184	560	69	8	1417	1409
Totals noticed data	386 28.5 100.0 28.5	172 12.8 100.0 12.8	175 12.9 100.0 12.9	555 40.9 100.0 40.9	65 4.8 100.0 4.8	—	1358	1353 100.0 100.0 100.0

TABLE f<sub>c</sub> 80 *Fixation to skin versus Histology of the homolateral axillary lymph nodes.*

	Histology of the homolateral axillary lymph nodes				
	Histologically negative	Histologically positive	Other	Totals whole series	Totals noticed data
Fixation to skin					
Incomplete skin fixation	108	160	81	349	268
	40.3	59.7			100.0
	28.0	25.5			26.5
	10.7	15.8			26.5
Complete skin fixation	55	180	109	344	235
	23.4	76.6			100.0
	14.2	28.7			23.2
	5.4	17.8			23.2
Skin fixation wide of tumor but not beyond breast area	6	37	41	84	43
	14.0	86.0			100.0
	1.6	5.9			4.2
	0.6	3.7			4.2
Skin not involved	217	250	114	581	467
	47.6	52.4			100.0
	56.3	39.8			46.1
	21.4	24.6			46.1
Other	16	25	18	59	—
Totals whole series	402	652	363	1417	1054
Totals noticed data	386	627	—	1358	1013
	38.1	61.9			100.0
	100.0	100.0			100.0
	38.1	61.9			100.0

## Numbers

% Horizontal

% Vertical

% Totals noticed data

TABLE f<sub>c</sub> 81 *Nipple retraction versus Pectoral muscle fixation.*

Nipple retraction	Pectoral muscle fixation				Totals whole series	Totals noticed data
	No fixation	Incomplete fixation	Complete fixation	Other		
Nipple retraction	286	58	44	10	398	388
	73.7	14.9	11.3			100.0
	27.1	34.9	48.4			29.6
	21.8	4.4	3.4			29.6
No nipple retraction	769	108	47	9	933	924
	83.2	11.7	5.1			100.0
	72.9	65.1	51.6			70.4
	58.6	8.2	3.6			70.4
Other	3	2	1	80	86	-
Totals whole series	1058	168	92	99	1417	1318
<hr/>						
Totals noticed data	1055	166	91	-	1331	1312
	80.4	12.7	6.9			100.0
	100.0	100.0	100.0			100.0
	80.4	12.7	6.9			100.0

TABLE f<sub>c</sub> 82 *Nipple retraction versus Status of the homolateral axillary lymph nodes.*

Nipple retraction	Status of the homolateral axillary lymph nodes					Totals whole series	Totals noticed data
	Single	Multiple	Fixed to one another or to other structures	Considered to contain no growth	Other		
Nipple retraction	62	72	39	220	5	398	393
	15.8	18.3	9.9	56.0			100.0
	27.0	36.2	42.4	27.8			30.0
	4.7	5.5	3.0	16.7			30.0
No nipple retraction	168	127	53	571	14	933	919
	18.3	13.8	5.8	62.1			100.0
	73.0	63.8	57.6	72.2			70.0
	12.8	9.7	4.0	43.5			70.0
Other	12	9	1	42	22	86	-
Totals whole series	242	208	93	833	41	1417	1376
<hr/>							
Totals noticed data	230	199	92	791	-	1331	1312
	17.5	15.2	7.0	60.3			100.0
	100.0	100.0	100.0	100.0			100.0
	17.5	15.2	7.0	60.3			100.0

TABLE f<sub>c</sub> 83 *Nipple retraction versus Status of the supra- and infraclavicular lymph nodes.*

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

Nipple retraction	Status of the supra- and infraclavicular lymph nodes			
	Considered to contain growth	Other	Totals whole series	Totals noticed data
Nipple retraction	30 100 0 39 5 39 5	368	398	30 100.0 39 5 39 5
No nipple retraction	46 100 0 60 5 60 5	887	933	46 100.0 60 5 60 5
Other	4	82	86	—
Totals whole series	80	1337	1417	80
Totals noticed data	76 100 0 100.0 100 0	—	1331	76 100.0 100.0 100 0

TABLE f<sub>c</sub> 84 *Nipple retraction versus Clinical stage (International TNM classification).*

Nipple retraction	Clinical stage (International TNM classification)					Totals whole series	Totals noticed data
	Stage I	Stage II	Stage III	Stage IV	Other		
Nipple retraction	61 15 3 15 9 4 6	28 7 0 16 5 2 1	275 69 1 38 7 20 7	34 8 5 54 0 2 6	—	398	398 100.0 29 9 29 9
No nipple retraction	322 34 6 84 1 24 3	142 15 3 83.5 10.7	435 46 8 61 3 32 8	29 3 2 46 0 2 2	5	933	928 100.0 70 1 70 1
Other	31	13	33	6	3	86	—
Totals whole series	414	183	743	69	8	1417	1409
Totals noticed data	383 28 9 100.0 28 9	170 12 8 100.0 12 8	710 53 5 100.0 53 5	63 4 7 100.0 4 7	—	1331	1326 100.0 100.0 100.0

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

TABLE f<sub>c</sub> 85 *Nipple retraction versus Clinical stage (International TNM classification).*  
*Stage III is divided into two groups, a: large tumor only, no other symptoms; b: also other symptoms.*

Nipple retraction	Clinical stage (International TNM classification)						Totals whole series	Totals noticed data
	Stage I	Stage II	Stage III large tumor only, no other symptoms	Stage III also other symptoms	Stage IV	Other		
Nipple retraction	61	28	55	220	34	—	398	398
	15 3	7 0	13 8	55 3	8 5			100 0
	15 9	16 5	31 8	41 0	54 0			30 0
	4 6	2 1	4 1	16 6	2 6			30 0
No nipple retraction	322	142	118	317	29	5	933	928
	34 7	15 3	12 7	34 2	3 1			100 0
	84 1	83 5	68 2	59 0	46 0			70 0
	24 3	10 7	8 9	23 9	2 2			70 0
Other	31	13	11	22	6	3	86	—
Totals whole series	414	183	184	559	69	8	1417	1409
<hr/>								
Totals noticed data	383	170	173	537	63	—	1331	1326
	28 9	12 8	13 0	40 5	4 8			100 0
	100.0	100.0	100.0	100.0	100.0			100 0
	28 9	12 8	13 0	40 5	4 8			100.0

TABLE f<sub>c</sub> 86 *Nipple retraction versus Histology of the axillary lymph nodes.*

Nipple retraction	Histology of the axillary lymph nodes				Totals whole series	Totals noticed data
	Histologically negative	Histologically positive	Other			
Nipple retraction	70	206	122		398	276
	25 4	74 6				100.0
	18 4	33 3				27 6
	7 0	20 6				27 6
No nipple retraction	311	412	210		933	723
	43 0	57 0				100.0
	81 6	66 7				72 4
	31 1	41 2				72 4
Other	21	34	31		86	—
Totals whole series	402	652	363		1417	1054
<hr/>						
Totals noticed data	381	618	—		1331	999
	38 1	61 9				100.0
	100.0	100.0				100.0
	38 1	61 9				100.0



TABLE f<sub>c</sub> 87 *Pectoral muscle fixation versus Status of the homolateral axillary lymph nodes.*

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

Pectoral muscle fixation	Status of the homolateral axillary lymph nodes					Totals whole series	Totals noticed data
	Single	Multiple	Fixed to one another or to other structures	Considered to contain no growth	Other		
No fixation	176	146	55	668	13	1058	1045
	16.8	14.0	5.2	64.0			100.0
	76.9	75.3	59.8	85.0			80.3
	13.6	11.2	4.2	51.3			80.3
Incomplete fixation	33	28	16	89	2	168	166
	19.9	16.9	9.6	53.6			100.0
	14.4	14.4	17.4	11.2			12.8
	2.5	2.2	1.2	6.9			12.8
Complete fixation	20	20	21	29	2	92	90
	22.2	22.2	23.3	32.3			100.0
	8.7	10.3	22.8	3.8			6.9
	1.5	1.5	1.7	2.2			6.9
Other	13	14	1	47	24	99	-
Totals whole series	242	208	93	833	41	1417	1376
Totals noticed data	229	194	92	786	-	1318	1301
	17.6	14.9	7.1	60.4			100.0
	100.0	100.0	100.0	100.0			100.0
	17.6	14.9	7.1	60.4			100.0

TABLE f<sub>c</sub> 88 *Pectoral muscle fixation versus Status of the supra- and infraclavicular lymph nodes.*

Pectoral muscle fixation	Status of the supra- and infraclavicular lymph nodes			
	Considered to contain growth	Other	Totals whole series	Totals noticed data
No fixation	46	1012	1058	46
	100.0			100.0
	59.7			59.7
	59.7			59.7
Incomplete fixation	12	156	168	12
	100.0			100.0
	15.6			15.6
	15.6			15.6
Complete fixation	19	73	92	19
	100.0			100.0
	24.7			24.7
	24.7			24.7
Other	3	96	99	-
Totals whole series	80	1337	1417	80
Totals noticed data	77	-	1318	77
	100.0			100.0
	100.0			100.0
	100.0			100.0

## Numbers

% Horizontal

% Vertical

% Totals noticed data

TABLE f<sub>c</sub> 89 *Pectoral muscle fixation versus Clinical stage (International TNM classification).*

Pectoral muscle fixation	Clinical stage (International TNM classification)					Totals	Totals
	Stage I	Stage II	Stage III	Stage IV	Other	whole series	noticed data
No fixation	380	165	478	32	3	1058	1055
	36.0	15.7	45.3	3.0			100.0
	100.0	100.0	67.4	52.5			80.3
	28.9	12.5	36.4	2.4			80.3
Incomplete fixation	—	—	157	11	—	168	168
			93.5	6.5			100.0
			22.0	18.0			12.7
			11.9	0.8			12.7
Complete fixation	—	—	74	18	—	92	92
			80.4	19.6			100.0
			10.6	29.5			7.0
			5.6	1.4			7.0
Other	30	14	42	8	5	99	—
Totals whole series	410	179	751	69	8	1417	1409
<hr/>							
Totals noticed data	380	165	709	61	—	1318	1315
	28.9	12.5	54.0	4.6			100.0
	100.0	100.0	100.0	100.0			100.0
	28.9	12.5	54.0	4.6			100.0

TABLE f<sub>c</sub> 90 *Pectoral muscle fixation versus Histology of the axillary lymph nodes.*

Pectoral muscle fixation	Histology of the axillary lymph nodes			Totals	Totals
	Histologically negative	Histologically positive	Other	whole series	noticed data
No fixation	322	490	246	1058	812
	39.7	60.3			100.0
	84.5	80.6			82.1
	32.6	49.5			82.1
Incomplete fixation	46	78	44	168	124
	37.1	62.9			100.0
	12.1	12.8			12.5
	4.7	7.9			12.5
Complete fixation	13	40	39	92	53
	24.5	75.5			100.0
	3.4	6.6			5.4
	1.3	4.0			5.4
Other	21	44	34	99	—
Totals whole series	402	652	363	1417	1054
<hr/>					
Totals noticed data	381	608	—	1318	989
	38.5	61.5			100.0
	100.0	100.0			100.0
	38.5	61.5			100.0

TABLE f<sub>c</sub> 91 *Status of the homolateral axillary lymph nodes versus Status of the supra- and infraclavicular lymph nodes*

Numbers  
% Horizontal  
% Vertical  
% Totals noticed data

Status of the homolateral axillary lymph nodes	Status of the supra- and infraclavicular lymph nodes			
	Considered to contain growth	Other	Totals whole series	Totals noticed data
Single	13 100 0 16 9 16 9	229	242	13 100 0 16 9 16 9
Multiple	21 100 0 27 3 27 3	187	208	21 100 0 27 3 27 3
Fixed to one another or to other structures	23 100 0 29 9 29 9	70	93	23 100 0 29 9 29 9
Considered to contain no growth	20 100 0 26 0 26 0	813	833	20 100 0 26 0 26 0
Other	3	38	41	-
Totals whole series	80	1337	1417	80
Totals noticed data	77 100 0 100 0 100 0	-	1376	77 100 0 100 0 100 0

TABLE f<sub>c</sub> 92 *Status of the homolateral axillary lymph nodes versus Clinical stage (International TNM classification).*

Status of the homolateral axillary lymph nodes	Clinical stage (International TNM classification)					
	Stage I	Stage II	Stage III	Stage IV	Other	Totals whole series
Single	-	98 40 8 51 6 7 3	127 52 9 17 6 9 2	15 6 3 23 1 1 1	2	242
Multiple	-	50 24 0 26 3 3 6	146 70 2 20 2 10 6	12 5 8 18 5 0 9	-	208
Fixed to one another or to other structures	-	-	77 82 9 10 1 5 5	16 17 2 24 6 1 2	-	93
Considered to contain no growth	390 47 0 100 0 28 5	42 5 1 22 1 3 2	376 45 3 52 2 27 4	22 2 7 33 9 1 5	3	833
Other	10	3	21	4	3	41
Totals whole series	400	193	747	69	8	1417
Totals noticed data	390 28 5 100.0 28 5	190 14 1 100 0 14 1	726 52 7 100.0 52 7	65 4 7 100.0 4 7	-	1376

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

TABLE f<sub>c</sub> 93 *Status of the homolateral axillary lymph nodes versus Clinical stage (International TNM classification).*

Stage III is divided into two groups, a: large tumor only, no other symptoms; b: also other symptoms.

Status of the homolateral axillary lymph nodes	Clinical stage (International TNM classification)						Totals whole series	Totals noticed data
	Stage I	Stage II	Stage III large tumor only, no other symptoms	Stage III also other symptoms	Stage IV	Other		
Single	—	98 40.8 51.6 7.3	26 10.8 14.5 2.0	101 42.1 18.6 7.2	15 6.3 23.1 1.1	2	242	240 100.0 17.5 17.5
Multiple	—	50 24.0 26.3 3.6	40 19.2 22.3 2.9	106 51.0 19.5 7.7	12 5.8 18.5 0.9	—	208	208 100.0 15.2 15.2
Fixed to one another or to other structures	—	—	—	77 82.9 13.4 5.6	16 17.2 24.6 1.2	—	93	93 100.0 6.8 6.8
Considered to contain no growth	390 47.0 100.0 28.5	42 5.1 22.1 3.2	113 14.7 63.1 8.2	263 30.6 48.5 19.1	22 2.7 33.9 1.5	3	833	830 100.0 60.5 60.5
Other	10	3	5	16	4	3	41	—
Totals whole series	400	193	184	563	69	8	1417	1409
Totals noticed data	390 28.5 100.0 28.5	190 14.1 100.0 14.1	179 13.1 100.0 13.1	547 39.7 100.0 39.7	65 4.7 100.0 4.7	—	1376	1371 100.0 100.0 100.0

TABLE f<sub>c</sub> 94 *Status of the homolateral axillary lymph nodes versus Histology of the axillary lymph nodes.*

Status of the homolateral axillary lymph nodes	Histology of the axillary lymph nodes				Totals whole series	Totals noticed data
	Histologically negative	Histologically positive	Other			
Single	35 18.1 8.9 3.4	158 81.9 24.8 15.4	49		242	193 100.0 18.8 18.8
Multiple	26 15.1 6.6 2.5	146 84.9 23.0 14.2	36		208	172 100.0 16.7 16.7
Fixed to one another or to other structures	1 1.7 0.3 0.1	58 98.3 9.1 5.6	34		93	59 100.0 5.7 5.7
Considered to contain no growth	330 54.6 84.1 32.1	274 45.4 43.1 26.6	229		833	604 100.0 58.7 58.7
Other	10	16	15		41	—
Totals whole series	402	652	363		1417	1054
Totals noticed data	392 38.1 100.0 38.1	636 61.9 100.0 61.9	—		1376	1028 100.0 100.0 100.0

TABLE f<sub>c</sub> 95 *Status of the supra- and infraclavicular lymph nodes versus Clinical stage (International TNM classification).*

Numbers  
% Horizontal  
% Vertical  
% Totals noticed data

Status of the supra- and infraclavicular lymph nodes	Clinical stage (International TNM classification)					Totals whole series	Totals noticed data
	Stage I	Stage II	Stage III	Stage IV	Other		
Considered to contain growth	-	-	66	14	-	80	80
			82.5	17.5			100.0
			100.0	100.0			100.0
			82.5	17.5			100.0
Other	414	183	677	55	8	1337	-
Totals whole series	414	183	743	69	8	1417	1409

Totals noticed data	-	-	66	14	-	80	80
			82.5	17.5			100.0
			100.0	100.0			100.0
			82.5	17.5			100.0

TABLE f<sub>c</sub> 96 *Status of the supra- and infraclavicular lymph nodes versus Clinical stage (International TNM classification). Stage III is divided into two groups, a: large tumor only, no other symptoms; b: also other symptoms.*

Status of the supra- and infraclavicular lymph nodes	Clinical stage (International TNM classification)					Totals whole series	Totals noticed data
	Stage I	Stage II	Stage III large tumor only, no other symptoms	Stage III also other symptoms	Stage IV		
Considered to contain growth	-	-	-	66	14	80	80
				82.5	17.5		100.0
				100.0	100.0		100.0
				82.5	17.5		100.0
Other	414	183	184	493	55	1337	-
Totals whole series	414	183	184	559	69	1417	1409

Totals noticed data	-	-	-	66	14	80	80
				82.5	17.5		100.0
				100.0	100.0		100.0
				82.5	17.5		100.0

Numbers  
 % Horizontal  
 % Vertical  
 % Totals noticed data

TABLE f<sub>c</sub> 97 *Status of the supra- and infraclavicular lymph nodes versus Histology of the axillary lymph nodes*

Status of the supra- and infraclavicular lymph nodes	Histology of the axillary lymph nodes				
	Histologically negative	Histologically positive	Other	Totals whole series	Totals noticed data
Considered to contain growth	5	38	37	80	43
	11.6	88.4			100.0
	100.0	100.0			100.0
	11.6	88.4			100.0
Other	397	614	326	1337	-
Totals whole series	402	652	363	1417	1054
<hr/>					
Totals noticed data	5	38	-	80	43
	11.6	88.4			100.0
	100.0	100.0			100.0
	11.6	88.4			100.0

TABLE f<sub>c</sub> 98 *Clinical stage (International TNM classification) versus Histology of the axillary lymph nodes*

Clinical stage (International TNM classification)	Histology of the axillary lymph nodes				
	Histologically negative	Histologically positive	Other	Totals whole series	Totals noticed data
Stage I	199	117	98	414	316
	63.0	37.0			100.0
	49.6	18.0			30.1
	19.0	11.2			30.1
Stage II	51	112	20	183	163
	31.3	68.7			100.0
	12.7	17.3			15.6
	4.8	10.7			15.6
Stage III	147	398	198	743	545
	27.0	73.0			100.0
	36.7	61.2			51.8
	13.9	37.9			51.8
Stage IV	4	22	43	69	26
	15.4	84.6			100.0
	1.0	3.5			2.5
	0.4	2.1			2.5
Other	1	3	4	8	-
Totals whole series	402	652	363	1417	1054
<hr/>					
Totals noticed data	401	649	-	1409	1050
	38.1	61.9			100.0
	100.0	100.0			100.0
	38.1	61.9			100.0

TABLE f<sub>c</sub> 99 *Clinical stage (International TNM classification). Stage III is divided into two groups, a: large tumor only, no other symptoms; b: also other symptoms, versus Histology of the axillary lymph nodes.*

Numbers  
% Horizontal  
% Vertical  
% Totals noticed data

Clinical stage (International TNM classification)	Histology of the axillary lymph nodes				
	Histologically negative	Histologically positive	Other	Totals whole series	Totals noticed data
Stage I	199 63.0 49.6 19.0	117 37.0 18.0 11.1	98	414	316 100.0 30.1 30.1
Stage II	51 31.3 12.7 4.9	112 68.7 17.3 10.7	20	183	163 100.0 15.5 15.5
Stage III large tumor only, no other symptoms	43 30.1 10.7 4.1	100 69.9 15.4 9.5	41	184	143 100.0 13.6 13.6
Stage III also other symptoms	104 25.9 25.9 9.9	298 74.1 45.9 28.4	157	559	402 100.0 38.3 38.3
Stage IV	4 15.4 1.0 0.4	22 84.6 3.4 2.1	49	69	26 100.0 2.5 2.5
Other	1	3	4	8	-
Totals whole series	402	652	363	1417	1054
Totals noticed data	401 38.2 100.0 38.2	649 61.8 100.0 61.8	-	1409	1050 100.0 100.0 100.0

**PART II    FIGURES TO BE USED IN COMPUTING THE CURATIVE  
OPERABILITY. TABLES O1-O8**

*Occurrence of local and/or regional recurrences and distant metastases, 5 years follow up and 10 years follow up (each clinical stage considered as 100 percent).*

**TABLE O 1   5 years follow up.**

			Clinical stage (International TNM classification)				Totals
			Stage I	Stage II	Stage III	Stage IV*	
<b>I</b>	Local/regional recurrence and/or distant metastases	No	120	61	351	40	572
		%	42.9	48.8	66.6	85.1	58.4
<b>II</b>	Local/regional recurrence without simultaneous distant metastases	No	12	7	53	9	75
		%	4.3	5.6	10.3	6.2	7.7
<b>I minus II</b>	Distant metastases	No	108	54	298	37	497
		%	38.8	42.9	56.3	78.7	50.7
<b>III</b>	First signs of local/regional recurrence and/or distant metastases within 3 years	No	74	51	270	37	432
		%	26.4	40.8	51.2	78.7	44.1
<b>IV</b>	Local/regional recurrence without simultaneous distant metastases within 3 years	No	8	5	38	3	54
		%	2.9	4.0	7.2	6.2	5.5
<b>III minus IV</b>	First signs of distant metastases within 3 years (Cf table O 3)	No	66	46	232	34	378
		%	23.7	36.5	43.9	72.3	38.6
<b>V</b>	First signs of local/regional recurrence and/or distant metastases after 4 years or later	No	46	10	81	3	140
		%	16.4	8.0	15.4	6.2	14.3
<b>VI</b>	Local/regional recurrence without simultaneous distant metastases after 4 years or later	No	4	2	15	—	21
		%	1.4	1.6	2.8	—	2.1
<b>V minus VI</b>	First signs of distant metastases after 4 years or later	No	42	8	66	3	119
		%	15.1	6.3	12.5	6.2	12.1
<b>VII</b>	No local/regional recurrence and/or distant metastases	No	158	65	178	7	408
		%	57.1	51.2	33.4	14.9	41.6
<b>I + VII</b>	Whole series	No	278	126	529	47	980
		%	100.0	100.0	100.0	100.0	100.0

\* Stage IV    occurrence of new signs of tumor growth

*For example*

Stage I    66 patients with first signs of distant metastases within 3 years This is 23.7% of 278 patients in stage I of the whole series



TABLE O 2 10 years follow up.

			Clinical stage (International TNM classification)				
			Stage I	Stage II	Stage III	Stage IV*	Totals
I	Local/regional recurrence and/or distant metastases	No. 46 % 50.5	21 55.3	157 75.1	18 94.7	242 67.8	
II	Local/regional recurrence without simultaneous distant metastases	No. 4 % 4.4	2 5.3	29 13.9	1 5.3	36 10.1	
I minus II	Distant metastases	No. 42 % 46.2	19 50.0	128 61.2	17 89.5	206 57.7	
III	First signs of local/regional recurrence and/or distant metastases within 3 years	No. 28 % 30.8	17 44.7	115 55.0	17 89.5	177 49.6	
IV	Local/regional recurrence without simultaneous distant metastases within 3 years	No. 3 % 3.3	2 5.3	17 8.1	1 5.3	23 6.4	
III minus IV	First signs of distant metastases within 3 years (Cf table O 4)	No. 25 % 27.5	15 39.5	98 46.9	16 84.2	154 43.1	
V	First signs of local/regional recurrence and/or distant metastases after 4 years or later	No. 18 % 19.8	4 10.5	42 20.1	1 5.3	65 18.2	
VI	Local/regional recurrence without simultaneous distant metastases after 4 years or later	No. 1 % 1.1	— —	12 5.7	— —	13 3.6	
V minus VI	First signs of distant metastases after 4 years or later	No. 17 % 18.7	4 10.5	30 14.4	1 5.3	52 14.6	
VII	No local/regional recurrence and/or distant metastases	No. 45 % 49.5	17 44.7	52 24.9	1 5.3	115 32.2	
I + VII	Whole series 10 years follow up	No. 91 % 100.0	38 100.0	209 100.0	19 100.0	357 100.0	

\* Stage IV occurrence of new signs of tumor growth

For example

Stage I. 25 patients with first signs of distant metastases within 3 years. This is 27.5% of 91 patients in stage I of the whole series.

*Estimation of curative operability on the basis of 'first signs of distant metastases within 3 years after starting treatment' by stage (each clinical stage and combination of stages (International TNM classification) considered as 100 percent).*

**TABEL O 3 5 years follow up.**

Clinical Stage (International TNM classification)		Distant* metastases within 3 years	'Curative operability' %	100 %
Stage I	No.	66		
	%	23.7	76.3	1.31
Stage II	No.	46		
	%	36.5	63.5	1.57
Stage III	No.	232		
	%	43.9	56.1	1.78
Stage I + II	No.	112		
	%	27.7	72.3	1.38
Stage II + III	No.	278		
	%	42.4	57.6	1.74
Stage I + II + III	No.	344		
	%	36.9	63.1	1.58
Whole series Including stage IV	No.	378		
	%	38.6	61.4	1.63

*For example:*

Stage I: 66 patients with distant metastases within 3 years. This is 23.7% of 278 patients in stage I of the whole series.

This means 23.7% 'curative inoperable' and  $100.0 - 23.7 = 76.3\%$  'curative operable'.

$$\frac{100}{76.3\%} = 1.31 \text{ (Cf. Appendix: table } \frac{100}{\%})$$

**TABLE O 4 10 years follow up.**

Clinical stage (International TNM classification)		Distant* metastases within 3 years	'Curative operability' %	100 %
Stage I	No.	25		
	%	27.5	72.5	1.38
Stage II	No.	15		
	%	39.5	60.5	1.65
Stage III	No.	98		
	%	46.9	53.1	1.88
Stage I + II	No.	40		
	%	31.0	69.0	1.45
Stage II + III	No.	113		
	%	45.7	54.3	1.84
Stage I + II + III	No.	138		
	%	40.8	59.2	1.69
Whole series Including Stage IV	No.	154		
	%	43.1	56.9	1.76

\* local and/or regional recurrence without simultaneous distant metastases are not considered.

*Prognosis (in absolute and relative frequencies) by stage (each clinical stage considered as 100 percent).*

TABLE O 5 5 years follow up.

Clinical Stage (International TNM classification)		Alive without signs of tumor	Alive with signs of tumor	Died of tumor within 3 years (Cf table O7)	Died of tumor after 4 years or later	Death from cause other than tumor or unknown	Totals
Stage I	No	140	10	59	49	20	278
	%	50.4	3.6	21.2	17.6	7.2	100.0
Stage II	No	53	5	40	15	13	126
	%	42.1	4.0	31.7	11.9	10.3	100.0
Stage III	No	132	8	226	110	53	529
	%	25.0	1.5	42.7	20.8	10.0	100.0
Stage IV	No	—	—	41	4	2	47
	%	—	—	87.2	8.5	4.3	100.0
Whole series	No	325	23	366	178	88	980
5 years follow up	%	33.3	2.2	37.3	18.2	9.0	100.0

TABLE O 6 10 years follow up.

Clinical Stage (International TNM classification)		Alive without signs of tumor	Alive with signs of tumor	Died of tumor within 3 years (Cf table O8)	Died of tumor after 4 years or later	Death from cause other than tumor or unknown	Totals
Stage I	No	39	1	24	21	6	91
	%	42.9	1.1	26.4	23.1	6.6	100.0
Stage II	No	14	2	14	4	4	38
	%	36.8	5.3	36.8	10.5	10.5	100.0
Stage III	No	42	—	97	54	16	209
	%	20.1	—	46.4	25.8	7.7	100.0
Stage IV	No	1	—	17	1	—	19
	%	5.3	—	89.5	5.3	—	100.0
Whole series	No	96	3	152	80	26	357
10 years follow up	%	26.9	0.8	42.6	22.4	7.3	100.0

*Estimation of the curative operability on the basis of 'died of tumor within 3 years after starting treatment' by stage (each clinical stage and combination of stages (International TNM classification) considered as 100 percent).*

**TABEL O 7 5 years follow up.**

Clinical stage (International TNM classification)	Died of tumor within 3 years		'Curative operability'	100
			%	%
Stage I	No.	59		
	%	21.2	78.8	1.27
Stage II	No.	40		
	%	31.7	68.3	1.46
Stage III	No.	226		
	%	42.7	57.3	1.75
Stage I + II	No.	99		
	%	24.5	75.5	1.32
Stage II + III	No.	266		
	%	40.5	59.5	1.68
Stage I + II + III	No.	325		
	%	34.7	65.3	1.53
Whole series Including stage IV	No.	366		
	%	37.3	62.7	1.59

*For example:*

Stage I. 59 patients died of tumor within 3 years. This is 21.2% of 278 patients in stage I of the whole series. This means 21.2% 'curative inoperable' and 100.0 — 21.2 = 78.8% 'curative operable'.

$$\frac{100}{78.8\%} = 1.27 \text{ (Cf Appendix' table } \frac{100}{\%} \text{)}.$$

**TABEL O 8 10 years follow up.**

Clinical stage (International TNM classification)	Died of tumor within 3 years		'Curative operability'*	100
			%	%
Stage I	No.	24		
	%	26.4	73.6	1.36
Stage II	No.	14		
	%	36.8	63.2	1.58
Stage III	No.	97		
	%	46.4	53.6	1.87
Stage I + II	No.	38		
	%	29.5	70.5	1.42
Stage II + III	No.	111		
	%	44.9	55.1	1.81
Stage I + II + III	No.	135		
	%	39.9	60.1	1.66
Whole series Including stage IV	No.	152		
	%	42.6	57.4	1.74

\* Cf Fig. III-1.

**PART III    FIGURES TO BE USED IN COMPUTING THE NUMBER OF  
MEMBERS OF THE GENERAL FEMALE POPULATION  
REQUIRED FOR A CLINICAL TRIAL**

**TABLE P** *Our computation of factor P on the basis of the annual number of deaths from malignant disease of the breast in females (modification from Segi, 1966).*

Country	Female population	Number of deaths from malignant disease of the breast	Percentage of deaths from malignant disease of the breast	Factor P ( $\frac{100}{\%}$ )
Canada	9,361,600	2,353	0.025	$4.0 \times 10^3$
Chile	4,194,413	291	0.007	$14.2 \times 10^3$
USA (white)	84,538,000	21,985	0.026	$3.9 \times 10^3$
USA (non-white)	11,367,000	2,020	0.017	$5.9 \times 10^3$
Israel	1,043,061	258	0.025	$4.0 \times 10^3$
Japan	48,925,000	1,857	0.0038	$26 \times 10^3$
Germany (Federal republic)	30,361,700	8,389	0.028	$3.6 \times 10^3$
Austria	3,824,644	1,048	0.027	$3.7 \times 10^3$
Belgium	4,757,001	1,576	0.033	$3.0 \times 10^3$
Denmark	2,361,217	873	0.037	$2.7 \times 10^3$
Finland	2,351,061	351	0.015	$0.67 \times 10^3$
France	24,424,823	6,305	0.026	$3.9 \times 10^3$
Ireland	1,414,674	399	0.028	$3.6 \times 10^3$
Italy	26,269,077	5,354	0.020	$5.0 \times 10^3$
Norway	1,839,649	495	0.027	$3.7 \times 10^3$
The Netherlands	6,003,373	1,819	0.030	$3.3 \times 10^3$
Portugal	4,735,900	662	0.014	$7.1 \times 10^3$
England and Wales	24,193,700	9,442	0.040	$2.5 \times 10^3$
Scotland	2,705,200	975	0.036	$2.8 \times 10^3$
Northern Ireland	741,000	185	0.025	$4.0 \times 10^3$
Sweden	3,810,352	1,179	0.031	$3.2 \times 10^3$
Switzerland	2,973,900	905	0.030	$3.3 \times 10^3$
Australia	5,409,783	1,278	0.024	$4.2 \times 10^3$
New Zealand	1,265,348	337	0.027	$3.7 \times 10^3$

# PART IV AIDS TO THE CALCULATION OF THE MULTIPLICATION-

FACTOR  $\frac{100}{\%}$

	0	1	2	3	4	5	6	7	8	9
0		1000 00	500 00	333 33	250 00	200 00	166 67	142 86	125 00	111 11
1	100 00	90 91	83 33	76 92	71 43	66 67	62 50	58 82	55 56	52 63
2	50 00	47 62	45 45	43 48	41 67	40 00	38 46	37 04	35 71	34 48
3	33 33	32 26	31 25	30 30	29 41	28 57	27 78	27 03	26 32	25 64
4	25 00	24 39	23 81	23 26	22 73	22 22	21 74	21 28	20 83	20 41
5	20 00	19 61	19 23	18 87	18 52	18 18	17 86	17 54	17 24	16 95
6	16 67	16 39	16 13	15 87	15 62	15 38	15 15	14 93	14 71	14 49
7	14 29	14 08	13 89	13 70	13 51	13 33	13 16	12 99	12 82	12 66
8	12 50	12 35	12 20	12 05	11 90	11 76	11 63	11 49	11 36	11 24
9	11 11	10 99	10 87	10 75	10 64	10 53	10 42	10 31	10 20	10 10
10	10 00	9 90	9 80	9 71	9 62	9 52	9 43	9 35	9 26	9 17
11	9 09	9 01	8 93	8 85	8 77	8 70	8 62	8 55	8 47	8 40
12	8 33	8 26	8 20	8 13	8 06	8 00	7 94	7 87	7 81	7 75
13	7 69	7 63	7 58	7 52	7 46	7 41	7 35	7 30	7 25	7 19
14	7 14	7 09	7 04	6 99	6 94	6 90	6 85	6 80	6 76	6 71
15	6 67	6 62	6 58	6 54	6 49	6 45	6 41	6 37	6 33	6 29
16	6 25	6 21	6 17	6 13	6 10	6 06	6 02	5 99	5 95	5 92
17	5 88	5 85	5 81	5 78	5 75	5 71	5 68	5 65	5 62	5 59
18	5 56	5 52	5 49	5 46	5 43	5 41	5 38	5 35	5 32	5 29
19	5 26	5 24	5 21	5 18	5 15	5 13	5 10	5 08	5 05	5 03
20	5 00	4 98	4 95	4 93	4 90	4 88	4 85	4 83	4 81	4 78
21	4 76	4 74	4 72	4 69	4 67	4 65	4 63	4 61	4 59	4 57
22	4 55	4 52	4 50	4 48	4 46	4 44	4 42	4 41	4 39	4 37
23	4 35	4 33	4 31	4 29	4 27	4 26	4 24	4 22	4 20	4 18
24	4 17	4 15	4 13	4 12	4 10	4 08	4 07	4 05	4 03	4 02
25	4 00	3 98	3 97	3 95	3 94	3 92	3 91	3 89	3 88	3 86
26	3 85	3 83	3 82	3 80	3 79	3 77	3 76	3 75	3 73	3 72
27	3 70	3 69	3 68	3 66	3 65	3 64	3 62	3 61	3 60	3 58
28	3 57	3 56	3 55	3 53	3 52	3 51	3 50	3 48	3 47	3 46
29	3 45	3 44	3 42	3 41	3 40	3 39	3 38	3 37	3 36	3 34
30	3 33	3 32	3 31	3 30	3 29	3 28	3 27	3 26	3 25	3 24
31	3 23	3 22	3 21	3 19	3 18	3 17	3 16	3 15	3 14	3 13
32	3 12	3 12	3 11	3 10	3 09	3 08	3 07	3 06	3 05	3 04
33	3 03	3 02	3 01	3 00	2 99	2 99	2 98	2 97	2 96	2 95
34	2 94	2 93	2 92	2 92	2 91	2 90	2 89	2 88	2 87	2 87
35	2 86	2 85	2 84	2 83	2 82	2 82	2 81	2 80	2 79	2 79
36	2 78	2 77	2 76	2 75	2 75	2 74	2 73	2 72	2 72	2 71
37	2 70	2 70	2 69	2 68	2 67	2 67	2 66	2 65	2 65	2 64
38	2 63	2 62	2 62	2 61	2 60	2 60	2 59	2 58	2 58	2 57
39	2 56	2 56	2 55	2 54	2 54	2 53	2 53	2 52	2 51	2 51
40	2 50	2 49	2 49	2 48	2 48	2 47	2 46	2 46	2 45	2 44
41	2 44	2 43	2 43	2 42	2 42	2 41	2 40	2 40	2 39	2 39
42	2 38	2 38	2 37	2 36	2 36	2 35	2 35	2 34	2 34	2 33
43	2 33	2 32	2 31	2 31	2 30	2 30	2 29	2 29	2 28	2 28
44	2 27	2 27	2 26	2 26	2 25	2 25	2 24	2 24	2 23	2 23
45	2 22	2 22	2 21	2 21	2 20	2 20	2 19	2 19	2 18	2 18
46	2 17	2 17	2 16	2 16	2 16	2 15	2 15	2 14	2 14	2 13
47	2 13	2 12	2 12	2 11	2 11	2 11	2 10	2 10	2 09	2 09
48	2 08	2 08	2 07	2 07	2 07	2 06	2 06	2 05	2 05	2 04
49	2 04	2 04	2 03	2 03	2 02	2 02	2 02	2 01	2 01	2 00

For example  $\frac{100}{35.6} = 2.81$

	0	1	2	3	4	5	6	7	8	9
50	2 00	2 00	1 99	1 99	1 98	1 98	1 98	1 97	1 97	1 96
51	1 96	1 96	1 95	1 95	1 95	1 94	1 94	1 93	1 93	1 93
52	1 92	1 92	1 92	1 91	1 91	1 90	1 90	1 90	1 89	1 89
53	1 89	1 88	1 88	1 88	1 87	1 87	1 87	1 86	1 86	1 86
54	1 85	1 85	1 85	1 84	1 84	1 83	1 83	1 83	1 82	1 82
55	1 82	1 81	1 81	1 81	1 81	1 80	1 80	1 80	1 79	1 79
56	1 79	1 78	1 78	1 78	1 77	1 77	1 77	1 76	1 76	1 76
57	1 75	1 75	1 75	1 75	1 74	1 74	1 74	1 73	1 73	1 73
58	1 72	1 72	1 72	1 71	1 71	1 71	1 71	1 70	1 70	1 70
59	1 69	1 69	1 69	1 69	1 68	1 68	1 68	1 68	1 67	1 67
60	1 67	1 66	1 66	1 66	1 66	1 65	1 65	1 65	1 64	1 64
61	1 64	1 64	1 63	1 63	1 63	1 63	1 62	1 62	1 62	1 62
62	1 61	1 61	1 61	1 61	1 60	1 60	1 60	1 59	1 59	1 59
63	1 59	1 58	1 58	1 58	1 58	1 57	1 57	1 57	1 57	1 56
64	1 56	1 56	1 56	1 56	1 55	1 55	1 55	1 55	1 54	1 54
65	1 54	1 54	1 53	1 53	1 53	1 53	1 52	1 52	1 52	1 52
66	1 52	1 51	1 51	1 51	1 51	1 50	1 50	1 50	1 50	1 49
67	1 49	1 49	1 49	1 49	1 48	1 48	1 48	1 48	1 47	1 47
68	1 47	1 47	1 47	1 46	1 46	1 46	1 46	1 46	1 45	1 45
69	1 45	1 45	1 45	1 44	1 44	1 44	1 44	1 43	1 43	1 43
70	1 43	1 43	1 42	1 42	1 42	1 42	1 42	1 41	1 41	1 41
71	1 41	1 41	1 40	1 40	1 40	1 40	1 40	1 39	1 39	1 39
72	1 39	1 39	1 39	1 38	1 38	1 38	1 38	1 38	1 37	1 37
73	1 37	1 37	1 37	1 36	1 36	1 36	1 36	1 36	1 36	1 35
74	1 35	1 35	1 35	1 35	1 34	1 34	1 34	1 34	1 34	1 34
75	1 33	1 33	1 33	1 33	1 33	1 32	1 32	1 32	1 32	1 32
76	1 32	1 31	1 31	1 31	1 31	1 31	1 31	1 30	1 30	1 30
77	1 30	1 30	1 30	1 29	1 29	1 29	1 29	1 29	1 29	1 28
78	1 28	1 28	1 28	1 28	1 28	1 27	1 27	1 27	1 27	1 27
79	1 27	1 26	1 26	1 26	1 26	1 26	1 26	1 25	1 25	1 25
80	1 25	1 25	1 25	1 25	1 24	1 24	1 24	1 24	1 24	1 24
81	1 23	1 23	1 23	1 23	1 23	1 23	1 23	1 22	1 22	1 22
82	1 22	1 22	1 22	1 22	1 21	1 21	1 21	1 21	1 21	1 21
83	1 20	1 20	1 20	1 20	1 20	1 20	1 20	1 19	1 19	1 19
84	1 19	1 19	1 19	1 19	1 18	1 18	1 18	1 18	1 18	1 18
85	1 18	1 18	1 17	1 17	1 17	1 17	1 17	1 17	1 17	1 16
86	1 16	1 16	1 16	1 16	1 16	1 16	1 15	1 15	1 15	1 15
87	1 15	1 15	1 15	1 15	1 14	1 14	1 14	1 14	1 14	1 14
88	1 14	1 14	1 13	1 13	1 13	1 13	1 13	1 13	1 13	1 12
89	1 12	1 12	1 12	1 12	1 12	1 12	1 12	1 11	1 11	1 11
90	1 11	1 11	1 11	1 11	1 11	1 10	1 10	1 10	1 10	1 10
91	1 10	1 10	1 10	1 10	1 09	1 09	1 09	1 09	1 09	1 09
92	1 09	1 09	1 08	1 08	1 08	1 08	1 08	1 08	1 08	1 08
93	1 08	1 07	1 07	1 07	1 07	1 07	1 07	1 07	1 07	1 06
94	1 06	1 06	1 06	1 06	1 06	1 06	1 06	1 06	1 05	1 05
95	1 05	1 05	1 05	1 05	1 05	1 05	1 05	1 04	1 04	1 04
96	1 04	1 04	1 04	1 04	1 04	1 04	1 04	1 03	1 03	1 03
97	1 03	1 03	1 03	1 03	1 03	1 03	1 02	1 02	1 02	1 02
98	1 02	1 02	1 02	1 02	1 02	1 02	1 01	1 01	1 01	1 01
99	1 01	1 01	1 01	1 01	1 01	1 01	1 00	1 00	1 00	1 00

# PART V    INSERTION (MAMMA) FORM

**No Clinical record:**

**Year:**

Clinic:

Date of admission:

Physician:

Patient's marital state: unmarried/married/widowed/divorced.

Name of patient:

(can be omitted provided No. of clinical record is known)

Date of birth:

male patient ☐

Profession of patient/husband:

Residence:

Family Doctor:

## History (Tick appropriate box)

Duration of symptoms:

First consultation of Family Doctor:

Surgeon:

Commencement of treatment:

How was the tumor discovered:

- a) Accidentally by patient ☐
- b) Deliberate self-examination ☐
- c) By periodic medical examination ☐
- d) Accidentally by physician ☐
- e) With reference to breast complaints ☐
- f) With reference to other complaints (e.g. metastases) ☐

Symptoms:

- Tumor ☐
- Pain ☐
- Secretion ☐
- Discoloration ☐
- Swelling ☐

Year of menarche

Cycle \_\_\_\_\_ d /irregular ☐ disturbances:  
w

Year of marriage(s)	1st	, 2nd	, 3rd	,	,	,	,
Dates of confinements	1st	, 2nd	, 3rd	,	,	,	,
Duration of nursing	1st	, 2nd	, 3rd	,	,	,	,
Puerperal mastitis	1st	, 2nd	, 3rd	,	,	,	,
Dates of abortions	1st	, 2nd	, 3rd	,	,	,	,
Year menopause	castration <input type="checkbox"/>		operative <input type="checkbox"/>	X-Ray <input type="checkbox"/>			

Mastopathia:    none ☐    moderate ☐    serious ☐

Pregnant at time of discovery or treatment of tumor ☐

Nursing during discovery or treatment of tumor ☐

Other diagnoses:





**Clinical findings** (draw in scheme) (Use of histological findings not allowed)

<b>Mammary tumor (T)</b>	Occult tumor	(T0)	<input type="checkbox"/>
	Tumor manifest		<input type="checkbox"/>
	Right <input type="checkbox"/> Left <input type="checkbox"/>		
<b>Location</b> (supine position)	medial half . . . . .	(170.1)	<input type="checkbox"/>
	lateral half . . . . .	(170.2)	<input type="checkbox"/>
	subareolar (central) . . . . .	(170.3)	<input type="checkbox"/>
	other localizations . . . . .	(170.4)	<input type="checkbox"/>
	not recorded . . . . .	(170.9)	<input type="checkbox"/>
<b>Size</b>	biggest diameter 2 cm or less	(T1)	<input type="checkbox"/>
	” ” 2–5 cm	(T2)	<input type="checkbox"/>
	” ” 5–10 cm	(T3)	<input type="checkbox"/>
	” ” more than 10 cm	(T4)	<input type="checkbox"/>
<b>Consistency:</b>	soft <input type="checkbox"/> elastic <input type="checkbox"/> fluctuating		<input type="checkbox"/>
	solid <input type="checkbox"/> hard <input type="checkbox"/>		<input type="checkbox"/>
<b>Boundaries:</b>	sharp <input type="checkbox"/> rounded <input type="checkbox"/> vague		<input type="checkbox"/>
<b>Skin</b>	Skin not involved . . . . .	(T1)	<input type="checkbox"/>
	Incomplete fixation (limited movement or wrinkling) . . . . .	(T2)	<input type="checkbox"/>
	Complete fixation (infiltration, ulceration) or peau d'orange over tumor	(T3)	<input type="checkbox"/>
	Skin fixation wide of tumor but not beyond breast area . . . . .	(T4)	<input type="checkbox"/>
<b>Paget's disease</b>	Limited to the nipple . . . . .	(T1)	<input type="checkbox"/>
	Outside the nipple . . . . .	(T2)	<input type="checkbox"/>
<b>Nipple retraction</b>	Nipple retraction . . . . .	(T2)	<input type="checkbox"/>
<b>Pectoral muscle fixation</b>	No fixation . . . . .	(T1)	<input type="checkbox"/>
	Incomplete fixation (contraction reduces mobility of tumor) . . . . .	(T3a)	<input type="checkbox"/>
	Complete fixation (contraction eliminates mobility of tumor) . . . . .	(T3b)	<input type="checkbox"/>
<b>Chest wall attachment</b>	No fixation . . . . .	(T1)	<input type="checkbox"/>
	Fixation . . . . .	(T4)	<input type="checkbox"/>
<b>Regional lymphnodes (N)</b>			
<b>Homolateral lymphnodes</b>	Not palpable . . . . .	(N0)	<input type="checkbox"/>
	Palpable, still movable		
	Considered to contain no growth . . . . .	(N1a)	<input type="checkbox"/>
	Considered to contain growth . . . . .	(N1b)	<input type="checkbox"/>
	Single . . . . .	(N1x)	<input type="checkbox"/>
	Multiple . . . . .	(N1y)	<input type="checkbox"/>
	Fixed to one another . . . . .	(N2a)	<input type="checkbox"/>
	Fixed to other structures . . . . .	(N2b)	<input type="checkbox"/>
<b>Homolateral supra- or infraclavicular lymph nodes</b>	Movable or fixed . . . . .	(N3)	<input type="checkbox"/>
<b>Arm edema</b>	Present . . . . .	(N3)	<input type="checkbox"/>
<b>Distant metastases (M)</b>			
	No distant metastases . . . . .	(M0)	<input type="checkbox"/>
	Distant metastases:		
	Skin wide from breast . . . . .	(M)	<input type="checkbox"/>
	Heterolateral lymphnodes or breast . . . . .	(M)	<input type="checkbox"/>
	Lungs, pleura . . . . .	(M)	<input type="checkbox"/>
	Bony metastases . . . . .	(M)	<input type="checkbox"/>
	Liver . . . . .	(M)	<input type="checkbox"/>
	Other sites . . . . .	(M)	<input type="checkbox"/>


Diameter cm

Boundaries


a) Sharp 

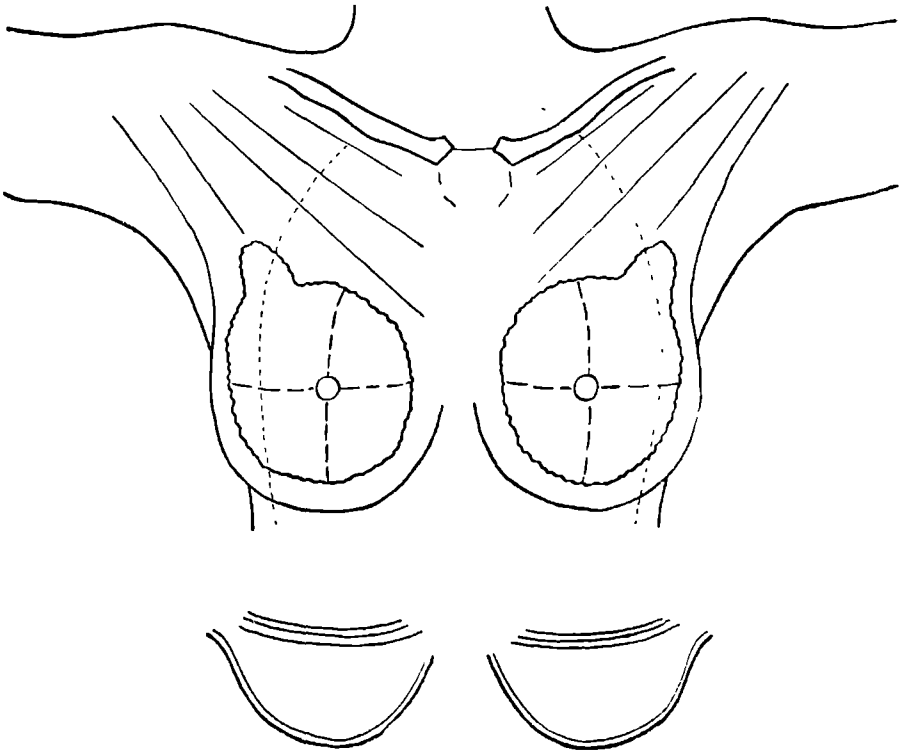
b) Diffuse 

Relation to surroundings:

Ulceration 

Ingrowth 

Incomplete fixation 



Staging

Carcinoma mammae		right	left	} = Stage	
T	N				
T	N				Stage I - T <sub>1</sub> N <sub>0</sub> M <sub>0</sub>
N	N				- T <sub>2</sub> N <sub>0</sub> M <sub>0</sub>
M	N				Stage II - T <sub>1</sub> N <sub>1</sub> M <sub>0</sub>
	N				- T <sub>2</sub> N <sub>1</sub> M <sub>0</sub>
	N				Stage III - T <sub>1</sub> N <sub>2</sub> or N <sub>3</sub> M <sub>0</sub>
	N				- T <sub>2</sub> N <sub>2</sub> or N <sub>3</sub> M <sub>0</sub>
	N				- T <sub>3</sub> N <sub>0</sub> , N <sub>1</sub> , N <sub>2</sub> or N <sub>3</sub> M <sub>0</sub>
	N				- T <sub>4</sub> N <sub>0</sub> , N <sub>1</sub> , N <sub>2</sub> or N <sub>3</sub> M <sub>0</sub>
	N				Stage IV - Any combination of
	N				T and N with M

## PART VI SOME STATISTICAL METHODS SUITABLE FOR THE ANALYSIS OF CONTROLLED CLINICAL TRIALS IN THE TREATMENT OF MAMMARY CARCINOMA

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### 1. Introduction

The purpose of clinical trials is to compare two or more methods of treating the same disease. By observing the statistical rules for adequate experimental design and for analyzing the findings, one attempts to obtain justified conclusions regarding the methods of treatment compared. In this appendix we discuss in some detail certain methods of analysis used in the study of the treatment of mammary carcinoma, and the corresponding experimental designs.

The decision as to which method of analysis is to be applied cannot be postponed until after the experiment, because the experimental design depends on the method of analysis employed. In this study we limit ourselves to methods of analyzing trials in which two treatments are compared, and which have the character of statistical tests. For every test there is a so-called 'Null Hypothesis' and a criterion on the basis of which the null hypothesis has to be rejected or accepted. In testing the results of a clinical trial, the null hypothesis is that the two methods of treatment are equivalent in a sense yet to be determined. The criterion consists of the comparison of a parameter to be calculated from the findings, the Statistic, with a so-called Critical Value. If the statistic is larger or smaller than the critical value, the null hypothesis is rejected and one concludes that the methods of treatment compared differ 'significantly'. In this study we shall always consider so-called Two-sided Tests which, if a significant difference is found between two methods of treatment, also offer the possibility of determining which was the better method of treatment. – The test criterion is selected in such a way that the probability of the decision that there *is* a difference between the therapies, if this decision is false, is below a previously determined limit, the level of significance  $\alpha$ . As a rule, the tests are carried out with a level of significance  $\alpha = 0.05 = 5\%$ .

If a difference exists between the therapies, there is a certain probability that this will be detected by the test on the basis of the results of the trial, in other words that the null hypothesis will be rejected. This probability is a function of certain parameters in which the difference between the therapies is expressed. This function is called the Power of the test. One generally demands that the power for differences of at least a certain extent must exceed e.g. 95%. This can only be achieved when a certain minimum number of patients is used in the trial.

It is a condition of the validity of the conclusions of the statistical tests to be discussed that the methods of treatment are assigned to the patients at random. In order to maximize the power of the test with a given number of patients, the groups treated by

the two methods must be equal in size. If the patients become available gradually, they might for instance be treated alternately by the first and the second method, in order of their admission. In that case, however, it must be postulated that the order of admission is random in regard to elements which, apart from the treatment, might influence the course of their disease.

This assumption can be omitted if the order is determined in advance by drawing lots. This can be done as follows: if 400 patients are to be concerned in the trial, 200 will be treated by method A and 200 by method B. Two hundred numbers from the series 1, 2, ..., 400 are drawn by lot, e.g. by taking 200 cards at random from a box containing 400 numbered cards. The numbers drawn by lot then become the serial numbers of the patients to be treated by method A, while patients whose numbers are left in the box will be treated by method B. Tables with random numbers or certain computer programs (so-called Random Number Generators) may replace the box of cards for this purpose. This drawing of lots should preferably be done by a central organization not involved in the treatment. The physicians involved only learn what method to apply at the moment the patient starts treatment. This Lot method offers the advantage over the alternating assignment method that it is unpredictable, which may, for instance, prevent selection in accepting patients for treatment. For the sequential sign test discussed in Section 4 we need pairs of patients, one of whom is treated by method A and one by method B. We may compose these pairs of patients admitted immediately after one another, and we may by this experimental design also form pairs of patients similar in certain relevant elements, e.g. age. For every new patient we then check whether he 'fits' into an incomplete pair, if not, he becomes the first of a new pair. With both methods of pairing one must always determine by lot whether the patient admitted first is to be treated by method A or method B.

The most important points necessary from a statistical point of view for planning a clinical trial are listed above. They determine the statistical design of the experiment. During the trial, however, complications may arise in view of which one concludes, rightly or wrongly, that the design can no longer be followed. It is vitally important not to deviate from this design without consultation with the statistician involved in the organization of the trial. In Sections 2, 3 and 4 of this appendix we shall describe three tests applicable for the analysis of clinical trials in regard to mammary carcinoma. Special attention is given in this connection to the number of patients required. Since the supply of patients with mammary carcinoma per unit time is limited, and the effect of the treatment determined by the usual criteria (such as duration of survival) is not generally discovered until a considerable time later, the duration of the trial may be of greater importance in one's selection of method of analysis than the number of patients required. This aspect will be discussed further in Section 5.

## *2. The $\chi^2$ test for a $2 \times 2$ table*

Let us suppose that we are interested in comparing two methods of treatment, A and B. For the  $\chi^2$  test for a  $2 \times 2$  table, the criterion of comparison can be described as follows. For every patient included in the trial we determine whether the treatment

applied has been 'successful'. It is designated successful if, after a certain period, previously stated conditions have been fulfilled. For instance, the patient must not have died or exhibited a recurrence. One must also define an 'unsuccessful' result: for instance if the patient has died from the mammary carcinoma during the period stated, or had a recurrence. In addition, there will be a number of patients to whom the terms 'successful' or 'unsuccessful' do not apply, for instance those who have died during the period in question due to accidents or other diseases. In our further discussion these 'undecided cases' will not be taken into consideration.

We now assume that we may logically speak of the probability  $P_A$  that 'success' will be obtained in a patient treated by method A. This is the case, for instance, when the patients included in the trial constitute a random sample of a population of patients of which a fraction  $P_A$ , after treatment by method A, will certainly be 'successful', or when every patient in such a population has a certain probability of 'success' after treatment by method A and the average of these individual probabilities is  $P_A$ . Similarly, we define  $P_B$  as the probability of 'success' of patients treated by method B.

Using the  $\chi^2$  test for a  $2 \times 2$  table, we now test the null hypothesis  $P_A = P_B$ . For this test to be applied, the following parameters must be determined:

$S_A$  = number of patients treated by method A (excepting undecided cases).

$n_A$  = number of patients in whom method A was successful.

The terms  $S_B$  and  $n_B$  refer to method B.

For the sake of efficiency we generally attempt to have trials in which  $S_A = S_B$ , although 'drop-out' of patients may result in these numbers not being precisely the same at the end of the trial. If we further postulate that  $N = S_A + S_B$  and  $n = n_A + n_B$ , then:

$$(1) \quad \chi^2 = \frac{\{ |n_A S_B - n_B S_A| - \frac{1}{2} N \}^2 N}{S_A S_B n (N - n)}$$

For the part of the equation between the vertical lines, the absolute value must be used.

We compare the statistic  $\chi^2$  with the critical value  $\chi^2_\alpha$  (of the  $\chi^2$  distribution with 1 degree of freedom) corresponding to the level of significance selected.

Some critical values are:

for $\alpha = 0.10$ (10%)	$\chi^2_{0.10} = 2.71$
$\alpha = 0.05$ (5%)	$\chi^2_{0.05} = 3.84$
$\alpha = 0.01$ (1%)	$\chi^2_{0.01} = 6.63$
$\alpha = 0.001$ (1‰)	$\chi^2_{0.001} = 10.83$

Let us assume that we test with  $\alpha = 5\%$ . We thus reject the null hypothesis  $P_A = P_B$  if  $\chi^2 > \chi^2_{0.05} = 3.84$ . We may then conclude that  $P_A \neq P_B$ , i.e. that the result of the trial is significant. This conclusion may be specified further.

The parameters:

$$P_A = n_A/S_A \text{ and } P_B = n_B/S_B$$

are, from the statistical point of view, 'estimates' of  $P_A$  and  $P_B$ . Thus, if with  $\chi^2 > 3.84$  we also have  $\hat{P}_A > \hat{P}_B$  (or  $n_A S_B > n_B S_A$ ), we conclude that  $P_A > P_B$  or that treatment A was significantly better than treatment B. With  $\chi^2 > 3.84$  and  $\hat{P}_A < \hat{P}_B$  (or  $n_A S_B < n_B S_A$ ), the conclusion is that  $P_A < P_B$ , or that treatment B was significantly better than treatment A.

On the other hand, if we find that  $\chi^2 < \chi^2_{0.05} = 3.84$ , the hypothesis  $P_A = P_B$  may not be rejected. We then conclude that the result of the trial was not statistically significant, or that the methods of treatment did not differ significantly.

If we have selected some different value for  $\alpha$ , we must apply the corresponding critical value. Our formulation of the results may remain analogous in this case; it is advisable for the value of  $\alpha$  chosen to be mentioned clearly by adding the qualification 'at the level of significance  $\alpha$ ' to all statements including the term 'significant'. This term without qualification is usually interpreted as 'significant for  $\alpha = 5\%$ '.

As a rule, in reporting the result of a test, the p value is also mentioned. This is the value that would have to be assigned to  $\alpha$  for a just barely significant result. In the case considered here, the p value equals twice the probability that a random variable  $\underline{u}^*$  with a standard normal distribution exceeds  $\chi$  ( $= \sqrt{\chi^2}$ ). The tables of normal distribution required for this purpose may be found in any statistical textbook or book of tables. If the p value is under 5%, the test result is significant for  $\alpha = 5\%$  and vice versa. The more the p value deviates from the level of significance chosen, the greater the certainty with which the null hypothesis may be rejected or accepted.

An example is given here to illustrate the test.

A trial regarding mammary carcinoma was organized with 200 patients in group A and 200 in group B. In group A, 95 patients died of mammary carcinoma within 5 years, and 67 in group B. In addition, 18 patients dropped out of group A and 25 out of group B due to other causes within these 5 years.

The  $2 \times 2$  table of the relevant data is then

Therapy	Treated	Of which	
		Successfully	Unsuccessfully
A	200 — 18 = 182	87	95
B	200 — 25 = 175	108	67
Total	<u>357</u>	<u>195</u>	<u>162</u>

consequently:

$S_A = 182,$	$n_A = 87$	$\hat{P}_A = 87/182 = 47.8\%$	
$S_B = 175,$	$n_B = 108$	$\hat{P}_B = 108/175 = 61.7\%$	
$N = 357,$	$n = 195$	$N - n = 162$	and:

$$\chi^2 = \frac{\{ | 87 \times 175 - 108 \times 182 | - \frac{1}{2} \times 357 \}^2 \times 357}{182 \times 175 \times 195 \times 162} = 6.42$$

\* In the text of this appendix symbols for random variables will be underlined.

Since this value is higher than 3.84, the hypothesis of equivalence at  $\alpha = 5\%$  may be rejected. Since  $P_A < P_B$ , the conclusion is that treatment B was significantly better than treatment A. On the other hand if we had chosen  $\alpha = 1\%$ , the hypothesis of equivalence of the methods of treatment could not have been rejected, since  $6.42 < 6.63$ .

Further,  $\chi = \sqrt{6.42} = 2.53$ . From a table of standard normal distribution we find as the value for p:

$$p = 2P[u > 2.53] = 1.1\%$$

Accordingly,  $1\% < p < 5\%$ , which agrees with what we found by comparing critical values.

The test described above is in fact an approximation to Fisher's exact test for a  $2 \times 2$  table. This approximation is sufficiently reliable in practice when the values of  $S_A$ ,  $S_B$ ,  $n$  and  $N-n$  are not too small (e.g. all  $\geq 10$ ). In clinical trials on mammary carcinoma this condition will generally be fulfilled. For exceptions, the reader is referred to the textbooks.

The power of the test is determined by the values of  $P_A$  and  $P_B$ . Eisenhart et al. (1947) present an approximation formula for this purpose based on the so-called arc sine transformation of the binominal distribution. This formula applies to the case  $S_A = S_B = S$  which, as mentioned in Section 1, is the most efficient distribution of  $2S$  patients over the treatment groups. If we now require that the power must equal  $1 - \beta$ , we find an equation for the necessary number of patients  $S$  (in each of the two treatment groups) in order that for the given values of  $P_A$  and  $P_B$  rejection of the null hypothesis will be possible with a probability  $1 - \beta$  if the test is carried out with a level of significance of  $\alpha$ . This equation is:

$$(2) \quad S = \frac{(u_{\alpha/2} + u_{\beta})^2}{2 (\text{arc sine } \sqrt{P_A} - \text{arc sine } \sqrt{P_B})^2}$$

in which:

$u_{\alpha/2}$  and  $u_{\beta}$  are the values by which a variable with a standard normal distribution is exceeded with a probability of  $\alpha/2$  or  $\beta$  (for  $\alpha = \beta = 5\%$ ,  $(u_{\alpha/2} + u_{\beta})^2 = 13.0$ ). Arc sine  $x$  is the angle in radians between  $-\pi/2$  and  $\pi/2$ , the sine of which equals  $x$  (e.g. arc sine  $0.5 = \pi/6$ , because  $\sin \pi/6 = \sin 30^\circ = 0.5$ ).

An example of the application of equation (2) would be as follows. The number of patients necessary with  $\alpha = 5\%$  to obtain a significant result with 95% certainty ( $\beta = 5\%$ ), if  $P_A = 50\%$  and  $P_B = 25\%$ , is:

$$S = \frac{(1.9600 + 1.6449)^2}{2 (\text{arc sine } \sqrt{0.50} - \text{arc sine } \sqrt{0.25})^2}$$

Since  $\text{arc sine } \sqrt{0.50} = \text{arc sine } \frac{1}{2} \sqrt{2} = 45^\circ = \pi/4 \text{ (rad.)} = 0.7854$

and  $\text{arc sine } \sqrt{0.25} = \text{arc sine } \frac{1}{2} = 30^\circ = \pi/6 \text{ (rad.)} = 0.5236$

$$S = \frac{13.0}{2 \times 0.2618^2} = \frac{13.0}{0.1371} = 94.8$$

Accordingly, at least 95 patients are necessary per treatment group. Equation (2) is

approximately valid if  $\alpha$  and  $\beta$  are relatively small (e.g. both less than 20%) and probabilities  $P_A$  and  $P_B$  do not differ too much. Naturally, it does not generally give a whole number for  $S$ . Since we need a minimum number to obtain a certain power of the test it is best to list the smallest whole number higher than the value of  $S$  found by equation (2).

In table S1 (cf. Ch. III p. 17) the necessary value for  $S$  is listed for combinations of  $P_A$  and  $P_B$  which are whole multiples of 10%, for  $\alpha = \beta = 5\%$ . On the basis of the above condition for the reliability of the approximation, a number of extreme combinations of  $P_A$  and  $P_B$  have been omitted.

The table shows a certain symmetry. If we exchange  $P_A$  and  $P_B$ , or exchange  $P_A$  and  $P_B$  for  $(1 - P_A)$  and  $(1 - P_B)$ , we find the same number of patients is required.

Further, we find that the number of patients necessary depends to a great and non-linear extent on  $P_A$  and  $P_B$ ; this renders interpolation between tabulated values impossible. For deviating values of  $P_A$  and  $P_B$ , equation (2) must be applied. The constants  $u_{\alpha/2}$  and  $u_\beta$  for a few values of  $\alpha$  and  $\beta$  can be found in the following table:

$$u_{0.10} = 1.2816$$

$$u_{0.05} = 1.6449$$

$$u_{0.025} = 1.9600$$

$$u_{0.01} = 2.3263$$

$$u_{0.005} = 2.5758$$

A simple table of the arc sine function can be found in e.g. Documenta Geigy (1960), p. 69.

Using equation (2) it is very easy to deduce a table from table S1 for other values of  $\alpha$  and  $\beta$ ; we have only to multiply by a factor:

$$\frac{(u_{\alpha/2} + u_\beta)^2}{(u_{0.025} + u_{0.05})^2} \approx \frac{(u_{\alpha/2} + u_\beta)^2}{13.0}$$

for $\alpha = 1\%$ , $\beta = 1\%$	this factor equals 1.849
$\alpha = 1\%$ , $\beta = 5\%$	„ „ „ 1.371
$\alpha = 5\%$ , $\beta = 10\%$	„ „ „ 0.809

For  $\alpha = 5\%$  and  $\beta = 10\%$  this multiplication has been carried out (see table S2 cf. Ch. III, p. 18). As for this purpose, the rounded-off values of table S1 were used, direct calculation by equation (2) may reveal small deviations. Rümke (1968) published a table for the number of observations necessary for the one-sided  $\chi^2$  test (see Section 1) with  $\alpha = \beta = 5\%$ . This can also be used as a table for the two-sided test with  $\alpha = 10\%$ ,  $\beta = 5\%$ . On verification we find that the values in this table are slightly higher than those calculated by equation (2). A slightly different approximation of the power of the test may have been used.

It can be concluded from table S1 that the number of observations necessary does not only depend on the difference between  $P_A$  and  $P_B$ . The question arises what number would be sufficient for every pair of values  $P_A$ ,  $P_B$  with a given difference  $\Delta$  to be demonstrated with a probability of at least  $1 - \beta$ . We can find this number by substituting in equation (2) the values  $P_A = \frac{1}{2} (1 + \Delta)$  and  $P_B = \frac{1}{2} (1 - \Delta)$ . A few examples are listed in table A1.



TABLE A1. Power of the  $\chi^2$ -test for a  $2 \times 2$  table; level of significance  $\alpha = 5\%$ , equal sample sizes  $S$  (number of patients in each therapy group).

$S_A$ = minimum number of patients required to detect with a probability of at least $1-\beta$ the difference between $P_A$ and $P_B$ if $ P_A - P_B  = \Delta$						
$1-\beta_A$ = minimum probability of detecting the difference between $P_A$ and $P_B$ and if the number of patients $S$ is as indicated.						
$S_A$	$\Delta$	5%	10%	15%	20%	25%
	$1-\beta = 95\%$	2600	640	287	161	102
	$1-\beta = 90\%$	2100	524	232	130	83
$1-\beta_A$	for $S = 100$	13.6%	28.2%	56.7%	81.3%	94.7%
	$S = 200$	23.0%	50.0%	85.4%	98.1%	99.9%
	$S = 500$	48.8%	87.1%	99.7%	100.0%	100.0%

Table A1 allows one to specify the conclusion further if the hypothesis  $P_A = P_B$  cannot be rejected on the basis of the test. Suppose each treatment group includes more than 287 patients. According to table A1, the probability that the hypothesis  $P_A = P_B$  will be rejected if the actual difference between  $P_A$  and  $P_B$  amounts to 15% is at least 95%. Therefore if (for  $S \geq 287$ )  $P_A = P_B$  is not rejected, it may be concluded that the difference between  $P_A$  and  $P_B$  is not more than 15%. The probability of this conclusion if it is incorrect is less than 5%. – In table A1 we have listed for a few values of  $S$  the least power that can be achieved if  $P_A$  and  $P_B$  differ by an amount  $\Delta$ . This gives us some impression of the power of the test when a certain required number cannot be obtained. If 95% certainty is required to demonstrate a difference of 10% in the probability of success, 648 patients per treatment group are necessary according to table A1. However, table A1 also shows that with only 200 patients per treatment group there is still a probability of at least 50% of detecting a difference of 10%, and that a difference of 20% will be established with almost complete certainty.

In using tables S1, S2 and A1, we must clearly recognize the significance of the parameters  $P_A$  and  $P_B$ . They concern unknown probabilities of success in applying the methods of treatment A and B to be compared, which must not be confused with the estimates of these probabilities  $\hat{P}_A = n_A/S_A$  and  $\hat{P}_B = n_B/S_B$  which can be obtained from observations after completion of the trial. It is quite possible that  $\hat{P}_A$  and  $\hat{P}_B$  will differ by less than 10% and that this difference will be significant according to the test, while the number of patients according to table A1 was insufficient to detect a difference of 10% between probabilities  $P_A$  and  $P_B$  with a certainty of 95% or 90%. If the number did fulfill this condition, we may still, if the null hypothesis is rejected, not conclude that  $P_A$  and  $P_B$  differ by at least 10%. Rejection of the null hypothesis only means that  $P_A$  and  $P_B$  are (probably) not equal. – In planning a trial it must also be kept in mind that the unknown probabilities  $P_A$  and  $P_B$  depend on the following factors:

1. criterion applied for 'success' of treatment. Instead of just establishing whether the patient has survived for a certain period since the start of treatment, one may also determine whether the patient had a recurrence during that period;
2. duration of period mentioned under 1; and
3. any selection applied to patients prior to their inclusion in the trial.

In this connection the following remarks must be made.

1. The criterion of 'success' must always be selected so that the treatment given to all patients fulfilling it may be considered more successful than that given to patients not fulfilling it.

2. It is possible for the difference between the short-term effects of two methods of treatment not to be the same as the difference between the long-term effects. This may be a reason for applying the test to e.g. the 5 year as well as the 10 year results. If we postulate an exponential distribution of durations of survival, we can calculate an optimal period as a function of the expected durations of survival per method of treatment. This aspect will not be further discussed here, the reader is referred to the example at the end of Section 3 of this appendix.

3. Let  $M$  be the population of all patients with mammary carcinoma on whom a clinical trial is to be carried out to compare therapeutical methods A and B. We will assume that  $M$  consists of two portions,  $M_0$  and  $M_1$ , and that the difference in effect of the treatments given to  $M_1$  is greater than that to  $M_0$ . The question then arises whether a trial limited to the patients in  $M_1$  might not be more efficient than a trial without this limitation.

Let us suppose  $S_1$  is the number of patients required per treatment for a trial limited to  $M_1$  and that  $S$  is the number required for a trial within  $M$ . In this case  $S_1$  will be less than  $S$ . If we further suppose that a fraction  $p_0$  of the patients in  $M$  belong to portion  $M_0$  and accordingly a fraction  $(1-p_0)$  belong to  $M_1$ , it may be expected that among  $N$  patients of the entire population  $M$  there will be  $(1-p_0)N$  patients of portion  $M_1$ . Consequently  $N = S_1/(1-p_0)$  patients from  $M$  are needed in order that the expected number of patients from  $M_1$  will be  $S_1$ . Now if this number  $N$  is less than  $S$ , we consider the trial within  $M_1$  more efficient than a trial within  $M$ . In this respect, the following may be demonstrated (we refrain from giving the proof).

*If within the partial population  $M_0$  there is no difference in effect between methods of treatment A and B (i.e. if in  $M_0$  the probabilities of success of A and B are the same), it is more efficient to limit the trial to the partial population  $M_1$ .*

*Example:* Suppose that for the entire population  $M$ ,  $P_A = 0.6$  and  $P_B = 0.5$ . According to table S1, the number of patients  $S$  necessary per treatment group is then 642. The population consists of portions  $M_0$  and  $M_1$  containing fractions  $p_0 = 0.2$  and  $(1 - p_0) = 0.8$  of all patients of  $M$ . In  $M_0$  the probabilities of success are:  $P_{A0} = P_{B0} = 0.3$ . If  $P_{A1}$  and  $P_{B1}$  are these probabilities for  $M_1$  and  $P_A$  and  $P_B$  the probabilities for the entire population, then  $P_A = p_0 P_{A0} + (1 - p_0) P_{A1}$ .

from which follows that  $P_{A1} = \frac{P_A - p_0 P_{A0}}{1 - p_0} = \frac{0.6 - 0.2 \times 0.3}{0.8} = 0.675$ , simi-

larly, we find that  $P_{B1} = 0.55$ .

Equation (2) then gives for the number of patients necessary for a trial within  $M$ , ( $\alpha = \beta = 0.05$ ):

$$S_1 = \frac{6.5}{(\text{arc sine } \sqrt{0.675} - \text{arc sine } \sqrt{0.55})^2} = 392.5$$

To attain an expected number of 392.5 patients from  $M_1$  we need  $N = 392.5/0.8 = 491$  patients of  $M$ . If the trial were not limited to  $M_1$ , 642 patients from  $M$  would have been

neccessary. Accordingly the trial within  $M_1$  is in fact *more* efficient. In view of this it is advisable for all inoperable patients (a partial group  $M_0$  with  $P_{A0} = P_{B0} = 0$ ) to be excluded from the trial or, if operability can only be established after application of treatment, to exclude them from the calculations. However, this only applies when the criterion of inoperability is strictly independent of the treatment. If all patients who die within a certain period after operation are regarded as inoperable, this condition need not be fulfilled.

### 3. Wilcoxon's two sample test

In Wilcoxon's test the criterion of comparison is the period which elapses between commencement of treatment and occurrence of a certain eventuality, e.g. the patient's death or a recurrence. To simplify the terminology we call this period the patient's 'Survival Time'. The survival time is regarded in this connection as a random variable dependent on the treatment applied, indicated as  $t_A$  or  $t_B$ . A random variable is a variable with a probability distribution. This means that for  $t_A$  for every value of  $t \geq 0$  the probability

$$F_A(t) = P[t_A < t]$$

exists that a patient treated by method A will have a survival time less than  $t$ . The corresponding probability for  $t_B$  is expressed as:

$$F_B(t) = P[t_B < t].$$

This assumption is realized for instance when the patients in the trial constitute a random sample from a population in which the cumulative frequency distribution of survival times (when all patients are treated by method A or B) is supplied by the function  $F_A(t)$  or  $F_B(t)$ . The null hypothesis of Wilcoxon's test now implies that  $t_A$  and  $t_B$  have the same probability distribution, in other words that for each value of  $t$ ,

$$(3) \quad F_A(t) = F_B(t)$$

applies.

The null hypothesis of the  $\chi^2$  test only requires that equation (3) applies to one particular value of  $t$ , the time until the result of the treatment is determined.

Wilcoxon's test is carried out as follows: wait until all patients have died and then arrange them according to increasing survival time. The result is expressed by a line of letters A and B, depending on whether the patient had been treated by method A or method B. In this line we then determine the number of times  $U$  where a letter A comes before (i.e. to the left of) a letter B.  $U$  then represents the number of pairs of one patient treated by method A and one by method B, in which the former had a shorter survival time than the latter. For  $S_A$  patients treated by method A and  $S_B$  treated by method B, we have:

$$0 \leq U \leq S_A S_B,$$



we apply the theorem that Wilcoxon's statistic  $\underline{U}$  for a large total  $S$  has an approximately normal distribution, with expectation

$$(5) \quad \mu = S^2 P_{AB}$$

and variance

$$(6) \quad \sigma^2 = S^2 \{ (P_{AAB} + P_{BBA} + 2P_{AB}P_{BA} - 1) S + 1 - P_{AAB} - P_{BBA} - P_{AB}P_{BA} \}$$

In this equation,  $P_{AB}$  represents the probability that a patient treated by method A has a shorter survival time than one treated by method B, while  $P_{AAB}$  represents the possibility that two patients treated by method A will both have shorter survival times than one patient treated by method B. The definitions for  $P_{BA} = (1 - P_{AB})$  and  $P_{BBA}$  are similar.

This theorem was first proved by Lehmann (1951): the formulae for  $\mu$  and  $\sigma$  were deduced from ones supplied by van Dantzig (1951). If the null hypothesis (3) is correct, these can be expressed as:

$$\begin{aligned} \mu_0 &= \frac{1}{2} S^2 \\ \sigma_0^2 &= \frac{1}{12} S^2 (2S + 1) \end{aligned}$$

Van Dantzig (1951) also indicates limits for  $\frac{\sigma^2}{\sigma_0^2}$ , which are useful in the subsequent calculation:

$$(7) \quad (\sqrt{3C + 1} - 1)^2 < \frac{\sigma^2}{\sigma_0^2} < \frac{3C}{2} \text{ with } C = 4P_{AB}P_{BA}$$

From (5) and (6) we can deduce that the number of patients per treatment group  $S$  necessary in a Wilcoxon test with level of significance  $\alpha$  for the null hypothesis to be rejected with a probability of  $(1 - \beta)$  can be found approximately by solving the equation:

$$(8) \quad S | P_{AB} - P_{BA} | \sqrt{3 - u_{\alpha/2} \sqrt{2S + 1} - u_{\beta} \sqrt{aS + b}} = 0$$

where  $a = 12 (P_{AAB} + P_{BBA} + 2P_{AB}P_{BA} - 1)$   
and  $b = 12 (1 - P_{AAB} - P_{BBA} - P_{AB}P_{BA})$ .

This equation has one positive root which in principle can be found by trial and error when variables  $P_{AB}$ ,  $P_{AAB}$  and  $P_{BBA}$  are known. The approximation to the number  $S$  required found in this way is reasonably reliable when  $\alpha$  and  $\beta$  are not too large (e.g. both  $\leq 20\%$ ) and  $P_{AB}$  is not too close to 0 or 1; in other words, for cases which do not give excessively low values for  $S$ .

The inequality given under (7) allows for calculation of limits of the necessary number of observations depending on  $P_{AB} (= 1 - P_{BA})$  only. In combination with (8) this inequality leads to:

$$\begin{aligned} (9) \quad & u_{\alpha/2} + u_{\beta} (\sqrt{3C + 1} - 1) < \\ & < \frac{S}{\sqrt{2S + 1}} | P_{AB} - P_{BA} | \sqrt{3} < u_{\alpha/2} + u_{\beta} \sqrt{\frac{3C}{2}} \end{aligned}$$

From this the limits of S can be deduced by solving two quadratic equations. In fig. 1 these limits are plotted for  $\alpha = \beta = 5\%$  against

$$v = |P_{AB} - P_{BA}|^{-1}$$

*Example.* Suppose  $P_{AB} = 0.4$ ; therefore  $P_{BA} = 0.6$ , i.e. the probability that the survival time of a patient treated by method A will exceed that of one treated by B equals 0.6. Thus  $|P_{AB} - P_{BA}|^{-1} = 5$ . Fig. 1 shows that the limits of S then fall between about 210 and 260. Consequently we need at least 210 patients and at most 260 per treatment group to demonstrate such a difference in survival times for  $\alpha = 5\%$ , with 95% certainty.

The number of observations required (S) can further be determined if the type of distribution of survival time is specified in more detail. We shall consider two relevant distribution types:\*

1. The survival times  $t_A$  and  $t_B$  are both distributed exponentially, i.e.:

$$(10) \quad F_A(t) = P[t_A < t] = 1 - e^{-t/\mu_A}; \quad F_B(t) = P[t_B < t] = 1 - e^{-t/\mu_B},$$

where  $e = 2.718282$ , the base of the natural logarithmic system and  $\mu_A$  and  $\mu_B$  are the mean survival times during the period considered. Variables  $P_{AB} - P_{BA}$ ,  $a$  and  $b$  in equation (8) can all be expressed as in:

$$(11) \quad v = \frac{\mu_A + \mu_B}{|\mu_A - \mu_B|} = \frac{1}{|P_{AB} - P_{BA}|}$$

The solution of this equation for  $\alpha = \beta = 5\%$  then yields values for S approximately the same as those according to the lower limit in fig. 1.

2. The logarithms ( $L_A = \log t_A$ ) and ( $L_B = \log t_B$ ) of the survival times are both normally distributed with means of  $\mu_A$  and  $\mu_B$  respectively and the same standard deviation  $\sigma$ . Analogous to the exponential distribution, we can now solve equation (8), giving  $P_{AB}$  from:

$$(12) \quad P_{AB} = P[L_A < L_B] = P\left[u < \frac{\mu_B - \mu_A}{\sigma\sqrt{2}}\right].$$

The determination of  $P_{AAB}$  and  $P_{BBA}$  is more complicated. If we plot the solutions for  $\alpha = \beta = 5\%$  against  $v = |P_{AB} - P_{BA}|^{-1}$  we again find a curve practically coinciding with the lower limit in fig. 1.

Specification of distribution type also allows comparison with the  $\chi^2$  test. Suppose that  $t_0$  is the period of reference after which the result of the treatment is evaluated by the  $\chi^2$  test. The case with probabilities of success  $P_A$  and  $P_B$  in the  $\chi^2$  test then corresponds to

$$P[t_A < t_0] = 1 - P_A; \quad P[t_B < t_0] = 1 - P_B.$$

This means, with survival times of the exponential type

$$\mu_A = -t_0/e \log P_A \text{ and } \mu_B = -t_0/e \log P_B$$

\* For the sake of simplicity we ignore the fact that larger classes of distributions correspond to both types mentioned, equivalent in regard to the power of Wilcoxon's test.

Accordingly (see (11))

$$(13) \quad v = \left| \frac{\log P_A + \log P_B}{\log P_A - \log P_B} \right|$$

and if we assume logarithmically normal distributions as indicated above under 2:

$$(14) \quad \frac{\mu_B - \mu_A}{\sigma} = u_{p_A} - u_{p_B}^*$$

In this way the number of observations  $S$  required for Wilcoxon's test in both cases can be found as a function of  $P_A$  and  $P_B$ . Tables A2 and A3 list these values for  $\alpha = \beta = 5\%$  and for combinations of  $P_B$  and  $P_B$  which are whole multiples of  $10\%$ . Since the period of reference  $t_0$  does not occur in formulae (13) and (14) these tables are valid for all values of  $t_0$ .

If we compare tables A2 and A3 we see that the difference in assumption concerning the type of distribution gives rise to a considerable difference in the required number of observations as functions of  $P_A$  and  $P_B$ . Further, we find that both tables A3 and S1 are symmetrical in regard to substitution of  $P_A$  and  $P_B$  by  $(1 - P_A)$  and  $(1 - P_B)$ . This is not so in table A2, where high values of  $P_A$  and  $P_B$  lead to considerably lower values of  $S$  than in the corresponding cases in table A3.

The converse applies for low values for  $P_A$  and  $P_B$ . In both tables the number of patients required is lower than for the corresponding cases in table S1. Application of Wilcoxon's test therefore reduces the number of patients required, although to a total which still depends on the distribution type of the survival times. Once the distribution type of survival times is assumed to be known, we may attempt to improve the power of the  $\chi^2$  test by a more favorable choice of period of reference  $t_0$ . The combination  $P_A = 0.6$ , and  $P_B = 0.4$  according to table S1 requires 161 patients per treatment group, but if we apply Wilcoxon's test with exponential distribution of survival time, only 104 patients are necessary according to table A3. The combination mentioned gives the following parameters for the exponential distributions:

$$\mu_A = -\frac{t_0}{e^{\log 0.6}} = \frac{t_0}{0.51083} \text{ and } \mu_B = -\frac{t_0}{e^{\log 0.4}} = \frac{t_0}{0.91629}$$

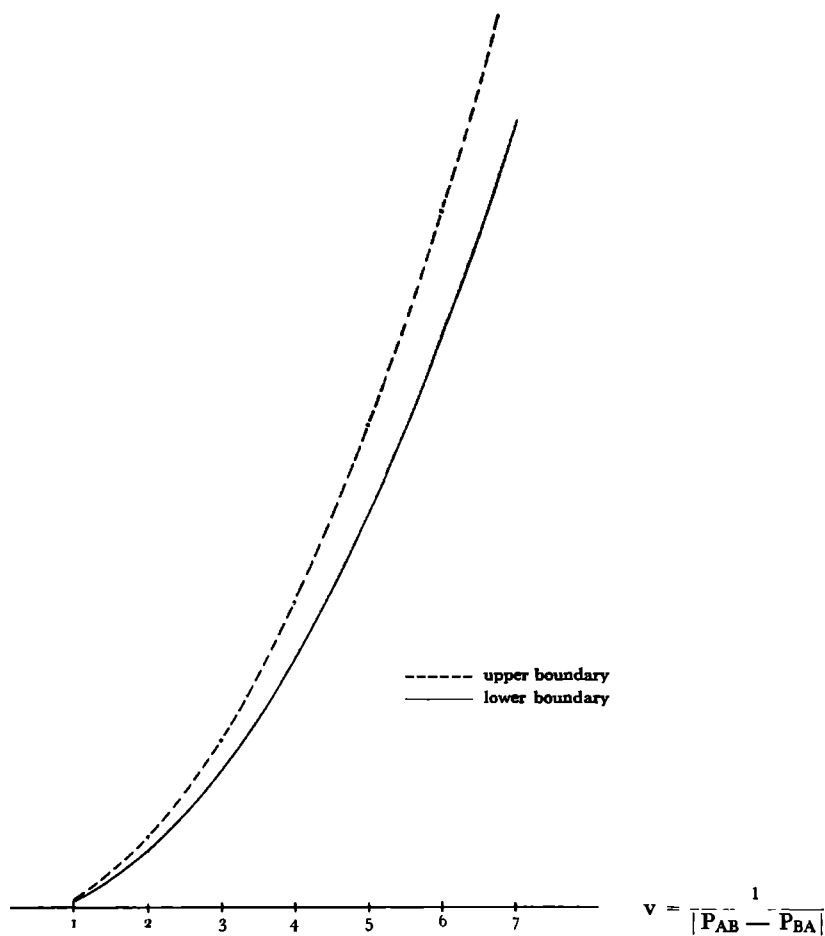
If we choose a term of reference  $2.357 t_0$ , the probabilities  $P_A$  and  $P_B$  become:  $P'_A = e^{-2.357 \times 0.51083} = 0.3$  and  $P'_B = e^{-2.357 \times 0.91629} = 0.1154$ .

If we now calculate  $S$  according to equation (2) with these values for  $P_A$  and  $P_B$ , we find that  $S = 120$ . Consequently, if in calculating the number of patients for the  $\chi^2$  test we also assume the distribution type to be known, this number can be reduced considerably. In this respect the reduction in the number of patients required achieved by applying Wilcoxon's test as shown in tables A2 and A3 is presented as better than it actually is.

\* Here,  $u_p$  is the value of the standard normal distribution with  $p$  value  $P_A$  (cf. definition of  $u_{\alpha/2}$  and  $\hat{u}_\beta$  under equation (2)).

er of Wilcoxon's two sample test  
 :l of significance  $\alpha = 5\%$   
 al sample sizes S  
 ndaries for the value of S required  
 detect with a difference between  
 $= P[t_A < t_B]$  and  $P_{BA} = P[t_A > t_B]$   
 a probability of 95%.  
 boundaries are plotted as a function of

$$\frac{1}{|P_{AB} - P_{BA}|}$$





TABLES A2 AND A3

Power of Wilcoxon's two sample test: level of significance  $\alpha = 5\%$ , equal sample sizes  $S$  (= number of patients per treatment group). Minimum value of  $S$  required to detect with a probability of 95% the difference between probabilities of 'success'  $P_A$  and  $P_B$  after treatments A and B respectively. In this connection 'success' means a survival time of at least  $t_0$  years; the value of  $S$  is independent of  $t_0$ .

TABLE A2: *The number  $S$  is calculated on the assumption that the survival times are exponentially distributed.*

$P_A$	$P_B$	10	20	30	40	50	60	70	80	90%
10			272	84	43	26	18	12	*	*
20		272		413	111	51	28	18	11	*
30		84	413		467	116	49	26	14	*
40		43	111	467		447	104	41	20	10
50		26	51	116	447		374	81	29	12
60		18	28	49	105	374		271	53	16
70		12	18	26	41	81	271		160	25
80		*	11	14	20	29	53	160		64
90		*	*	*	10	12	16	25	64	

TABLE A3: *The number  $S$  is calculated on the assumption that the logarithms of the survival times are normally distributed with equal standard deviation  $\sigma$ .*

$P_A$	$P_B$	10	20	30	40	50	60	70	80	90%
10			141	48	26	17	12	*	*	*
20		141		270	79	39	23	15	11	*
30		48	270		371	100	46	26	15	*
40		26	79	371		426	107	46	23	12
50		17	39	100	426		426	100	39	17
60		12	23	46	107	426		371	79	26
70		*	15	26	46	100	371		270	48
80		*	11	15	23	39	79	270		141
90		*	*	*	12	17	26	48	141	

\* Values less than 10 omitted, because of possible lack of accuracy of normal approximation for distribution of  $U$ .

#### 4. Sequential sign tests

Sequential tests are statistical procedures in which in principle one decides after each observation of an experiment whether more observations are to be made or whether the experiment may be terminated and a statistical conclusion drawn. The statistical conclusion is of the same character as described for the two previous tests; a certain null hypothesis is either accepted or rejected. The level of significance and the probability of

rejecting the null hypothesis, provided the actual situation includes at least some specified deviation from the null hypothesis, are established.

The tests described in Sections 2 and 3 were based on the two sample design in which the methods of treatment A and B are assigned at random to patients admitted in succession. Textbooks such as that by A. Wald (1947), P. Armitage (1960) and G. B. Wetherill (1966) contain no descriptions of sequential two sample tests. We shall therefore confine ourselves to sequential tests for the scheme with paired observations described at the end of Section 1. The observations in this instance are carried out on pairs of patients of whom one is treated by method A and one by method B. The conclusions are based exclusively on comparison of observations of paired patients with each other.

If this comparison is made at a certain interval of time after commencement of treatment, as with the  $\chi^2$  test, there are four possibilities:

1. both patients surviving;
2. both patients dead;
3. patient treated by method A still alive and the one treated by method B dead, or
4. patient treated by method B still alive and the one treated by method A dead.

The method based on these results is called the Sequential Test for Two Proportions. This test offers the advantage over methods still to be discussed that it requires no assumptions concerning the nature of distributions of survival times. Nevertheless this method is not taken into account here because when it is applied a considerable proportion of pairs of patients (those who have both died or are both still alive) do not contribute to the decision, reducing the efficiency of the method. If we apply Student's sequential test, we determine for each pair of patients the difference in survival time between the patient treated by method A and the one treated by method B. This method presupposes that these differences or e.g. their logarithms are normally distributed, with the same standard deviation. Further, it has the disadvantage compared with the method discussed below that for each pair one has to wait until both patients are dead, which has an unfavorable effect on the duration of the experiment.

For these reasons we prefer a more detailed discussion of the Sequential Sign Tests. These tests are primarily intended for the following situation. An experiment consists of a series of comparisons of two objects A and B. These comparisons lead to 'preference for A' or 'preference for B'. For each comparison, the probability of a preference for A equals  $p$  and that of a preference for B equals  $(1 - p)$ . In this respect the sequential sign tests are tests for the null hypothesis  $p = \frac{1}{2}$ . Other decisive elements of the procedure are the level of significance and the requirement that the probability of rejection of the null hypothesis when  $|p - \frac{1}{2}| > \delta > 0$  must be at least  $(1 - \beta)$ . Thus the test is determined by the values of the parameters  $\alpha$ ,  $\delta$  and  $\beta$ .

In principle, the procedures are carried out as follows. After each comparison, one plots on a graph along the horizontal axis (drawn through the center) the number  $n$  of the preferences decided already, and along the vertical axis the excess  $d$  of the number of preferences for A over those for B. This number is thus positive in the event of a predominance of preferences for A and negative in the event of a predominance of preferences for B. By connecting the successive points we obtain a broken line graphically representing the progress of the experiment. This line begins at the origin. Each time a preference for A is reported, we progress one step obliquely upward to the right,

because  $n$  and  $d$  both increase by 1. In the event of a preference for B, we proceed one step obliquely down to the right, because in this case  $n$  increases by 1 but  $d$  is decreased by 1.

This line is called the Sample Path. The figure contains three more lines: the Upper Boundary U, the Lower Boundary L and the Middle Boundary M. If the sample path crosses the upper boundary, the experiment is terminated with the conclusion that there is a significant preference for A; if the sample path crosses the lower boundary, the experiment terminates with the conclusion that there is a significant preference for B, and if it crosses the middle boundary, the experiment ends in the conclusion that there is no significant difference in preferences. The length of the path projected onto the horizontal axis gives the number of comparisons of pairs necessary until the experiment could be terminated. This Required Number of Pairs is relatively low if there is a predominance of preferences in one direction. Prior to the experiment it is a random variable whose distribution is determined by the value of  $p$ .

### Figure 2: Open Sequential Sign Test

Test for  $p = 0.5$ ; level of significance  $\alpha = 5\%$  (two-sided)

Power at least  $1 - \beta = 95\%$  for  $|p - 0.5| \geq 0.15$

Sample path of experiment with  $p = 2/3$  is drawn in the figure

$p$  = probability of a preference for A ( $= P_{BA}$ ).

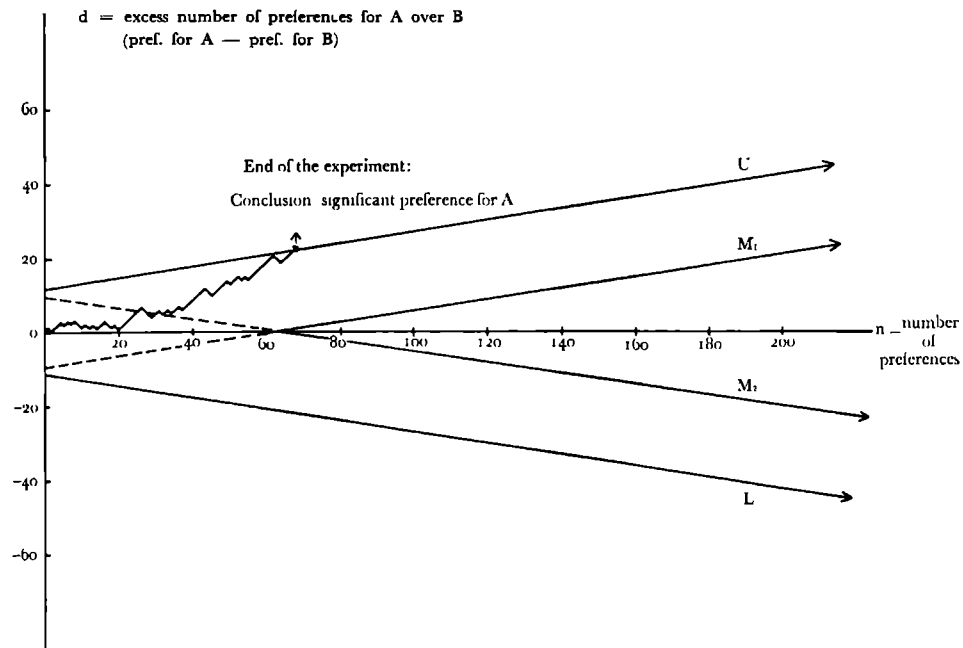


Figure 2 is a graph of an Open Sequential Sign Test. This test is an application of the principle for the construction of a two-sided sequential test described for a different case by M. Sobel and A. Wald (1949). It consists of the combination of two one-sided

(in this case, binominal) tests. The boundaries are straight lines given by the equations:

$$(15) \quad \begin{array}{ll} \text{U: } d = a_1 + bn & M_1 : d = -a_2 + bn \text{ (for } d > 0) \\ \text{L: } d = -a_1 - bn & M_2 : d = a_2 - bn \text{ (for } d < 0) \end{array}$$

in which the coefficients  $a_1$ ,  $a_2$  and  $b$  are calculated from the parameters  $\alpha$ ,  $\beta$  and  $\delta$ .

$$(16) \quad a_1 = \frac{2 \log \frac{2(1-\beta)}{\alpha}}{\log \frac{1+2\delta}{1-2\delta}} \quad a_2 = \frac{2 \log \frac{2-\alpha}{2\beta}}{\log \frac{1+2\delta}{1-2\delta}}$$

$$(17) \quad b = \frac{-\log(1-4\delta^2)}{\log \frac{1+2\delta}{1-2\delta}}$$

In Figure 2, these boundaries have been drawn for  $\alpha = \beta = 0.05$  (= 5%) and  $\delta = 0.15$ .

By substitution in equations (16) and (17) we get:

$$\log \frac{1+2\delta}{1-2\delta} = \log \frac{1.3}{0.7} = 0.26884$$

$$a_1 = \frac{2 \log \frac{1.9}{0.05}}{0.26884} = 11.75 \quad a_2 = \frac{2 \log \frac{1.95}{0.1}}{0.26884} = 9.60$$

$$b = \frac{-\log 0.91}{0.26884} = 0.1524$$

In this figure we have also plotted the path of an experiment where  $p = 2/3$ , obtained by casting a dice and counting throws 1, 2, 3 and 4 as preferences for A and throws 5 and 6 as preferences for B. The experiment ended after 68 throws when the upper boundary was crossed, leading to the conclusion that there was a significant preference for A.

If the path successively crosses *both* extensions of the boundaries  $M_1$  and  $M_2$ , indicated by dotted lines, this is equivalent to crossing one of these boundaries itself. This also leads to termination of the experiment with the conclusion that there is no significant difference in preferences. It can be demonstrated that the procedure will certainly end, although the delimitation of the scheme is open to the right. The probability that the sample path continues indefinitely in one of the corridors between U and  $M_1$  or between L and  $M_2$ , equals 0. However, an objection to the open scheme is that it may be a long time before the experiment can be concluded, especially when the value of  $p$  is close to  $\frac{1}{2}(1+b)$  or  $\frac{1}{2}(1-b)$ . For this reason, so-called Closed Sequential Designs have been elaborated, with a closed delimitation, so that a maximum can be indicated for the required number of preferences.

Figure 3 is the graph of Armitage's closed sequential design\* for the same parameter values as the design in Figure 2. The boundaries U and L coincide with those of the open design, at least for  $\delta \leq 0.20$ ; the middle boundary here in the first instance is a

\* See P. Armitage (1960), p. 34. In this connection he uses the term 'Restricted Designs', which we shall continue to use for his designs.

vertical line. Armitage has defined it in such a way that the requirements (the values of  $\alpha$ ,  $\beta$  and  $\delta$ ) are fulfilled.

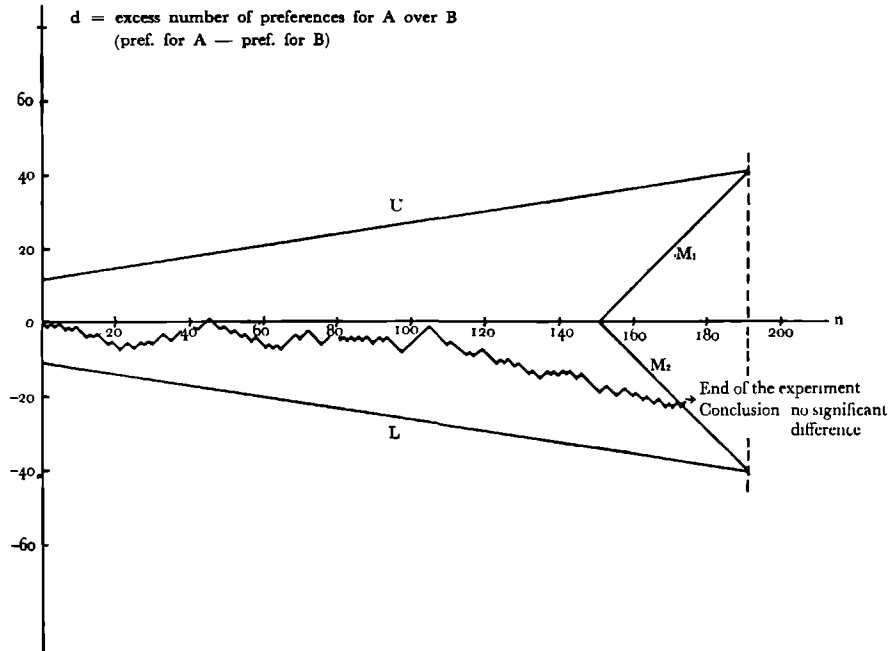
**Figure 3: Restricted sequential sign test (Armitage)**

Test for  $p = 0.5$ ; level of significance  $\alpha = 5\%$  (two-sided)

Power at least  $1 - \beta = 95\%$  for  $|p - 0.5| \geq 0.15$

Sample path of experiment with  $p = 0.4$  is drawn in the figure.

$p$  = probability of a preference for A (=  $P_{BA}$ )



This was done by approximating the probability distribution of the sample path with the aid of a diffusion process, not discussed in detail here. In table A4 we show the maximum number of preferences required for a few combinations of  $\alpha$ ,  $\beta$  and  $\delta$ .

**TABLE A4: Maximum number  $N$  of preferences required for Armitage's Restricted Sequential Sign Test, with level of significance  $\alpha$  and minimum probability  $(1 - \beta)$  of detecting values of  $p$  with  $|p - 0.5| \geq \delta$ . (From P. Armitage (1960), p. 35).**

$\delta$	value of $N$ for:	
	$\alpha = \beta = 5\%$	$\alpha = 1\%; \beta = 5\%$
0.05	1778	2290
0.10	439	565
0.15	191	244
0.20	104	132

Subsequently this middle boundary may be replaced by two lines  $M_1$  and  $M_2$  at an angle of  $45^\circ$  to the horizontal axis, as shown in Figure 3. Once one of these lines has been crossed it is impossible for the sample path to reach the upper or lower boundaries, so the experiment may be terminated with the conclusion that no significant difference in preferences could be demonstrated.

Figure 3 shows the sample path of an experiment where  $p = 0.4$ , obtained by sampling from a table with random numbers and interpreting numbers 0, 1, 2 and 3 as preferences for A and numbers 5, 6, 7, 8, 9 as preferences for B.

This is an experiment which does not fulfil the null hypothesis ( $p = 0.5$ ). Nevertheless the conclusion based on the sequential test after 172 comparisons of pairs is that there is no significant difference in preference, corresponding to  $p = 0.5$ . This is not surprising, since the design only guarantees adequate certainty (95%) for rejection of the null hypothesis when  $|p - 0.5| > 0.15$ , i.e. for  $p > 0.65$  or  $p < 0.35$ , which was not so in this experiment.

Besides Armitage's restricted design, there are other closed sequential designs, such as that of Bross (1952), also described in Armitage (1960), but they need not be discussed further. The sequential sign tests may be applied to the results of a clinical trial with pairs of patients of whom one is treated by method A and one by method B. A pair in which the patient treated by method A survives longer after commencement of treatment than the patient treated by method B is interpreted as a preference for A, and a pair with the opposite result as a preference for B. The probability  $p$  is then the probability  $P_{BA} = P[t_B < t_A]$  introduced in Section 3.

In this instance the survival time after commencement of treatment is considered. Suppose that in one pair the patient receiving method A started treatment first. Now if the patient treated by method B dies first, the result of the pair may immediately be interpreted as a preference for A, but if the patient treated by method A dies first, the result may only be regarded as a preference for B if the patient treated by method B survives longer than the period between the commencements of treatment of both patients.

For a sequential sign test to be applied to the results of a clinical trial with pairs of patients, the distribution of the survival times  $t_A$  and  $t_B$  must fulfil a condition resulting from the fact that a considerable number of pairs of patients are involved simultaneously. In order for this situation to fit into the design for the sequential sign test, it is necessary that for every pair at any moment, i.e. irrespective of the length of time for which the pair has been included in the trial, the probability that the patient treated by method A has a longer survival time than the patient treated by method B remains constant.

This condition is only fulfilled if to  $F_A(t) = P[t_A < t]$  and  $F_B(t) = P[t_B < t]$  applies:

$$(18) \quad 1 - F_B(t) = \{1 - F_A(t)\}^k$$

for a certain positive value of  $k$  and all values of  $t$ .

If condition (18) is fulfilled,  $k$  determines the value of  $p$ , for in this case we have:

$$(19) \quad p = P_{BA} = P[t_B < t_A] = \frac{1}{k + 1}$$

The sequential sign tests are tests for the hypothesis  $p = \frac{1}{2}$ . If condition (18) is fulfilled, it follows from  $p = \frac{1}{2}$  that  $k = 1$  or  $F_A(t) = F_B(t)$  for all positive values of  $t$ .

Consequently condition (18), which must be fulfilled if a sequential sign test is to be applied to the results of a pair trial, requires the null hypothesis that  $t_A$  and  $t_B$  have the same probability distribution. This is the same null hypothesis as in Wilcoxon's test, see (3).

A particularly important case concerns exponentially distributed survival times:

$$F_A(t) = 1 - e^{-t/\mu_A}; \quad F_B(t) = 1 - e^{-t/\mu_B}$$

because then:

$$1 - F_B(t) = e^{-t/\mu_B} = (e^{-t/\mu_A})^{\mu_A/\mu_B} = \{1 - F_A(t)\}^k$$

with

$$k = \mu_A/\mu_B.$$

From this it follows (see (19)) that:

$$(20) \quad P_{BA} = \frac{\mu_A}{\mu_A + \mu_B}.$$

For this particular case we have considered the numbers of patients required for the two sequential sign tests, indicated by OSST and ARST compared with those for the  $\chi^2$  test (CTTT) and Wilcoxon's test (WTST), see table A5.

1. As mentioned in the sequential sign tests the number of patients required is a random variable dependent on  $p = P_{BA}$ . For the open test we have mentioned the average numbers of pairs of patients required for the cases  $p = 0.5$  and  $|p - 0.5| = \delta$ , as well as the maximum value of this average. For this purpose, use was made of table 3.1 in Armitage's book (1960). The (approximative) method for calculation of these averages was devised by Wald (1947). For Armitage's restricted test, the maximum number of pairs of patients required is listed; no average values were available here. The average number required is undoubtedly higher with the restricted test for  $p = 0.5$  than for the open test. With  $|p - 0.5| = \delta$  the difference will probably be slight.
2. For the sequential tests, the numbers of pairs required are listed; for the  $\chi^2$  test and Wilcoxon's test, the numbers of patients per treatment group. In both the number of patients necessary for a trial is double.
3. In the  $\chi^2$  test, the number required also depends on the period of reference  $t_0$  (see also end of section 3). When  $\mu_A$  and  $\mu_B$  are given, the proportion of  $t_0$  and  $\bar{\mu} = \frac{1}{2}(\mu_A + \mu_B)$  is decisive. In the table, the numbers of patients necessary are listed for  $t_0/\bar{\mu} = 1.0, 1.5$  and  $2.0$ .

Table A5 shows that the open sequential test requires fewer patients on average than the  $\chi^2$  test and Wilcoxon's test if  $|p - \frac{1}{2}| = \delta$ , and *a fortiori* if  $|p - \frac{1}{2}| > \delta$ . This is probably also true of Armitage's test. In general, therefore, the sequential tests would appear to be more efficient than tests with a fixed number of random samples if the methods of treatment diverge widely. It is only when the methods of treatment are more or less equivalent that tests with a fixed number of random samples appear to be preferable. This would constitute a strong argument in favor of using one of the sequential sign tests. If the methods of treatment differ only slightly, there is less objection to including a few more patients in the trial. If, on the other hand the methods

of treatment are markedly different, the presence of a large number of patients in the trial implies that, purely for the sake of the trial, large numbers of patients are being treated by an inferior method.

TABLE A5: *Comparison between:*

OSST: *Open Sequential Sign Test*

ARST: *Armitage's Restricted Sequential Sign Test*

WTST: *Wilcoxon's Two Sample Test*

CTTT:  $\chi^2$  *Test for  $2 \times 2$  table*

*with respect to number of patients required.*

*Level of significance  $\alpha = 5\%$ ; required power for  $|p - 0.5| \geq \delta$  is  $\geq 95\%$ .*

*Survival times are assumed to be distributed exponentially.*

OSST				ARST	WTST	CTTT		
Average number of pairs required				Maximum	Number	Number of patients		
$\delta$	$p = 0.5$	if $ p - 0.5  = \delta$	at maximum	number of pairs required	required in each group	required in each treatment group if $t_0/\bar{\mu} =$		
						1.0	1.5	2.0
0.05	870	660	1080	1778	861	1108	1001	1036
0.10	215	160	270	439	210	271	247	257
0.15	95	70	115	191	91	116	107	113
0.20	51	40	63	104	49	62	59	63
0.25	31	25	38	62	30	37	36	40

$p = P_{BA} = P[t_A > t_B]$

$t_0$  = reference period for the CTTT

$\bar{\mu} = \frac{1}{2} (\mu_A + \mu_B)$ , the average of the expected values of survival times.

There is, however, an important objection to this argument. For tests with a fixed number of samples, it is only necessary to collect patients until the previously fixed number is attained. With sequential tests, this number is not previously known and it is therefore necessary in principle to continue to include patients in the trial until it is concluded or at any rate until the large maximum number required for Armitage's test is reached. As a result, especially in trials with patients with long average survival times, large numbers of patients will be involved in the trials who do not contribute to the ultimate conclusion, and who are not taken into account in the calculations for table A5.

We can reduce this number of 'superfluous' patients by limiting the total included in the trial at the beginning to the number required on average in a favorable situation (e.g.:  $|p - 0.5| = \delta$ ). More patients should only be included in the trial if it appears that the number required will be larger. This means a considerable delay in conclusion of the trial, since patient pairs introduced later will also yield their results later.

The period in which a trial is completed is, however, an important aspect of the design. As long as the trial has not resulted in a conclusion concerning the choice between methods of treatment, numerous patients not included in the trial risk being treated by a method which the trial will eventually prove inferior. This number of patients might well be much larger than the number of extra patients treated by the inferior method in a trial completed earlier due to the inclusion of a larger number of



patients. This consideration has prompted us to pay more attention to the relation between duration of trial and choice of test.

### 5. Duration of trial

We base our considerations re. duration of the trial on the following assumptions.

1. The survival times  $t_A$  and  $t_B$  are distributed exponentially with averages  $\mu_A$  and  $\mu_B$  respectively.
2. The intake of patients is homogeneous: on average,  $m$  pairs of patients can be formed per year, i.e.  $m$  patients per year can be included in each of the patient groups.
3. When tests with a fixed number of samples are applied, patients are included in the trial until the number required for the test has been attained.
4. When one of the sequential sign tests is used, inclusion of new patients in the trial is continued until the trial is complete.

An intake of patients is called 'homogeneous' if it neither increases nor decreases systematically with time. From the mathematical point of view the intake can follow a Poisson process in which the time intervals between successively admitted patients is distributed exponentially with a constant average.

We base our discussion on equation 7.2 (p. 84) in Armitage's book (1960). On the above assumptions 1, 2 and 4 it gives the expectation  $G$  of the number of pairs of which at least one patient has died when the trial has run for  $T$  years.

$$(21) \quad G = mT \left\{ 1 - \frac{1 - e^{-2\bar{\lambda}T}}{2\bar{\lambda}T} \right\},$$

in which

$$\bar{\lambda} = \frac{1}{2} \left( \frac{1}{\mu_A} + \frac{1}{\mu_B} \right).$$

The determination of  $T$ , when  $G$  and  $m$  are given from (21), would require the solution of a transcendent equation. We therefore determine  $m$  as a function of  $G$  and  $T$ . We have done this for the open and the closed sequential sign tests and have substituted for  $G$  the maximum average number required and the maximum number of pairs of patients required respectively. Thus we find the number of pairs of patients  $m$  which must be available each year in order to obtain a number of results within a period  $T$  of the beginning of the trial sufficient on average (with the open sequential test) or sufficient (with the restricted test) for completion of the trial. The figures in question can be found in column OSST and ARST in table A6, where various cases are distinguished according to the value of  $\delta = |P_{BA} - 0.5|$ , in which  $P_{BA} = P[t_B < t_A] = \mu_A/(\mu_A + \mu_B) = \mu_A/(2\bar{\mu})$  (cf. (20)).

The length of time required for a trial analysed by the  $\chi^2$  test for a  $2 \times 2$  table is the sum of:

1. the time  $t_1$  necessary to obtain the required number of patients  $S$  per treatment group, and
2. the period of reference  $t_0$ .

Once the last patient has been included in the trial (after period  $t_1$ ), we must wait for a further period  $t_0$  until the results are known for this patient. For an intake of  $m$  patients per treatment group per year,  $t_1 = S/m$ , so that for the  $\chi^2$  test we find:

$$(22) \quad T = t_0 + S/m.$$

The number  $S$  under the assumptions 1 and 2 is a function of the relation between  $t_0$  and  $\bar{\mu} = \frac{1}{2}(\mu_A + \mu_B)$ .

By substituting this value for  $S$  in equation (22), we can find  $m$  from (22) as a function of  $\alpha$ ,  $\beta$ ,  $\delta$ ,  $\bar{\mu}$ ,  $T$  and  $t_0/\bar{\mu}$ . This number  $m$  then represents the number of patients which must be available annually per treatment group in order to complete the trial within period  $T$  with application of the  $\chi^2$  test for a  $2 \times 2$  table with period of reference  $t_0$ .

This number is presented in table A6 for  $t_0/\bar{\mu} = 0.5, 0.75$  and  $1.0$ . Clearly, in the majority of the cases  $m$  reaches its minimum for a value of  $t_0/\bar{\mu}$  in this interval.

Table A6 contains no data concerning Wilcoxon's test, because with this test, the trial for all cases considered will generally last longer than the defined period  $T$  as Wilcoxon's statistic can only be calculated when all the patients of at least one treatment group have died.

TABLE A6: Average intake  $m$  of patients for each therapy per year required to complete a clinical trial within  $T$  years at the average.

T	$\bar{\mu}$	$\delta$	OSST <sup>1</sup>	ARST <sup>2</sup>	Value of $m$ for		
					$t_0/\bar{\mu} = 0.5$	$t_0/\bar{\mu} = 0.75$	$t_0/\bar{\mu} = 1.0$
6	3	0.1	58.9	95.8	90.0	83.2	90.3
6	3	0.2	13.3	21.9	19.8	18.9	20.7
8	3	0.1	41.1	66.9	62.3	54.3	54.2
8	3	0.2	9.3	15.4	13.7	12.3	12.4
10	3	0.1	31.5	51.3	47.6	40.3	38.7
10	3	0.2	7.2	11.9	10.5	9.2	8.9
10	4	0.1	33.4	54.3	50.6	44.6	45.2
10	4	0.2	7.6	12.5	11.1	10.1	10.3
10	5	0.1	35.4	57.5	54.0	49.9	54.2
10	5	0.2	8.0	13.1	11.9	11.4	12.4

Level of significance  $\alpha = 5\%$ , required power  $1 - \beta = 95\%$  for  $P_{AB} - 0.5 \mid \geq \delta$  Survival distributions assumed to be exponential which expected values  $\mu_A$  and  $\mu_B$ ,  $\bar{\mu} = \frac{1}{2}(\mu_A + \mu_B)$

1 OSST (Open Sequential Sign Test),  $m$  computed from (21) with  $G$  = the maximum of the average number of pairs of patients required

2 ARST (Armitage's Restricted Sequential Sign Test),  $m$  computed from (21) with  $C$  = the maximum number of pairs of patients required

3 CTTT ( $\chi^2$  Test for  $2 \times 2$  Table),  $m$  computed from (22) with  $S$  = the number of patients required for each therapy group according to formula (2)

$t_0$  = reference period for CTTT

As far as duration of the trial is concerned, we shall further restrict ourselves to comparing the sequential tests and the  $\chi^2$  test for a  $2 \times 2$  table on the basis of table A6.

On this basis we are inclined to prefer the sequential method to the  $\chi^2$  test. With the

open sequential test the maximum average intake of patients required per year is considerably less; with the restricted test the maximum intake required is only slightly higher than that required with the  $\chi^2$  test. This means that when the conditions stated at the beginning of this section are observed, the sequential tests will, given a certain intake of patients, generally give a result earlier than the  $\chi^2$  test.

Some notes must be made in this connection.

1. The methods are compared exclusively for the case of exponentially distributed survival times.
2. The sequential methods considered are based on paired comparisons. If one of the patients in a pair drops out for any reason other than death from the disease studied, it will as a rule be useless to continue to keep the other one in the trial. This may cause a loss of efficiency in the sequential tests, which cannot occur with the  $\chi^2$  test.
3. Although the period required for the  $\chi^2$  test is, on average, longer than for the sequential tests, it is more constant. Variation in duration can only be caused by variation in intake. With the sequential tests, especially the open test, the variation in length of time required is much greater. Consequently, they may take longer than the  $\chi^2$  test.
4. Obviously organizing a trial using the  $\chi^2$  test, for which it is only necessary to determine the patient's condition a fixed time after starting the trial, is simpler than organizing a trial with a sequential sign test which requires that relevant changes in condition be registered immediately.

In this discussion we may further consider the average total number of patients which must be included in the trial before a decision can be reached. For the sequential tests this total is  $Tm$  (if  $m$  = required intake per year); for the  $\chi^2$  test,  $(T - t_0)m$ . If we calculate these numbers for the open sequential test and for the  $\chi^2$  test with  $t_0/\bar{\mu} = 0.75$  and  $t_0/\bar{\mu} = 1.0$ , we always find that the values for the  $\chi^2$  test compared with the sequential test are lower where  $t_0/\bar{\mu} = 0.75$  and very much lower where  $t_0/\bar{\mu} = 1.0$ . Admittedly these results are not entirely comparable, since the value for the open test is the average number required in the most unfavorable circumstances, but it does nevertheless appear that in this respect the  $\chi^2$  test is no less advantageous than the sequential test.

Our findings concerning the value of the  $\chi^2$  test for a  $2 \times 2$  table, Wilcoxon's test and the sequential sign test for the analysis of clinical trials based on survival times may be summarized as follows.

1. The  $\chi^2$  test calls for the simplest organization of a trial and may be applied without specification of type of distribution of survival times. Further, this test is not unfavorable as regards duration of trials analysed. However, if one only wishes to minimize the number of patients, irrespective of duration of trial, the  $\chi^2$  test is the least efficient method.
2. Wilcoxon's test for two samples is much more demanding as regards organization of the trial; for calculating the number of patients required the type of distribution of survival times must in principle be specified, although the number does not appear to depend greatly on this specification. In comparable cases, Wilcoxon's test requires considerably fewer patients than the  $\chi^2$  test. However, where duration is concerned,

Wilcoxon's test is by far the least efficient. In this respect preference may be given to variants of Wilcoxon's test, under which the trial may be discontinued after a fixed period  $T$  (see e.g. Efron, B. (1967) ).

3. The sequential sign tests also require a more complicated organization of the trial than the  $\chi^2$  test. With these methods, not only the number of patients required but also the test criterion depends on the type of distribution of the survival times. For practical purposes, still more restricted schemes will have to be worked out. This might be worthwhile, since the sequential tests are particularly attractive if one wishes to restrict the trial as much as possible in regard to number of patients or duration, in case the methods of treatment compared should differ considerably from one another.

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## ERRATA

On page VIII in Contents under Part VII '5. Duration of trial 194', read  
... 201.

On page 23 in fourth par., second rule: 'On page 55', read: On page 56.  
Ibidem in last rule of 6th par.: '(for a detailed calculation cf. Ch V § 2 p. 65)',  
read ... 67 .

On page 26 in second rule (above diagram): cf. Appendix table O4', read:  
... table O8.

On page 32 beside the diagram: 'f Died of other cause than tumor', read:  
e Died etc.

On page 57 – In note instead of  $\frac{100}{78.8}$  en  $\frac{78.8}{100}$  read  $\frac{100}{78.8}$  and  $\frac{78.8}{100}$ .

On page 76 first rule: In Ch. III § 3 p. 14', read: ... p. 15.

On page 139 in table f<sub>c</sub> 43 in third item '<55 years' read: >55 years.

On page 173 in last column of table P instead of '0.67 × 10<sup>3</sup>' read 6.7 × 10<sup>3</sup>.



For statistical reason required number  $\rightarrow$  S ( $\chi^2$  test for a  $2 \times 2$  table, cf. table S1 Ch. 3 p. 17)

The number of therapies  $\rightarrow$  t ( t = 2 is used)

The percentage of incidence of the characteristic properties of patients which are considered of essential importance for the assessment of the therapies  $\rightarrow$   $f_1\% \times f_2\% \times f_3\% \times f_0\% = F_n\%$   
From this, the multiplication factor  $\frac{100}{\%}$  can be calculated  
(cf. table  $\frac{100}{\%}$  Appendix Part IV).

For the planning of the extent of the registration (cf. Ch. III § 6)

$$X_{c(linical) \ t(rial)} = S \times t$$

To determine the total number of patients suffering from the disease who must be available to make a particular trial possible (cf. Ch. III § 6)

$$X_{m(aterial)} = S \times t \times \frac{100}{F}$$

In case of a fixed number of available patients, to calculate the minimal percentage of incidence of characteristic elements in the material as a whole that is necessary to make the trial possible (cf. Ch. III § 6)

$$F_{min.} = \frac{100 \times t \times S}{X_m}$$

In order to determine the size of the entire female population necessary to make the trial possible (cf. Ch. III § 9)

$$X_{p(opulation)} = S \times t \times \frac{100}{F} \times \left(\frac{100}{P}\right)$$





# STELLINGEN.

## I.

Alvorens men overgaat tot de organisatie van een 'controlled clinical trial', dient men zich te bezinnen op de vraag of het aantal patiënten, dat in het betreffende rayon binnen een afzienbare termijn beschikbaar komt, voldoende zal zijn voor de uitvoering van de 'trial'.

## II

Onderzoekingen betreffende de behandeling van patiënten met mammacarcinoom zullen betere resultaten geven, indien men betere methoden ontwikkelt om de uitbreiding van het ziekteproces exact te diagnostiseren.

## III

Een goede samenwerking tussen statisticus en medicus onderling is van groot belang voor het kankeronderzoek.

## IV

Op anatomische en physiologische gronden dient men, wanneer er een indicatie bestaat voor een lumbale sympathectomie wegens een éézijdige arteriële doorbloedingsstoornis, deze ingreep dubbelzijdig te verrichten.

## V

Arteriografisch röntgenonderzoek is slechts volledig, indien de serieopnamen in twee richtingen worden gemaakt. Slechts op deze wijze is het mogelijk de uitgebreidheid van subtotale afsluitingen van arteriën zuiver te beoordelen en eerst dan is een eventuele indicatie tot operatie exact te stellen.

## VI

De éézijdige everterende gastrointestinale anastomose is eenvoudig, veilig en doeltreffend.

*Ravitch, M. M. et al.: Ann. Surg. 166:670, 1967.*

## VII

Bij anatomische repositie van een enkelfractuur, al dan niet met behulp van osteosynthese, wordt het resultaat niet beïnvloed door een tevoren bestaande luxatie van de talus.

## VIII

Bij behandeling van fracturen van het os zygomaticum is repositie alleen meestal een onvoldoende therapie.

Aan de fixatie dient veel aandacht te worden besteed, waarbij de osteosynthese in de laterale orbitarand en de steuntampon in de sinus maxillaris in overweging moeten worden genomen.



## IX

Ook bij afwezigheid van de karakteristieke cutane laesies moet een gedissemineerde lupus erythematodes als diagnose overwogen worden in gevallen, die gelijken op een rheumatische endocarditis en gepaard gaan met verschijnselen van een glomerulonephritis zonder hypertensie.

## X

Longscanning draagt bij tot het vroeg stellen van de diagnose longembolie. Bovendien wordt hierdoor later een objectieve beoordeling van de mate van herstel van de circulatie mogelijk.

*Wagner, H. v.: Radiology Vol. 91 No. 6.*

## XI

Het verdient aanbeveling om instrumentarium dat met ethyleenoxyde is gesteriliseerd, geruime tijd aan de lucht bloot te stellen, teneinde het eventuele toxische ethyleenoxyde te verwijderen. Over de duur van dit proces en over de hoeveelheid ethyleenoxyde die achterblijft in verschillende soorten materiaal zijn geen exacte gegevens bekend.

*The Medical Letter on Drugs and Therapeutics 1967, vol. 9 No. 7.*

## XII

De leiding in de medische technologie dient te worden toevertrouwd aan technische medici en niet aan medische technici.

## XIII

Voor de verdere uitgroei en ontwikkeling van het ziekenhuiswezen in ons land is regionaal overleg en regionale samenwerking noodzakelijk.

## XIV

De verwerking van kwik in tandheelkundig amalgaam kan mede milieuverontreinigend werken.

## XV

Het in te stellen 'landelijk meetnet' voor het opsporen van luchtverontreiniging ('Trouw', 23 oktober 1971), is een zinloze perfectie, die slechts zal leren wat men al enige tijd weet: dat onze lucht verontreinigd is.

## XVI

Wenn man nicht weiss, wie, was, warum,  
dann gibt man immer valium.



